

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bataillon, Joseph F	2. Court or Organization Nebraska	3. Date of Report 05/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Art. III District Judge Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 3259 Roman Hruska Courthouse 111 South 18th Plaza, Ste 3259 Omaha, NE 68102-3122	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. House of Delegates Member	Nebraska State Bar Association
2. Advisory Committee	Creighton University School of Law
3. Board of Directors	Federal Judges Association
4.	
5.	

RECEIVED
 2007 MAY 15 P 12:58
 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Bataillon, Joseph F

Date of Report

05/14/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	University of Nebraska Medical Center, salary
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Federal Judges Association	Travel and lodging expenses for the Board of Directors meeting, Washington, DC May 2006
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Bataillon, Joseph F

Date of Report

05/14/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Nelnet Loan	College Plus Loan	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Bataillon, Joseph F

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. USBank Accounts	A	Interest	J	T					
2. Bank of the West Accounts	A	Interest	J	T	opened accts	02-01	J		
3. Commercial Federal Bank Accounts	A	Interest	J	T	closed accts	02-01	J		
4. Security National Bank Accounts	A	Interest	J	T					
5. Citibank NA	A	Interest	J	T					
6. Smith Barney Money Funds Cash Port Class A	A	Int./Div.	J	T	opened acct	010-0	J		
7. Berkshire Hathaway Class B		None	K	T					
8. Diageo PLC Sponsored ADR New	A	Dividend	J	T					
9. First Energy Corp. stock	A	Dividend	J	T					
10. Newmont Mining Corp. stock	A	Dividend	J	T					
11. Merrill Lynch Trust V 7.28 Cum pref stock	A	Interest	J	T					
12. Smith Barney Appreciation Fund	A	Dividend	J	T	Renamed	04-01	J		
13. Legg Mason Partners Appreciation Fund Class A	A	Dividend	J	T	New Name #12	04-01	J		
14. USAA Ins. Account	A	Distribution	J	T					
15. Intel Corp stock	A	Dividend	J	T					
16. Level 3 Communications Inc. stock		None	J	T					
17. Valmont Industries Inc	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	--	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting	Date of Report
Bataillon, Joseph F	05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Toyota Motor Corp	A	Dividend	J	T					
19. Abbott Laboratories stock	A	Dividend	J	T	sell	11-22	J	A	
20. Walt Disney Co. stock	A	Dividend	J	T					
21. Smith Barney Growth & Income Fund Class A	A	Dividend	J	T	Renamed	04-01	J		
22. Legg Mason Growth & Income Fund Class A	A	Dividend	J	T	New Name #21	04-01	J		
23. Amgen		None	J	T					
24. Cisco Systems Inc		None	J	T					
25. Bank of America Corp, stock	A	Dividend	J	T					
26. Capital World Growth & Income Fund Class C	A	Dividend	K	T					
27. Delaware Emerging Markets Fund C	A	Dividend	J	T					
28. Fidelity Advisor New Insights Fund CL C	A	Dividend	J	T					
29. Smith Barney MDA3 Managed IRA	A	Dividend	M	T	Renamed	04-01	M		
30. Legg Mason MDA3 Managed IRA	A	Int./Div.	M	T	New Name #29	04-01	M		
31. Western Union Company	A	Dividend	J	T	buy	11-22	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting	Date of Report
Bataillon, Joseph F	05/14/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII.

Item lines 2 & 3, Commercial Federal Bank was purchased by Bank of the West. There was no gain or loss, simply a transfer of assests.

Items lines 12,13,21,29,&30, Smith Barney became associated with Legg Mason effective April 1. These account designations were all changed to reflect the new association. No gains were realized.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Bataillon, Joseph F

Date of Report

05/14/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/14/07

NOTE:
AND C

IF ANYONE LIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Batchelder, Alice M	2. Court or Organization 6th Cir. Ct. of Appeals	3. Date of Report 05/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. member	National Conference of Bar Examiners -- Const'l Law Drafting Committee
2. member	Intellectual Property Law & Technology Advisory Council of the University of Akron School of Law
3. member	Cleveland Chapter Bd. Of Advisors -- Federalist Society
4. member	Board of Trustees -- Grove City College
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

RECEIVED
2007 MAY 15 P 3:18
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Batchelder, Alice M

Date of Report

05/14/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 4/27-27	National Conference of Bar Examiners	\$ \$3,000
2. 10/05-07	National Conference of Bar Examiners	\$ \$3,000
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1/1-12/31	OPERS -- pension
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Liberty Fund, Inc.	3/09 - 3/12, LaJolla, CA, transportation, lodging and food
2. National Conference of Bar Examiners	4/27-29 Austin, TX, transportation, lodging and food
3. George Mason Univ. Foundation, Inc.	6/8-11 Monterey, CA, transportation, lodging and food
4. Liberty Univ. School of Law	9/22-23 Lynchburg, VA, transportation, lodging and food
5. National Conference of Bar Examiners	10/5-7 Chicago, IL, transportation, lodging and food

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Batchelder, Alice M

Date of Report

05/14/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ **NONE** *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ **NONE** *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Old Phoenix Nat'l Bank	unsecured note	L
2.	Old Phoenix Nat'l Bank	Mtge/Note on Property # 12	K
3.	Old Phoenix Nat'l Bank	Mtge/Note on Property # 17	L
4.	C. T. Bennett, Plymouth, NC	Mtge/Note on Property # 11	J
5.	Old Phoenix Nat'l Bank	Mtge/Note on Property # 19	L
6.	Old Phoenix Nat'l Bank	Mtge/Note on Property # 1	L
7.	Old Phoenix Nat'l Bank	Mtge/Note on Property # 5	K
8.	Old Phoenix Nat'l Bank	Mtge/Note on Property # 21	K
9.	First Nat'l Bank of Wyoming	Mtge/Note on Property # 23	L
10.	Farmers Savings Bank	Mtge/Note on Property # 9	L
11.	Old Phoenix Nat'l Bank	Mtge/Note on Property # 6	J
12.	Matthew Akers	Mtg/Note on Property #20	K
13.	Old Phoenix Nat'l Bank	Mtg/Note on Property #8	L
14.	Old Phoenix Nat'l Bank	Mtge/Note on Property #14	L

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Batchelder, Alice M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	2. Brokerage Account # 1 -- IRA account	B	Dividend	K	T				
2.	IRA asset: Cap. World Growth & Income Fund					sell	12/28	K	A
3.	IRA asset: Growth Fund of America					sell	12/28	J	A
4.	IRA asset: Centennial Money Market					none			
5.	IRA asset: Chevron Texaco stock					sell	12/28	J	A
6.	IRA asset: Procter & Gamble stock					sell	12/28	J	A
7.	IRA asset: ISHARES TR 13 yr Treas Index Fd					buy	12/29	J	
8.	IRA asset: ISHARES S&P Midcap 400 Value Index Fund					buy	12/29	J	
9.	IRA asset: ISHARES S&P Midcap 400 Growth Index Fund					buy	12/29	J	
10.	IRA asset: ISHARES TRUST MSCI EAFE Index Fund					buy	12/29	J	
11.	IRA asset: ISHARES IBOXX Investment Grade Corp Bond Fund					buy	12/29	J	
12.	IRA asset: ISHARES S&P 500 Value Index Fund					buy	12/29	K	
13.	IRA asset: ISHARES S&P 500 Growth Index Fund					buy	12/29	K	
14.	3. Brokerage Account # 2 -- IRA Account	B	Dividend	K	T				
15.	IRA asset: Centennial Money Market					none			
16.	IRA asset: BRE Properties Inc. Class A Stock					sell	12/28	J	A
17.	IRA asset: Velocity HIS Inc. NT					sell	12/28	J	A

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Batchelder, Alice M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IRA asset: Bristol-Myers Squibb stock					sell	8/18	J	A	
19. IRA asset: Pfizer, Inc. stock					sell	12/28	J	A	
20. IRA asset: Wal-Mart Stores, Inc. stock					sell	12/28	J	A	
21. IRA asset: Zimmer Holdings, Inc.					sell	12/28	J	A	
22. IRA asset: Washington Mutual Inv. Fund					sell	12/28	J	A	
23. IRA asset: ISHARES S&P Midcap 400 Value Index Fund					buy	12/29	J		
24. IRA asset: ISHARES S&P Midcap 400 Growth Index Fund					buy	12/29	J		
25. IRA asset: ISHARES TRUST MSCI IAFE Index Fund					buy	12/29	J		
26. IRA asset: ISHARES BOXX Investment Grade Corp. Bond Fund					buy	12/29	J		
27. IRA asset: ISHARES TR 13 yr Treas Index Fund					buy	12/29	J		
28. IRA asset: ISHARES S&P 500 Value Index Fund					buy	12/29	K		
29. IRA asset: ISHARES S&P 500 Growth Index Fund					buy	12/29	K		
30. 4. Brokerage Account # 3 -- SEP IRA Account	A	Dividend	K	T					
31. IRA asset: Centennial Money Market					none				
32. IRA asset: U.S. Treas. Sec. str. Int. mat. 2/08					sell	12/28	J	A	
33. IRA asset: RPM, Inc. stock					none				
34. IRA asset: J.M. Smucker Co. class A stock					none				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Batchelder, Alice M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. IRA asset: Washington Mutual Inv. Fund					sell	12/28	J	A	
36. IRA asset: FANNIE MAE					sell	12/28	J	A	
37. IRA asset: ISHARES S&P Midcap 400 Value Index Fund					buy	12/29	J		
38. IRA asset: ISHARES S&P Midcap 400 Growth Index Fund					buy	12/29	J		
39. IRA asset: ISHARES TRUST MSCI EAFE Index Fund					buy	12/29	J		
40. IRA asset: ISHARES BOXX Investment Grade Corp. Bond Fund					buy	12/29	J		
41. IRA asset: ISHARES TR 13 yr Treas Index Fd					buy	12/29	J		
42. IRA asset: ISHARES S&P 500 Value Index Fund					buy	12/29	J		
43. IRA asset: ISHARES S&P 500 Growth Index Fund					buy	12/29	J		
44. 5. Brokerage Account # 4 --	A	Dividend	K	T					
45. Account asset: Centennial Money Mkt	A	Dividend	J	T					
46. Account asset: Dell Computer Stock	A	Dividend	J	T	sell	8/18	J	A	
47. Account asset: Pfizer, Inc. stock	A	Dividend	J	T	sell	12/28	J	A	
48. Account asset: General Electric stock	A	Dividend	J	T	sell	12/28	J	A	
49. Account asset: Intel stock	A	Dividend	J	T	sell	12/28	J	A	
50. Account asset: ISHARES S&P Midcap 400 Value Index Fund					buy	12/29	J		
51. Account asset: ISHARES S&P Midcap 400					buy	12/29	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Batchelder, Alice M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

Growth Index Fd									
52. Account asset: ISHARES TRUST MSCI EAFE Index Fd					buy	12/29	J		
53. Account asset: ISHARES BOXX Investment Grade Corp. Bond Fun					buy	12/29	J		
54. Account asset: ISHARES TR 13 yr Treas Index Fd					buy	12/29	J		
55. Account asset: ISHARES S&P 500 Value Index Fund					buy	12/29	J		
56. Account asset: ISHARES S&P 500 Growth Index Fund					buy	12/29	J		
57. REAL ESTATE									
58. Prop. # 1 -- [REDACTED] -- Medina Ohio	D	Rent	M	Q					
59. Prop. # 2 -- [REDACTED] -- Medina, Ohio (1/3 interest)	B	Rent	K	Q					
60. Prop. # 3 -- [REDACTED] -- Medina, Ohio (1/3 interest)	B	Rent	K	Q					
61. Prop. # 4 -- [REDACTED] -- Medina, Ohio (1/2 interest)	D	Rent	M	Q					
62. Prop. # 5 -- [REDACTED] -- Medina, Ohio (1/2 interest)	D	Rent	L	Q					
63. Prop. # 6 -- [REDACTED] -- Houses -- Medina, OH (1/2 int)	D	Rent	L	Q					
64. Prop. # 7 -- [REDACTED] -- Medina, Ohio (1/3 interest)	E	Rent	M	Q					
65. Prop. # 8 -- [REDACTED] -- Medina OH	D	Rent	M	Q					
66. Prop. # 9 -- Farm -- Lodi, OH	C	Rent	O	Q					
67. Prop. # 10 -- hog feeder, Lodi, OH (1/5 int)		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Batchelder, Alice M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68. Prop. # 11 -- [REDACTED] -- Medina OH	D	Rent	M	Q					
69. Prop. # 12 -- [REDACTED] -- Medina, OH (1/2 interest)	C	Rent	K	Q					
70. Prop. # 13 -- Commercial bldg -- Medina OH (1/3 interest)	E	Rent	M	Q					
71. Prop. # 14 -- [REDACTED] -- Medina, OH (see n. 1 Sec. VIII)	D	Rent	M	Q					
72. Prop. # 15 -- vacant lot -- Medina, OH (1/2 interest)		None	K	Q					
73. Prop. # 16 -- [REDACTED] /acant land -- Medina OH		None	M	Q					
74. Prop. # 17 -- [REDACTED] -- Medina, OH	D	Rent	M	Q					
75. Prop. # 18 -- [REDACTED] -- Medina OH (1/2 interest)	C	Rent	K	W					
76. Prop. # 19 -- commercial bldg -- Medina OH	D	Rent	M	Q					
77. Prop. # 20 -- Comm. Bldg [REDACTED] -- Medina OH	D	Rent	M	Q					
78. Prop. # 21 -- [REDACTED] -- Medina OH (1/2 interest)	C	Rent	K	Q					
79. Prop. # 22 -- [REDACTED] -- Springfield OH	D	Rent	L	W	sell	2/5	L	A	Mark Tyler, Jr.
80. Prop. # 23 -- [REDACTED] vacant land -- Big Horn County, WY	A	Rent	M	W					
81. MISCELLANEOUS									
82. Floating Rate Demand Note -- GE Interest Plus	A	Interest	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Batchelder, Alice M

Date of Report

05/14/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Note 1: Re: assets in Sec. VII whose value method is reported as "Q": I have again used the Medina County Auditor's most recent appraisal figures. I am unable to provide the date of the appraisal in any of these cases because it was not provided to me. The appraisals were done for the 2004 real estate tax valuations, are outrageously high, and are the valuations used to calculate our real estate taxes.

Note 2: Sec. VII, Line 51: For reasons known only to your programmers, the spectacularly wonderful new software will not permit the printing of two lines in the first box of line 51, but instead carries the information over to the next page. That account asset is the S&P Midcap 400 Growth Index Fund. The program is perfectly satisfied to permit all of this information to appear in one box on lines 9, 24 and 38. Don't look at me -- I tried everything to make it appear correctly.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Batchelder, Alice M

Date of Report

05/14/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544