

AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) <i>Cummings, Samuel R.</i>	2. Court or Organization <i>U.S. DISTRICT COURT NORTHERN DISTRICT OF TEXAS</i>	3. Date of Report <i>5-10-10</i>
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) <i>U.S. DISTRICT JUDGE - ACTIVE</i>	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period <i>1-1-09 to 12-31-09</i>
7. Chambers or Office Address <i>1205 TEXAS AVE. Room C-210 Lubbock, TEXAS 79401</i>	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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U.S. DISTRICT COURT
NORTHERN DISTRICT OF TEXAS

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Cummings, Samuel A.

Date of Report

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

Cummings, Samuel L.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Cummings, Samuel R.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Money market- Savings Wells Fargo Bank	B	int.	M	T					
2. CD- Wells Fargo Bank	F	int.	P	T					
3. OIL + GAS Royalty and Working interests :									
4. — Synegy (Targe) midstream Service	B	royalties	J	W					
5. — Roy Furr Estate - operator	B	"	J	W					
6. Wells Fargo Brokerage account :									
7. — CD- Bank of Springfield Tx	B	int.	K	T	redeemed	8-19- 09	K		proceeds to purchase other brokerage CDs
8. — CD- Provincial Bank Lakerville MN	B	int.	K	T	"	5-4- 09	K		"
9. — CD- First Choice Cmty Bank- Newman, Ga	B	int.	L	T	"	2-13- 09	L		"
10. — CD- Enterprise Bank Trust - Clayton, Mo	B	int.	L	T	"	3-16- 09	L		"
11. — CD- Omni Nat. Bank Atlanta Ga	B	int.	K	T	"	4-3- 09	K		"
12. — CD- Bk of Florida SE Ft. Lauderdale FL	B	int.	L	T	"	5-22- 09	L		"
13. — CD- Tristone Cty Bk Winston Salem NC	C	int.	L	T	"	7-13- 09	L		"
14. — CD- Sec. Bank Gwinnet Co- Suwanee GA	B	int.	L	T	"	7-20- 09	L		"
15. — CD- Millennium Bank Edwards, Co	C	int.	L	T	"	7-30- 09	L		"
16. — CD- Banco Popular NA New York NY	B	int.	L	T	"	10-30- 09	L		"
17. — CD- Patterson Bank Patterson GA	B	int.	K	T	"	12-4- 09	K		"

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 P = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 E = More than \$5,000,000 M = \$100,001 - \$250,000 P = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Cummings, Samuel R

Date of Report

5-10-10

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-50 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	- CD- First Merchants BL CNTZ IN, Anderson IN	A	int.	J	T				
2.	- CD- Live Oak Banking Co - Wilmington NC	C	int.	M	T				
3.	- CD- Patriot Bank Houston, Tx	B	int.	M	T				
4.	- CD- Select Bkt Tr Co Greenville NC	B	int.	K	T				
5.	- CD- Venture Bank Lacey, WA	C	int.	L	T	redeemed	9-15-09	L	Proceeds to purchase other brokerage CDs
6.	- CD- Key Bank NA Cleveland OH	C	int.	L	T				
7.	- CD- Key Bank NA Cleveland, OH	B	int.	M	T				
8.	- CD- Summit Cmnty Bank - Moorefield, WV	C	int.	L	T				
9.	- CD- Morgan Stanley Bank- Salt Lake City, UT	B	int.	K	T	CD purchased	1-6-09	K	TDI
10.	- CD- Investors Comm Bank Manitowoc, WI	B	int.	K	T	"	2-7-09	K	"
11.	- CD- 1st Advt. Bank St. Peters, Mo	B	int.	L	T	"	3-24-09	L	"
12.	- CD- Bank of America Charlotte, NC	A	int.	K	T	"	4-2-09	K	"
13.	- CD- Freedom Bank Columbia Fall, MT	A	int.	K	T	"	4-13-09	K	"
14.	- CD- Gulf Coast Bank + Tr. New Orleans, LA	B	int.	K	T	"	5-8-09	K	"
15.	- CD- American Premier BK Arcadia, Ca	B	int.	L	T	"	5-29-09	L	"
16.	- CD- First State Bank Buxton, NH	B	int.	L	T	"	7-27-09	L	"
17.	- CD- Mason St. Bank MASON, MI	C	int.	L	T	"	7-27-09	L	"

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Cummings, Samuel R

Date of Report

5-10-10

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. - CF - Morton Comm. BK Morton, IL	C	int.	L	T	CF purchased	8-10-09	L		Ten Com
2. - CF - Citizens St. BK Clanton, WI	B	int.	K	T	"	8-18-09	K		"
3. - CF - National Republic BK Chicago, IL	B	int.	L	T	"	9-8-09	L		"
4. - CF - Bank of North Carolina Thomasville, NC	B	int.	K	T	"	11-13-09	K		"
5. - CF - Provincial FNL BK Clanton, MO	B	int.	K	T	"	12-9-09	K		"
6. Wells Fargo Retirement Brokerage Account:									
7. - CF - Pinnacle Bank of Orange City, FL	A	int.	M	T	redeemed	10-30-09	M		proceeds to purchase other brokerage CF's
8. - CF - American Chartered Bk - Schaumburg, IL	B	int.	M	T	"	11-05-09	M		"
9. - CF - Capital One BK Glen Allen, VA	B	int.	M	T	"	11-05-09	M		"
10. - CF - Morgan Stanley Bank - Salt Lake City, UT	B	int.	M	T	"	12-31-09	M		"
11. - CF - Bank of Commerce Wood Dale, IL	B	int.	M	T					
12. - CF - Bank of North Carolina Thomasville, NC	B	int.	M	T	CF purchased	11-12-09	M		Wells Fargo Bank IRA C/F
13. - CF - Discover Bank Greenwood, DE	B	int.	M	T	"	11-12-09	M		"
14. - CF - First Bank Highland Park - Highland Park, IL	B	int.	M	T	"	11-12-09	M		"
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 R2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

NONE

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Chummings, Samuel R.

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*5-10-10***IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544