

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Marcus, Stanley	2. Court or Organization 11th Circuit Court of Appeals	3. Date of Report 4/26/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Court of Appeals 99 NE 4th Street, Room 1235 Miami, FL 33132	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Adjunct Professor of Law - Brooklyn Law School	\$10,000.00
2. 2009	Adjunct Professor of Law - St. Thomas University School of Law	\$16,500.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Temple Beth Am - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Brooklyn Law School	August-October 2009	Brooklyn, NY	Teach law school class	2009 Lodging @ BLS and transportation associated with teaching on dates: 8/25-27; 9/1-3, 9/8; 9/22-24; 9/29-10/1; 10/13-15.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wachovia - Combined Accounts	B	Interest	J	T					
2.					Sold	01/23/09	K		
3.					Sold	01/27/09	L		
4.					Sold	11/13/09	K		
5.					Sold	11/21/09	L		
6. T. Rowe Price Sci & Tech Mutual Fund - IRA	A	Dividend	J	T					
7. Vanguard Index 500 Mutual Fund - IRA	A	Dividend	J	T					
8. Fidelity Blue Chip Growth Mutual Fund - IRA	A	Dividend			Sold	11/23/09	J		
9. Fidelity SoftWCom Mutual Fund - IRA	A	Dividend	J	T					
10. Fidelity Contrafund Mutual Fund - IRA	A	Dividend	J	T	Buy	11/23/09	J		
11. Vanguard Index 500 Mutual Fund	A	Dividend	J	T	Buy	02/09/09	J		
12.	A	Dividend	J	T	Buy	03/10/09	J		
13.	A	Dividend	J	T	Buy	11/23/09	J		
14. Vanguard Index Developed Mkts Mutual Fund	A	Dividend	J	T	Buy	11/23/09	J		
15. Vanguard Index Emerging Mkts Mutual Fund	A	Dividend	J	T	Buy	11/23/09	J		
16. Bank United Accounts - CDs and MM	A	Interest	L	T					
17.					Sold	07/20/09	K		

1. Income Gain Codes.
(See Columns B1 and D4)
2. Value Codes.
(See Columns C1 and D3)
3. Value Method Codes.
(See Column C2)
- A - \$1,000 or less
E - \$50,001 - \$100,000
J - \$15,000 or less
N - \$250,001 - \$500,000
P3 - \$25,000,001 - \$50,000,000
Q - Appraisal
U - Book Value
- B - \$1,001 - \$2,500
G - \$100,001 - \$1,000,000
K - \$15,001 - \$50,000
O - \$500,001 - \$1,000,000
R - Cost (Real Estate Only)
V - Other
- C - \$2,501 - \$5,000
H - \$1,000,001 - \$5,000,000
L - \$50,001 - \$100,000
P1 - \$1,000,001 - \$5,000,000
P4 - More than \$50,000,000
S - Assessment
W - Estimated
- D - \$5,001 - \$15,000
I2 - More than \$5,000,000
M - \$100,001 - \$250,000
P2 - \$5,000,001 - \$25,000,000
T - Cash Market
- E - \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	01/05/09	J		
19.					Sold	08/21/09	K		
20.					Buy	07/22/09	L		
21. TIAA-CREF	A	Dividend	J	T					
22. Sun Trust Accounts - CDs and MM	D	Interest	K	T					
23.					Sold	10/26/09	K		
24.					Sold	11/06/09	M		
25. Great Florida Bank - CDs	D	Interest	N	T					
26.					Sold	12/12/09	M		
27.					Buy	12/12/09	M		
28. Metro Bank - CDs	A	Interest	L	T	Buy	01/23/09	K		
29.					Buy	01/29/09	L		
30. Prime Money Market Fund RBC Investor Class	A	Interest	J	T	Buy	11/24/09	M		
31. Apple Common Stock	A	Dividend	J	T	Buy	11/19/09	J		
32. Kraft Foods Inc. Bonds	A	Interest	K	T	Buy	11/19/09	K		
33. L-3 Communications Corp. Bonds	A	Interest	K	T	Buy	11/19/09	K		
34. Dallas Tex Wtrwks & Svr Sys Bonds	A	Interest	J	T	Buy	11/19/09	J		

1. Income Gain Codes (See Columns B1 and D4)
 2. Value Codes (See Columns C1 and D3)
 3. Value Method Codes (See Column C2)

A - \$1,000 or less
 F - \$50,001 - \$100,000
 I - \$15,000 or less
 N - \$250,001 - \$500,000
 P3 - \$25,000,001 - \$50,000,000
 Q - Appraisal
 U - Book Value

B - \$1,001 - \$2,500
 G - \$100,001 - \$1,000,000
 K - \$15,001 - \$50,000
 O - \$500,001 - \$1,000,000
 R - Cost (Real Estate Only)
 V - Other

C - \$2,501 - \$5,000
 H1 - \$1,000,001 - \$5,000,000
 L - \$50,001 - \$100,000
 P1 - \$1,000,001 - \$5,000,000
 P4 - More than \$50,000,000
 S - Assessment
 W - Estimated

D - \$5,001 - \$15,000
 H2 - More than \$5,000,000
 M - \$100,001 - \$250,000
 P2 - \$5,000,001 - \$25,000,000
 T - Cash Market

E - \$15,001 - \$50,000
 J12 - More than \$5,000,000
 M - \$100,001 - \$250,000
 P2 - \$5,000,001 - \$25,000,000

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Seattle Wash G/O RFDG Bonds	A	Interest	K	T	Buy	11/19/09	K		
36. Maryland ST GO Bonds	A	Interest	K	T	Buy	11/30/09	K		
37. City of Houston Texas Public Improvement Refinancing Bonds	A	Interest	K	T	Buy	11/25/09	K		
38. Texas ST GO Bonds	A	Interest	K	T	Buy	12/02/09	K		
39.									
40. Trust #1:									
41. - Charles Schwab Cash Account	A	Interest			Sold	05/28/09	J		
42.									
43. Trust #2:									
44. - Charles Schwab cash account	A	Interest			Sold	03/10/09	J		
45.									
46. Trust #3:									
47. - Great Florida Bank CDs	C	Interest	M	T					
48.					Sold	04/27/09	K		
49.					Sold	02/23/09	M		
50.					Buy	01/26/09	L		
51.					Buy	04/27/09	K		

1. Income Gain Codes (See Columns B1 and D4)
 2. Value Codes (See Columns C1 and D3)
 3. Value Method Codes (See Column C2)
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 J: \$15,000 or less
 N: \$250,001 - \$500,000
 P3: \$25,000,001 - \$50,000,000
 Q: Appraisal
 U: Book Value
- B: \$1,001 - \$2,000
 G: \$100,001 - \$1,000,000
 K: \$15,001 - \$50,000
 O: \$500,001 - \$1,000,000
 R: Cost (Real Estate Only)
 V: Other
- C: \$2,501 - \$5,000
 H1: \$1,000,001 - \$5,000,000
 L: \$50,001 - \$100,000
 P1: \$1,000,001 - \$5,000,000
 P4: More than \$50,000,000
 S: Assessment
 W: Estimated
- D: \$5,001 - \$15,000
 H2: More than \$5,000,000
 M: \$100,001 - \$250,000
 P2: \$5,000,001 - \$25,000,000
 T: Cash Market
- E: \$15,001 - \$50,000

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52. - Charles Schwab One Trust Account	A	Interest	K	T					
53. - Sun Trust CD and MM	C	Interest	K	T					
54.					Sold	10/26/09	L		
55. - Wachovia CD and MM	A	Interest			Sold	01/23/09	L		
56.					Sold	10/26/09	J		
57.									
58.									

1. Income Gain Codes: A: \$1,000 or less B: \$1,001 - \$2,500 C: \$2,501 - \$5,000 D: \$5,001 - \$15,000 E: \$15,001 - \$50,000
 (See Columns B1 and D4) F: \$50,001 - \$100,000 G: \$100,001 - \$1,000,000 H1: \$1,000,001 - \$5,000,000 I12: More than \$5,000,000
 2. Value Codes: J: \$15,000 or less K: \$15,001 - \$50,000 L: \$50,001 - \$100,000 M: \$100,001 - \$250,000
 (See Columns C1 and D3) N: \$250,001 - \$500,000 O: \$500,001 - \$1,000,000 P1: \$1,000,001 - \$5,000,000 P2: \$5,000,001 - \$25,000,000
 3. Value Method Codes: Q: Appraisal R: Cost (Real Estate Only) S: Assessment T: Cash Market
 (See Column C2) U: Book Value V: Other W: Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544