

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Moore, Karen N	2. Court or Organization U.S. Court of Appeals-6th Cir.	3. Date of Report 5/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address Carl B. Stokes U.S. Courthouse 801 W. Superior Ave., Ste 21A Cleveland, Ohio 44115-1831	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

2003

Squire Sanders & Dempsey

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. University of Pennsylvania Law School

Philadelphia, PA; 1/23-1/24; moot court judge (transportation, meals, lodging)

2. Aspen Institute

Aspen, CO; 8/1-8/9; Justice & Society Seminar Leader (transportation, meals, lodging)

3. Harvard Law School

Cambridge, MA; 11/12-11/13; moot court judge (transportation, meals, lodging)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Huntington Bank	A	Interest	K	T					
2. Key Bank	A	Interest	K	T					
3. Fidelity Spartan Ohio Muni Income	B	Dividend, cg	K	T					
4. Fidelity Fidelity Fund	B	div	M	T					
5. Fidelity Magellan Fund	A	div	M	T					
6. Nichols Fund, Inc	A	div., cg	M	T	Buy	3/03	J		
7. " " "					Buy	3/20	J		
8. " " "					Part Redmptn	12/22	K	A	
9. Scudder Cash Investment Trust	A	Dividend	J	T	Buy	7/8	J		
10. Scudder Managed Municipal Bonds	C	div, cg	M	T					
11. Dreyfus Municipal Money Market Fund	A	Dividend	K	T					
12. IRA Ohio Savings (certificate of deposit)	A	Interest	J	T					
13. IRA T. Rowe Price New Horizons Fund		div, cg	L	T	Buy	4/3	J		
14. IRA Scudder Development Fund		None	L	T	Buy	1/21	J		
15. IRA Scudder Short Term Bond Fund	A	Interest	J	T					
16. TIAA (Pension Fund)	E	Interest	N	T					
17. CREF (Pension Fund)		None	O	T					
18. Vanguard Wellesley Income Fund	B	div, cg	K	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	Date: Month - Day	Value Code 2 (I-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
19. Vanguard Windsor Fund	B	div, cg	L	T					
20. Vanguard Wellington Fund	B	div, cg	K	T					
21. Pension Plan--MSFT Mid Cap Growth I		None	N	T	Buy	3/31	J		
22. " " "					Buy	6/30	J		
23. " " "					Buy	9/30	J		
24. " " "					Buy	12/31	J		
25. " " "					Exchange in	12/31	L		
26. Squire Sanders & Dempsey Capital Contribution Account		None	M	U					
27. Cash value life ins., Mass. Mutual Life Ins.	D	Interest	N	T					
28. Pension Plan--Janus Twenty Fund		None	N	T	Buy	3/31	J		
29. " " "					Buy	6/30	J		
30. " " "					Buy	9/30	J		
31. " " "					Buy	12/31	J		
32. Pension Plan--Janus Worldwide Fund		None	M	T	Buy	3/31	J		
33. " " "					Buy	6/30	J		
34. " " "					Buy	9/30	J		
35. " " "					Buy	12/31	J		
36. Pension Plan--Legg Mason Value		None	O	T	Buy	3/31	J		

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2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
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37. " " "					Buy	6/30	J		
38. " " "					Buy	9/30	J		
39. " " "					Buy	12/31	J		
40. Pension Plan--Fidelity Aggressive Growth		None			Buy	3/31	J		
41. " " "					Buy	6/30	J		
42. " " "					Buy	9/30	J		
43. " " "					Exchange Out	12/31	L		
44. Huntington Bank	A	Interest	K	T					
45. Victory Tax-Free Money Market	A	Dividend	K	T					
46. National City Bank	A	Interest	L	T					
47. Vanguard Explorer		None	J	T	Buy	2/14	J		
48. Vanguard Windsor	A	Dividend	J	T	Buy	2/14	J		
49. Vanguard Small-Cap Growth Index (X)	A	Dividend	J	T	Buy	2/14	J		
50. IRA 21st Century Growth (X)		None	J	T	Buy	4/17	J		
51. T. Rowe Price Growth Stock (X)	A	Dividend	J	T	Buy	10/2	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

☐

SECTION VII Column B2: cg = capital gain

SECTION VII, line 43 from calendar year 2002 form is no longer reportable because owned by

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K. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/12/4

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544