

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCCONNELL, MICHAEL W	2. Court or Organization UNITED STATES COURT OF APPEALS	3. Date of Report 04/23/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. COURT OF APPEALS - FULL	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address FEDERAL BUILDING, SUITE 5402 125 SOUTH STATE STREET SALT LAKE CITY, UT 84138	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)**A. Filer's Non-Investment Income**☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2005	ASPEN PUBLISHING CO., PUBLICATION ROYALTIES	\$ 2,812
2. 2005	YALE UNIVERSITY, PUBLICATION ROYALTIES	\$ 129
3. 2005	OXFOR UNIVERSITY PRESS, INC., PUBLICATION ROYALTIES	\$ 5,000
4. 2005	PRESIDENT AND FELLOWS OF HARVARD COLLEGE, TEACHING	\$ 24,337
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	[REDACTED] TEACHING INCOME
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Harvard University School of Law	Jan. 3 - Jan. 21, Cambridge, MA, Teaching (Transportation, lodging, meals)
2. University of Minnesota Law School	Feb. 28 - Mar. 1, Minneapolis, MN, Lecture (Transportation, lodging, meals)
3. Brigham Young University School of Law	Mar. 4, Provo, UT, Moot Court Competition (Transportation)
4. University of Colorado School of Law	Mar. 9 - Mar. 10, Boulder, CO, Lecture (Transportation, lodging, meals)
5. St. John's University School of Law	Mar. 11 - Mar. 15, Queens, NY, National Bankruptcy Moot Court Competition (Transportation, lodging, meals)
6. Liberty Fund	Apr. 14 - Apr. 15, Alexandria, VA, Participant in a colloquium, (Transportation, lodging, meals)

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7. FJC	Jun. 7 - Jun. 10, Santa Fe, NM, Circuit Judicial Conference (Transportation, lodging, meals)
8. American Constitution Society for Law and Policy	Jul. 29 - Jul. 30, Washington, DC, Panel Presentation (Transportation, lodging, meals)
9. Saint Thomas More, Catholic Chapel at Yale University	Oct. 11 - Oct. 12, New Haven, CT, Lecture (Transportation, lodging, meals)
10. Harvard Law School	Oct. 13 - Oct. 14, Cambridge, MA, Academic Conference (Transportation, lodging, meals)
11. University of Virginia School of Law	Oct. 26 - Oct. 28, Charlottesville, VA, Lecture (Transportation, lodging, meals)
12. Edmund Burke Society	Nov. 4 - Nov. 5, Chicago, IL, Debate (Transportation, lodging, meals)
13. The Federalist Society	Nov. 9 - Nov. 10., Washington, DC, Panel Presentation (Transportation, lodging, meals)
14. Federal Bar Association of Western District of Washington	Dec. 7 - Dec. 8, Seattle, WA, Speech (Transportation, lodging, meals)
15. United States Tenth Circuit Court of Appeals	Dec. 14, Denver, CO, Meeting of circuit judicial council (Transportation, lodging, meals)

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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MCCONNELL, MICHAEL W

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Washington Mutual (Bank Account) (See Note Part VIII)	A	Interest							
2. FIDELITY INVESTMENTS IRA #1									
3. -SPARTAN 500 INDEX FUND	A	Dividend	J	T					
4. -FIDELITY CASH RESERVES	A	Dividend	J	T					
5. FIDELITY INVESTMENTS IRA #2									
6. -Fidelity Worldwide Fund	C	Dividend	K	T					
7. -Fidelity Emerging Markets Fund	C	Dividend	K	T					
8. -Fidelity Cash Reserves Account	A	Dividend	J	T					
9. -Fidelity Blue Chip Growth Fund	A	Dividend	J	T					
10. TIAA CREF									
11. -TIAA Traditional Fund	C	Dividend	N	T					
12. -CREF Stock Fund	E	Dividend	M	T					
13. -CREF Equity Index Fund	D	Dividend	M	T					
14. -CREF Clobal Equities Fund	C	Dividend	K	T					
15. Dodge & Cox - Common Stock (401k)	E	Dividend	M	T					
16. Janus Small Cap Value (401k)	B	Dividend			Sell	9/30	K	A	
17. Scudder Equity 500 Index Fund (401k)	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. State Street Research Emerging (401k)	A	Dividend			Sell	3/31	M	A	
19. Dryfus Premium Small Equity R (401k)	C	Dividend	K	T					
20. STI Classic SmCap Gr Stock T (401k)	D	Dividend	M	T					
21. Wells Fargo (Bank Account)	A	Interest	J	T					
22. Brokerage Account #1									
23. -Fidelity Worldwide Fund	A	Dividend	J	T					
24. -Spartan Total Market Index Fund	A	Dividend	K	T					
25. -Spartan 500 Index Fund	B	Dividend	L	T					
26. -Fidelity Magellan Fund	B	Dividend	L	T					
27. -Fidelity Municipal Money Market	C	Interest	M	T	Sell	6/30	L	A	
28. -Fidelity Retirement Reserves Annuity	B	Dividend	L	T					
29. -Spartan 500 Inex Fund (SEP IRA)	A	Dividend	J	T					
30. -Fidelity Cash Reserves (SEP IRA)	A	Dividend	J	T					
31. -Spartan 500 Index Fund (UTMA)	A	Dividend	J	T	Sell	6/6	J	A	
32. -Spartan 500 Index Fund (UTMA)	A	Dividend	K	T					
33. -Spartan 500 Inex Fund (UTMA)	A	Dividend	J	T	Sell	12/23	J	A	
34. Prudential -Nationwide Variable Life Insurance	A	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A=\$1,000 or less
F=\$50,001 - \$100,000

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000

E=\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J=\$15,000 or less
N=\$250,001 - \$500,000

K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000

H2=More than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3=\$25,000,001 - \$50,000,000
Q=Appraisal
U=Book Value

R=Cost (Real Estate Only)
V=Other

P4=More than \$50,000,000
S=Assessment
W=Estimated

T=Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Fidelity Investments IRA #3									
36. -Fidelity Magellan Fund	D	Dividend	M	T					
37. Fidelity Investments IRA #4									
38. -Fidelity Low Priced Stock Fund	E	Dividend	M	T					
39.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII. Investments and Trusts, Line 1 - The Washington Mutual Bank Account was closed in 2004. This account was below the reporting threshold at the time it was closed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

7/31/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544