

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosen, Gerald E.	2. Court or Organization U.S. District Court	3. Date of Report 05/13/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Room 730 U.S. Courthouse 231 West Lafayette Detroit, MI 48226	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Focus:Hope, Detroit, Michigan
2. Board of Advisors	George Washington University Law School, Washington, D.C.
3.	
4.	
5.	

RECEIVED
200 MAY 21 A 11:49
FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1995	The Rutter Group - Publication Agreement dated November 1995. Royalties to be shared by authors in an amount to be determined upon publication.
2. 1996	The West Group - Publication Agreement dated January 1996. Royalties to be shared by authors in an amount to be determined upon publication.
3. 2005	The Rutter Group - Publication Agreement dated November 2005. Royalties to be shared by authors in an amount to be determined upon publication.
4. 2007	The Michigan Baseball Ventures, LLC - See Part VIII. Additional Information

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Rosen, Gerald E.

Date of Report

05/13/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 02/02/09	Royalties from Federal Civil Procedure/Federal Employment - West Group	\$10,000.00
2. 02/24/09	Royalties from Michigan Practice Guide: Enforcing Judgements/Debts (Full Set) - West Group (8/08-1/09)	\$1.04
3. 03/19/09	Royalties from California Practice Guide: Federal Employment Litigation - West Group (7/08-12/08)	\$540.79
4. 03/24/09	Royalties from Fed. Civil Trial & Evidence - West Group (7/08-12/08)	\$1,763.83
5. 03/24/09	Royalties from Fed. Employment Litigation - West Group (7/08-12/08)	\$175.13
6. 03/24/09	Royalties from Fed. Civil Trial & Evidence (California Edition) - West Group (7/08-12/08)	\$3,165.35
7. 03/20/09	Royalties from Michigan Practice Guide: Civil Trials & Evidence - West Group (8/08-1/09)	\$102.90
8. 03/20/09	Royalties from Michigan Practice Guide: Enforcing Judgments/Debts - West Group (8/08-1/09)	\$15.90
9. 08/25/09	Royalties from Michigan Practice Guide: Enforcing Judgments/Debts (Full Set) - West Group (2/09-7/09)	\$1.01
10. 07/01/09	Royalties from California Practice Guide - West Group (1/09-6/09)	\$781.85
11. 09/23/09	Royalties from Fed. Civil Trial & Evidence - West Group (1/09-6/09)	\$10,019.33
12. 09/23/09	Royalties from Fed. Employment Litigation - West Group (1/09-6/09)	\$1,029.49
13. 09/23/09	Royalties from Fed. Civil Trial & Evidence (California Edition) - West Group (1/09-6/09)	\$3,520.72
14. 09/18/09	Royalties from Michigan Practice Guide: Civil Trials & Evidence - West Group (2/09-7/09)	\$228.55
15. 09/18/09	Royalties from Michigan Practice Guide: Enforcing Judgments/Debts - West Group (2/09-7/09)	\$14.63
16. 10/29/09	Royalties from Federal Civil Procedure/Federal Employment - West Group	\$5,000.00
17. 5/09-8/09	Adjunct Professor at Cooley Law School - Compensation for Teaching Evidence - Trinity Term	\$7,000.00
18. 1/09-5/09	Adjunct Professor at Wayne State University Law School - Compensation for Teaching Evidence - Winter Session	\$8,144.00

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Rosen, Gerald E.

Date of Report

05/13/2010

19. 9/09-12/09

Adjunct Professor at Wayne State University Law School -
Compensatoin for Teaching Evidence - FallSession

\$8,348.00

20.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*

NONE (No reportable non-investment income.)

DATESOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE (No reportable reimbursements.)

SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. ABA Committe on Law and
National Security

April 24, 2009

Washington DC

Seminar Speaker

Airfare, Taxi

2. The Rutter Group

June 3-5, 2009

Seattle, Washington

Seminar Speaker

Airfare, Meals, Taxi, Meals, Mileage

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Rosen, Gerald E.

Date of Report

05/13/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Marriott Vacation Club International	Mortgage on _____ Time Share	J
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Rosen, Gerald E.

Date of Report

05/13/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. National City Accounts - Detroit	A	Interest	K	T					
2. IRA No. 1									
3. -Transcanada	A	Dividend	K	T					
4. -Nestle's	A	Dividend	K	T					
5. -Natural Resource Partners	A	Distribution	J	T					
6. -Goldcorp Inc.	A	Dividend			Sold (part)	09/09/09	J	D	
7.					Sold (part)	09/24/09	J	D	
8. -Rogers Communication	A	Dividend	K	T					
9. -Kinross Gold Corp	A	Dividend			Sold (part)	09/09/09	J	D	
10.					Sold (part)	09/24/09	J	D	
11. -MDU Resources Group	A	Dividend	J	T					
12. -Group Danone	A	Dividend			Sold	03/27/09	J	A	
13. -UBSPW Ret Money Fund	A	Dividend			Closed	03/18/09	J		
14. -Marshall & Isley Bank - Cash Equivalent	A	Dividend	L	T	Open	03/18/09	J		
15. IRA No. 2									
16. -Forest Oil Corp		None	J	T					
17. -Shoppers Drug Mart Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Rosen, Gerald E.

Date of Report

05/13/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Mariner Energy Inc		None	J	T					
19. -Foundation Coal Holdings		None			Sold	05/12/09	J		
20. -Activision Inc.		None			Sold	10/28/09	K	D	
21. -Agrium Inc.	A	Dividend			Sold (part)	09/24/09	J	D	
22.					Sold (part)	10/28/09	J	D	
23. -Enesco International LTD Sponsored ADR	A	Dividend	J	T					
24. -Costco Wholesale Corp	A	Dividend	J	T					
25. -El Paso Electric Co.		None	J	T					
26. -Coca Cola FEMSA	A	Dividend			Sold	05/05/09	K	C	
27. -Diageo		None			Sold	03/26/09	J		
28. -Lockhead Martin		None			Sold	03/27/09	J		
29. -Norfolk Southerm	A	Dividend			Sold	05/05/09	J		
30. -Satyam Computer					Sold	03/26/09	J		
31. -Controladora Comm'l UTS (X)		None			Sold	03/26/09	J		
32. -UBSPW Ret Money FD	A	Dividend			Closed	03/18/09	J		
33. -Marshall & Isley Bank - Cash Equivalent	A	Dividend	M	T	Open	03/18/09	J		
34. Roth IRA No. 1									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
---	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting
Rosen, Gerald E.

Date of Report
05/13/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Eldorado Gold		None			Sold	09/09/09	J	D	
36. -Marshall & Isley Bank Deposit Program	A	Interest	J	T	Open				
37. Roth IRA No. 2									
38. -Eldorado Gold		None			Sold	09/09/09	J	D	
39. -Marshall & Isley Bank - Cash Equivalent	A	Interest	J	T	Open				
40. Brokerage Account No. 1									
41. -Raytheon	A	Dividend	J	T					
42. -UBSPW Cash Fund	A	Dividend			Closed				
43. Custodial Account No. 1									
44. -Cisco Systems, Inc.		None	J	T					
45. -Intel Corp	A	Dividend	J	T					
46. 529 Plan									
47. -American Funds Capital World Growth & Inc 529C	A	Dividend	K	T					
48. Trust No. 1									
49. -Haslett MI Public Schools	B	Interest	K	T					
50. -Genesee City MI Bldg.	B	Interest	K	T					
51. -Provident Energy Trust	B	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column U2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Rosen, Gerald E.

Date of Report

05/13/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -RMA Money Fund	A	Dividend			Closed	03/18/09	J		
53. -Marshall & Isley Bank - Cash Equivalent	A	Interest	J	T	Open	03/18/09	J		
54. Trust No. 2									
55. -Frontier Communications	A	Dividend			Sold	10/28/09	J		
56. -Fomento Econ Mexicano SAB Sponsored ADR	A	Dividend	J	T	Sold (part)	10/28/09	J	D	
57. -National Grid PLC	A	Dividend			Sold	03/26/09	J		
58. -Johnson & Johnson	A	Dividend	J	T					
59. -JP Morgan Chase	A	Dividend			Sold	06/17/09	J		
60. -Lumber Liquidators		None			Buy (add'l)	04/17/09	J		
61.					Sold (part)	09/24/09	J	C	
62.					Sold (part)	10/28/09	J	C	
63. -Walt Disney	A	Dividend	J	T					
64. -RMA Money Market Portfolio (X)	A	Dividend			Closed	03/18/09	L		
65. -Marshall & Isley Bank - Cash Equivalent	A	Int./Div.	M	T	Open	03/18/09	M		
66. Trust No. 3									
67. -RMA Money Fund	A	Dividend			Closed	03/18/09	J		
68. -Marshall & Isley Bank - Cash Equivalent	A	Interest	J	T	Open	03/18/09	J		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Rosen, Gerald E.

Date of Report

05/13/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Michigan Baseball Ventures, LLC Partners	E	Distribution	K	V					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
---	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT
Page 10 of 11

Name of Person Reporting
Rosen, Gerald E.

Date of Report
05/13/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. Outside earned income shown in Part III A was computed in accordance with the guidance in Section 3(b) of the Regulations of the Judicial Conference of the United States Under Title VI of the Ethics Reform Act of 1989 Concerning Outside Earned Income, Honoraria and Outside Employment.

Section 3(b) states the following:

(b) "Outside earned income" means all wages, salaries, commissions, professional fees, and payments and compensation of any kind for services rendered or to be rendered by the covered senior employee, less the ordinary and necessary expenses paid or incurred in producing the income, provided, however, that the following shall not constitute earned income.

2. Part III. Agreements

a.) Line 4 - To own interests in various minor league baseball franchises: net profits/losses are allocated with accordance with members' shares

3. Part VII. Investments and Trusts

a.) Line 6 - Goldcorp Inc. holdings were completely liquidated in 2009 in two separate sales, September 9, 2009 and September 24, 2009.

b.) Line 9 - Kinross Gold Corp holdings were completely liquidated in 2009 in two separate sales, September 9, 2009 and September 24, 2009.

c.) Line 21 - Agrium, Inc. holdings were completely liquidated in 2009 in two separate sales, September 24, 2009 and October 28, 2009.

d.) Line 23 - On December 23, 2009 Ensco International Inc.'s parent company reorganized under from a Delaware Corporation to a United Kingdom corporation changing it's trading name to Ensco Int'l Ltd. Sponsored ADR.

e.) Line 42 - UBSPW Cash Fund closed with a transaction involving less than \$1,000.00

f.) Line 56 - Fomento Economico changed it's name to Fomento Economica Mexicano, SAB Sponsored ADR on July 10, 2009.

g.) Line 60 - Lumber Liquidators holdings were completely liquidated in 2009 in two separate sales, September 24, 2009 and October 28, 2009.

h.) Line 69 - Michigan Baseball Ventures, LLC - Value used is Tax Basis reported on Schedule K-1.

FINANCIAL DISCLOSURE REPORT
Page 11 of 11

Name of Person Reporting
Rosen, Gerald E.

Date of Report
05/13/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

S REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544