

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCAULIFFE, STEVEN J	2. Court or Organization U.S. DISTRICT COURT - N.H.	3. Date of Report 05/3/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address WARREN B. RUDMAN COURTHOUSE 55 PLEASANT STREET, ROOM 416 CONCORD, N.H. 03301		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Fellow	New Hampshire Bar Foundation
2. Director	Challenger Center for Space Science Education
3. Trustee	Trust #1 (Agency Account Transferred to Trust)
4. Shareholder (50 percent)	Pleasant Lake Air, Inc., LLC
5. Partner	Pinchers' Investment Group (Neighborhood Investment Club)
6. Treasurer	Local EAA Chapter - Capitol Flyers
7.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

RECEIVED
 2006 MAY - 8 A 10:28
 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	Concord, N.H. School District (Teaching)
2. 2005	Child & Family Services (Teaching)
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Note Rec. R. Jobin		None	K	T					
2. NOW Acct Bow Mills Bank	A	Interest	J	T					
3. IRA Inv Co Amer Mut Fund	A	Dividend	K	T					
4. IRA Wash Mut Inv Fund	A	Dividend	J	T					
5. IBM Cap Corp	A	Dividend			Sold	2/10	J	D	Mkt
6. Exxon Mobil Corp.	A	Dividend	J	T					
7. Bow Mills Bank Stock (D)		None	M	T					
8. UBS Retirement Money Fund	A	Interest	J	T					
9. Citigroup, Inc.	A	Dividend	J	T					
10. IRA Franklin Templeton For. Fund	A	Dividend	K	T					
11. IRA PA PACE Large Comp. Value Fund	A	Dividend	K	T					
12. Medtronic Inc.	A	Dividend	J	T					
13. Pfizer Inc. Com	A	Dividend			Sold	11/7	J	C	Mkt
14. Pinchers' Investment Group Ptnshp Share	A	Dividend	J	T					
15. -Harley Davidson Inc.	A	Dividend			Sold	4/16	J	A	Mkt
16. -Home Depot Inc.	A	Dividend	J	T					
17. -GE	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -INTC	A	Dividend			Sold	9/5	J	A	Mkt
19. -AIG	A	Dividend			Sold	4/19	J	A	Mkt
20. -BCR	A	Dividend	J	T					
21. -CMX		None	J	T					
22. -MDT	A	Dividend	J	T					
23. -MSFT	A	Dividend	J	T					
24. -PFE	A	Dividend	J	T					
25. -Dentsply Int. Inc.	A	Dividend	J	T					
26. -EBAY		None	J	T					
27. -Petrolio Brasileiro	A	Dividend	J	T	Sold	4/19	J	A	Mkt
28. -BAC	A	Dividend	J	T					
29. -Air Products & Chem.	A	Dividend	J	T	Buy	9/5	J		Mkt
30. -Oceaneering Intl Inc.	A	Dividend	J	T	Buy	9/15	J		Mkt
31. -Whiting Petroleum Corp.	A	Dividend	J	T	Buy	5/15	J		Mkt
32. -XM Sat. Radio Hold Inc.		None	J	T	Buy	5/15	J		Mkt
33. Caterpillar Inc.	A	Dividend			Sold	12/22	J	D	Mkt
34. Patterson Companies, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Everest RE Grp. Ltd.	A	Dividend			Sold	8/2	J	A	Mkt
36. Burlington Res. Inc.	A	Dividend			Sold	12/22	J	E	Mkt
37. Affil. Computer Svcs. Inc.	A	Dividend			Sold	4/13	J	A	Mkt
38. First Data Corp.	A	Dividend			Sold	4/20	J	A	Mkt
39. N.Y. Comm. Bankcorp, Inc.	A	Dividend	J	T	Partial Sale	10/4	J	A	Mkt
40. Dow Chemical Co.	A	Dividend	J	T					
41. Bank of America Corp.	A	Dividend	J	T	Partial Sale	4/13	J	A	Mkt
42. Lowes Cos, Inc.	A	Dividend	J	T	Partial Sale	4/13	J	A	Mkt
43. Cisco Systems, Inc.	A	Dividend			Sold	12/19	J	A	Mkt
44. Calgon Carbon Corp.	A	Dividend			Sold	1/18	J	C	Mkt
45. CVS Corp.	A	Dividend	J	T					
46. Amcor Ltd. ADR	A	Dividend			Sold	12/19	J	A	Mkt
47. Anheuser Busch Cos., Inc.	A	Dividend			Sold	12/19	J	A	Mkt
48. BASF AG Spon. ADR	A	Dividend			Sold	12/19	J	A	Mkt
49. Deere & Co.					Buy	8/29	J		Mkt
50. Deere & Co.	A	Dividend			Sold	11/15	J	A	Mkt
51. Magna Intl. Inc.	A	Dividend			Sold	2/7	J	A	Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust asset(s)) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Motorola, Inc.	A	Dividend	J	T					
53. Pall Corp.	A	Dividend	J	T					
54. Petroleo Brasileiro	A	Dividend	J	T					
55. Praxair, Inc.	A	Dividend	J	T					
56. Staples	A	Dividend	K	T	Buy	12/22	J		Mkt
57. LM Ericsson Telefon Co.	A	Dividend	J	T					
58. ING Groep N V NL	A	Dividend	J	T					
59. Novo Nordisk ADR	A	Dividend	J	T					
60. Siriu Sat. Radio, Inc.		None	J	T			s		
61. Freescale Semicond., Inc.	A	Dividend	J	T					
62. IRA I Shares Russell Fund	A	Dividend	J	T					
63. IRA Midcap SPDR TR	A	Dividend	J	T					
64. Fed. Prime MM Fund	A	Dividend	J	T					
65. BMB NOW Acct. (2)	A	Interest	J	T					
66. Cohen & Steers Reit & Util., Inc.	A	Dividend			Buy	2/8	J		Mkt
67. Cohen & Steers Reit & Util., Inc.					Sold	4/13	J	A	Mkt
68. Cohen & Steers Reit & Util., Inc.	A	Dividend			Buy	7/21	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$250,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting
MCAULIFFE, STEVEN J

Date of Report
05/3/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Cohen & Steers Reit & Util., Inc.					Sold	9/27	J	A	Mkt
70. Kyphon, Inc.	A	Dividend			Buy	2/9	J		Mkt
71. Kyphon, Inc.					Sold	7/13	J	B	Mkt
72. Hillenbrand Inds., Inc.	A	Dividend			Buy	2/17	J		Mkt
73. Toyota Motor Corp.	A	Dividend			Buy	2/17	J		Mkt
74. Ishares FTSE/XINHUA China 25 Index FD	A	Dividend	J	T	Buy	4/5	J		Mkt
75. General Motors Conv. PFD Ser. A	A	Dividend			Buy	4/20	J		Mkt
76. EBAY, Inc.		None			Buy	7/14	J		Mkt
77. XTO Energy	A	Dividend	J	T	Buy	8/16	J		Mkt
78. EBAY, Inc.		None			Sold	11/17	J	B	Mkt
79. Hillenbrand Inds., Inc.					Sold	8/29	J	A	Mkt
80. Fording Canadian Coal Tr.	A	Dividend			Buy	8/31	J		Mkt
81. Fording Canadian Coal Tr.					Sold	11/8	J	A	Mkt
82. Cemex S A	A	Dividend	J	T	Buy	10/3	J		Mkt
83. General Motors Conv. PFD Ser. A					Sold	11/1	J	A	Mkt
84. Tele Norte Leste Particip SA	A	Dividend	J	T	Buy	10/6	J		Mkt
85. Aegon NV Ord ARS Piepstg	A	Dividend	J	T	Buy	11/1	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)
 2. Value Codes (See Columns C1 and D3)
 3. Value Method Codes (See Column C2)

A = \$1,000 or less
 F = \$50,001 - \$100,000
 J = \$15,000 or less
 N = \$250,001 - \$500,000
 P3 = \$25,000,001 - \$50,000,000
 Q = Appraisal
 U = Book Value

B = \$1,001 - \$2,500
 G = \$100,001 - \$1,000,000
 K = \$15,001 - \$50,000
 O = \$500,001 - \$1,000,000
 R = Cost (Real Estate Only)
 V = Other

C = \$2,501 - \$5,000
 H1 = \$1,000,001 - \$5,000,000
 L = \$50,001 - \$100,000
 P1 = \$1,000,001 - \$5,000,000
 P4 = More than \$50,000,000
 S = Assessment
 W = Estimated

D = \$5,001 - \$15,000
 H2 = More than \$5,000,000
 M = \$100,001 - \$250,000
 P2 = \$5,000,001 - \$25,000,000
 T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Verizon Communication Inc.	A	Dividend	J	T	Buy	11/1	J		Mkt
87. Tyco Intl Ltd	A	Dividend	J	T	Buy	11/8	J		Mkt
88. Suncor Energy, Inc.	A	Dividend	J	T	Buy	11/15	J		Mkt
89. Peabody Energy Corp.	A	Dividend	J	T	Buy	11/15	J		Mkt
90. James River Coal Inc.	A	Dividend	J	T	Buy	11/23	J		Mkt
91. American Eagle Outfitters Inc.	A	Dividend	J	T	Buy	12/9	J		Mkt
92. UST Inc.	A	Dividend	J	T	Buy	12/15	J		Mkt
93. New York Comm. Bancorp Inc.	A	Dividend	J	T	Buy	12/15	J		Mkt
94. Wellpoint, Inc.	A	Dividend			Buy	2/12	J		Mkt
95. Wellpoint, Inc.					Sold	12/29	J	D	Mkt
96. Ishares Trust S&P Tele	A	Dividend	J	T	Buy	12/22	J		Mkt
97. Internet Holders Trust	A	Dividend	J	T	Buy	12/22	J		Mkt
98. Aus & NZ Bkg Grp	A	Dividend	J	T	Buy	12/22	J		Mkt
99. Bow Mills Bank Stock [C]		None	K	T					
100. Bank of Am. Corp. [C]	A	Dividend	J	T					
101. Burlington Resources, Inc. [C]	A	Dividend	K	T					
102. Dow Chemical Co. [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting
MCAULIFFE, STEVEN J

Date of Report
05/3/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. First Data Corp. [C]	A	Dividend			Sold	4/20	K	A	Mkt
104. Intel Corp. [C]	A	Dividend			Sold	2/10	J	A	Mkt
105. Lowes Cos., Inc. [C]	A	Dividend	J	T					
106. N.Y. Comm. Bancorp, Inc. [C]	A	Dividend	J	T					
107. Patterson Companies, Inc. [C]	A	Dividend			Sold	8/31	K	D	Mkt
108. Pfizer, Inc. [C]	A	Dividend			Sold	11/22	J	A	Mkt
109. Tupperware Corp. [C]	A	Dividend			Sold	9/14	J	A	Mkt
110. Everest RE Grp. Ltd. [C]	A	Dividend			Sold	8/1	J	A	Mkt
111. BP PLC ADR [C]	A	Dividend	K	T					
112. Fed. Prime Obig. MM Fund [C]	A	Dividend	J	T					
113. Thornburg Mrtg Reit [C]	A	Dividend			Buy	1/26	J		Mkt
114. Thornburg Mrtg Reit [C]					Sold	8/1	J	A	Mkt
115. Allied Capital Corp [C]	A	Dividend	J	T	Buy	1/26	J		Mkt
116. Magna Intl A [C]	A	Dividend			Buy	1/26	J		Mkt
117. Magna Intl A [C]					Sold	3/23	J	A	Mkt
118. Cedant Corp. [C]	A	Dividend			Buy	1/26	J		Mkt
119. Cedant Corp. [C]					Sold	4/20	J	A	Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Affiliated Computer Svc Inc. [C]	A	Dividend			Buy	1/27	J		Mkt
121. Affiliated Computer Svc Inc. [C]					Sold	6/30	J	A	Mkt
122. Dupont DeNemours & Co. [C]	A	Dividend			Buy	1/27	J		Mkt
123. Dupont DeNemours & Co. [C]					Sold	8/29	J	A	Mkt
124. Cohen & Steers Reit & Util. Inc. [C]	A	Dividend			Buy	1/31	J		Mkt
125. Cohen & Steers Reit & Util. Inc. [C]					Sold	10/26	J	A	Mkt
126. Pfizer, Inc. [C]	A	Dividend			Buy	2/3	J		Mkt
127. Pfizer, Inc. [C]					Sold	11/22	J	A	Mkt
128. Kyphon, Inc. [C]	A	Dividend			Buy	2/9	J		Mkt
129. Kyphon, Inc. [C]					Sold	7/13	J	A	Mkt
130. Albertson's Inc. [C]	A	Dividend			Buy	2/17	J		Mkt
131. Albertson's Inc. [C]					Sold	9/15	J	A	Mkt
132. Hillenbrand Inds, Inc. [C]	A	Dividend			Buy	2/17	J		Mkt
133. Hillenbrand Inds, Inc. [C]					Sold	8/29	J	A	Mkt
134. Wellpoint, Inc. [C]	A	Dividend	J	T	Buy	2/17	J		Mkt
135. Kinder Morgan Energy Ptnrs. LP [C]	A	Dividend	J	T	Buy	2/17	J		Mkt
136. Telecom New Zealand [C]	A	Dividend	J	T	Buy	3/9	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Neuberger Berman RE [C]	A	Dividend			Buy	3/14	J		Mkt
138. Neuberger Berman RE [C]					Sold	10/26	J	A	Mkt
139. Ishares FTSE/XINHUA China Index [C]	A	Dividend	J	T	Buy	3/28	J		Mkt
140. Telefonos De Mexico [C]	A	Dividend	J	T	Buy	3/28	J		Mkt
141. Lowes Cos, Inc. [C]	A	Dividend	J	T	Buy	3/28	J		Mkt
142. Amgen, Inc. [C]	A	Dividend	J	T	Buy	3/28	J		Mkt
143. SLM Corp OPI Linked [C]	A	Dividend	J	T	Buy	3/28	J		Mkt
144. Toyota Motor Corp. [C]	A	Dividend	J	T	Buy	3/29	J		Mkt
145. CVS Corp. [C]	A	Dividend	J	T	Buy	4/5	J		Mkt
146. United Health Group [C]	A	Dividend	J	T	Buy	4/20	J		Mkt
147. General Motors Conv PFD [C]	A	Dividend			Buy	4/20	J		Mkt
148. General Motors Conv PFD [C]					Sold	11/1	J	A	Mkt
149. EBAY Inc. [C]		None			Buy	7/14	K		Mkt
150. EBAY Inc. [C]					Sold	11/17	K	C	Mkt
151. XTO Energy Inc. [C]	A	Dividend	J	T	Buy	8/29	J		Mkt
152. Avon Products Inc. [C]	A	Dividend			Buy	8/29	J		Mkt
153. Avon Products Inc. [C]					Sold	10/5	J	A	Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Deere Co. [C]	A	Dividend			Buy	8/29	J		Mkt
155. Deere Co. [C]					Sold	10/26	J	A	Mkt
156. Fording Canadian Coal Tr [C]	A	Dividend			Buy	8/31	J		Mkt
157. Fording Canadian Coal Tr [C]					Sold	11/8	J	A	Mkt
158. Cenex S.A. [C]	A	Dividend	J	T	Buy	9/14	J		Mkt
159. Peabody Energy Corp. [C]	A	Dividend	J	T	Buy	9/14	J		Mkt
160. WalMart Stores Inc. [C]	A	Dividend	J	T	Buy	9/21	J		Mkt
161. American Eagle Outfitters Inc. [C]	A	Dividend	J	T	Buy	10/4	J		Mkt
162. New York Comm. Bancorp Inc. [C]					Partial Sale	10/4	J	A	Mkt
163. Tele Norte Leste Particip [C]	A	Dividend	J	T	Buy	10/6	J		Mkt
164. Suncor Energy [C]	A	Dividend	J	T	Buy	10/26	J		Mkt
165. Aegon NV Ord [C]	A	Dividend	J	T	Buy	11/1	J		Mkt
166. Verizon Comm. Inc. [C]	A	Dividend	J	T	Buy	11/1	J		Mkt
167. Tyco Intl Inc. [C]	A	Dividend	J	T	Buy	11/8	J		Mkt
168. Suncor Energy Inc. [C]	A	Dividend	J	T	Buy	11/15	J		Mkt
169. SCM Corp. Van [C]	A	Dividend	J	T	Buy	11/15	J		Mkt
170. James River Coal Inc. [C]	A	Dividend	J	T	Buy	11/23	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Citigroup Inc. [C]	A	Dividend	J	T	Buy	12/2	J		Mkt
172. UST Inc. [C]	A	Dividend	J	T	Buy	12/15	J		Mkt
173. New York Comm Bancorp Inc. [C]	A	Dividend	J	T	Buy	12/15	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value/Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***PART I. POSITIONS.**

Pleasant Lake Air, Inc., LLC, is a corporation formed to own a Cessna 182 Skylane single engine private airplane. I own a one-half interest in the corporation (and thus the airplane).

PART VI. LIABILITIES.

I own a summer cottage with a former law partner, Edward E. Shumaker, III, as tenants in common, which property is mortgaged in part. That liability is not disclosed since it is secured by real property which is a personal residence.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

MCAULIFFE, STEVEN J

Date of Report

05/3/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 3, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544