

UNITED STATES DISTRICT COURT

WESTERN DISTRICT OF WASHINGTON

UNITED STATES COURTHOUSE

700 Stewart Street, #13229

SEATTLE, WASHINGTON 98101

(206) 370-8860

**Walter T. McGovern
United States District Judge**

August 18, 2004

Committee on Financial Disclosure
Administrative Office of the U.S. Courts
Suite 2-301
One Columbus Circle, NE
Washington, D.C. 20544

Dear Sirs,

Please find enclosed three (3) copies of amended page 1, Part VII, lines 7, 9, 11, 14, 17 and 18, and page 2, Part VII, line 27 of my Financial Disclosure Report dated May 14, 2004.

These amendments are made and forwarded to you in response to your letter to me of August 3, 2004.

Thank you for your assistance in this matter.

Yours truly,


Judge Walter T. McGovern

**FINANCIAL
DISCLOSURE OFFICE**

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AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) McGovern, Walter T	2. Court or Organization Western District of Washington	3. Date of Report 08/18/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address US Courthouse 700 Stewart Street, #13229 Seattle, WA 98101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

RECEIVED
AUG 25 10 55 AM '04
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McGovern, Walter T

Date of Report

08/18/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McGovern, Walter T

Date of Report

08/18/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1. _____

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. _____

FINANCIAL DISCLOSURE REPORT

Page 1 of 2

Name of Person Reporting
McGovern, Walter T

Date of Report
08/18/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. AEGON, NV	A	Dividend	J	T					
2. Duke Energy	A	Dividend	J	T					
3. The Pep Boys Stock	A	Dividend	K	T					
4. Tyco, Int'l LTD	A	Dividend	J	T					
5. American Express Stock	C	Dividend	N	T					
6. Condo/Seattle/Purchased 11-82 for \$205,000.	A	None	N	R					
7. Condo/Rancho Mirage/Purchased 8-94 for \$185,000.		None	N	R					
8. Wells Fargo & Co. Stock	D	Dividend	N	T					
9. Wells Fargo Bank Securities & Investments - Money Mkt accts	B	Interest	M	T					
10. Purdential Financial	A	Dividend	J	T					
11. WM Group Funds - Money Mkt accts. Class A	A	Interest	K	T					
12. Merrill Lynch - Ready Assets Trust	A	Interest	L	T					
13. Teco Energy, Inc. Stock	C	Dividend	K	T					
14. Bank of America		None	J	T					
15. U.S. Bank	B	Interest	M	T					
16. Lord Abbett Tax Free Bonds	C	Interest	L	T					
17. Paine Webber (Invest. Act.)now UBS financial Serv. Cash Fund	A	Interest	K	T					
18. Washington State College Bonds		None			Redemption	8/4	J	B	Wash. State

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 2 of 2

Name of Person Reporting

McGovern, Walter T

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Key Bank, Seattle, WA	C	Interest	L	T					
20. Washington Mutual Savings Bank	D	Interest	O	T					
21. Lehman Brothers Holdings	A	Dividend	K	T					
22. Vanguard Tax Free Bonds	D	Dividend	M	T					
23. Homestreet Bank	C	Interest	M	T					
24. SEMPRA ENERGY	A	Dividend	K	T					
25. Dell Computer	A	Dividend	J	T					
26. Honeywell Int'l	A	Dividend	J	T					
27. Microsoft		None	J	T					

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4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address 508 U.S. Courthouse 1010 Fifth Avenue, #508 Seattle, WA 98104	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

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☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

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FINANCIAL DISCLOSURE REPORT

Page 1 of 2

Name of Person Reporting
McGovern, Walter T

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9. Wells Fargo Bank Securities & Investments	B	Interest	M	T					
10. Purdential Financial	A	Dividend	J	T					
11. WM Group Funds	A	Interest	K	T					
12. Merrill Lynch - Ready Assets Trust	A	Interest	L	T					
13. Teco Energy, Inc. Stock	C	Dividend	K	T					
14. Bank of America	A	None	J	T					
15. U.S. Bank	B	Interest	M	T					
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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McGovern, Walter T.

Date of Report

05/14/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Date May 14, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILE INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544