

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HEARTFIELD, THAD	2. Court or Organization U.S.D.C. (TEXAS EASTERN)	3. Date of Report 05/13/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 300 WILLOW STREET, RM. 212 BEAUMONT, TEXAS 77701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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 FINANCIAL
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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Annuity Ins. Co. (AIG)	A	Interest	J	T					
2. Annuity Ins. Co. (AIG)	A	Interest	J	T					
3. Wachovia Bank, Checking	A	Interest	K	T					
4. Wachovia Bank, Checking	A	Interest	J	T					
5. Wachovia Bank, Checking	A	Interest	J	T					
6. Anadarko Petroleum Corp.	A	Royalty	J	T					
7. General American Universal Life	A	Distribution	K	T					
8. UBS RMA	C	Dividend	L	T	Pt. Sell	6/1	J	A	
9. UBS IRA#1 Money Market	B	Interest	K	T					
10. FICO strips 2/3/08		None	K	T					
11. US Treas. strips 5/15/09		None	L	T					
12. Fannie Mae (FNMA) 6.15 12/10/07	B	Interest			Redemption	12/10	K	A	
13. Fed. Home Loan Mortgage (FHLMC) 5.125 10/15/08	B	Interest	K	T					
14. Fed. Home Loan Bank 5.79 4/27/09	B	Interest	K	T					
15. FNMA Call Step-up Nts 4.0 9/21/12	A	Interest	K	T					
16. FHLMC Callable 4.625 5/28/13	B	Interest	K	T					
17. FHLMC Mtn Callable 5.0 1/30/14	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. FHLMC Step up Mtn 4.5 10/15/16	B	Interest	K	T					
19. FHLB Callable 5.0 6/26/18	B	Interest	K	T					
20. Alliance Bernstein Grth & Inc.	C	Dividend	K	T					
21. MS Equally Weighted S&P 500 Fund	B	Dividend	K	T					
22. Oppenheimer Strategic Income	C	Dividend	L	T					
23. Federated Bond Fund	C	Dividend	L	T					
24. Davis New York Venture	A	Dividend	L	T					
25. Pimco Total Return Fund	D	Dividend	M	T					
26. Bond Fund of Amer.	C	Dividend	L	T					
27. EuroPacific Growth	D	Dividend	M	T					
28. Growth Fund of America	C	Dividend	L	T					
29. Investment Co. of America	D	Dividend	M	T					
30. Greenwood Trust CD 7.00 CPN 1/26/10	A	Interest	J	T					
31. Discover Bank CD 6.95 8/9/10	A	Interest	J	T					
32. Discover Bank CD 6.8 9/7/10	A	Interest	J	T					
33. Provident Nat'l CD 6.10 3/7/11	B	Interest	K	T					
34. HTF Amer. Growth Fund	D	Dividend	L	T					

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2. Value Codes (See Columns C1 and D2)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. HTF American Grth. & Income	B	Dividend	L	T					
36. HTF American Global Grth	D	Dividend	L	T					
37. HTF Franklin Mutual Shares	A	Dividend	L	T					
38. Amer. Funds High Income	A	Dividend	K	T	Buy	12/12	K		
39. Merrill Lynch Note 5.0 1/15/15	A	Interest	K	T	Buy	12/13	K		
40. UBS IRA #2 Money Market	A	Interest	J	T					
41. HTF American Growth & Income	A	Dividend	K	T					
42. HTF American Growth	C	Dividend	K	T					
43. HTF American Global Growth	C	Dividend	K	T					
44. HTF Franklin Mutual Shares	A	Dividend	K	T					
45. Nuveen Insured Muni. Oppor. Fund	A	Dividend	K	T					
46. Nuveen Insured Quality Muni. Fund	A	Dividend	K	T					
47. Nuveen Insured Premium Income Muni. Fund	B	Dividend	K	T					
48. Citibank, N.A. Bank Deposit Program	B	Interest	K	T					
49. Dallas TX ISD Bond 4.25% due 8/15/10	B	Interest	K	T					
50. Houston TX Area Water Corp. 5.5% due 3/1/12	B	Interest	K	T					
51. Pearland TX Cert of Oblig. 5.25% due 3/1/07	A	Interest	K	T	Full Call	3/1	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Rowlett TX Cert. of Oblig. 5.5% due 2/15/07	A	Interest	K	T	Full Call	2/15	K	A	
53. Sachse TX Cert. of Oblig. 5.0% due 2/15/08	B	Interest	K	T					
54. San Antonio TX ISD Bond 3.0% due 8/15/10	B	Interest	L	T					
55. San Antonio TX Elec. & Gas 5.25% due 2/1/11	B	Interest	K	T					
56. San Antonio TX Water Rev. Bond 5.0% due 5/15/13	B	Interest	K	T					
57. San Antonio TX Elec. & Gas 5.25% due 2/1/14	B	Interest	K	T					
58. Spring TX ISD Series A 4.4% due 8/15/11	B	Interest	L	T					
59. TX State Rfdg. Series B 5.125% due 10/1/09	B	Interest	K	T					
60. Tarrant Cnty. TX College Dist. 3.5% due 2/15/10	B	Interest	K	T					
61. TX Tech Univ. Revs. Fing. Sys. 5.0% due 2/15/12	B	Interest	K	T					
62. Harlandale TX ISD School Bldg. 4.75% due 8/15/36	B	Interest	L	T	Buy	3/2	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII INVESTMENTS AND TRUSTS

NO. 3-5 Wachovia Bank was previously known as Southtrust Bank on last year's report.

NO. 7 General American listed as a qualified settlement fund and GAMHC receivership distribution (divident, distribution and one time class settlement).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO DISCLOSE INFORMATION MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544