

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arguello, Christine M.	2. Court or Organization District of Colorado	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th St., A638 Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Center for Legal Inclusiveness - Volunteer
2.	Founder and Advisory Council Member	Law School...Yes We Can (Si Se Puede) - Volunteer
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Carilina Academic Press LLC. - Royalty Income from book - Evidence: The Objection Method	\$365.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Public Employees' Retirement Association
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Kansas School of Law	3/2/2016-3/5/2016	Lawrence, Kansas	Keynote Speaker for 21st Annual Diversity in the Law Banquet	Lodging, air fare, car rental
2. UC Irvine School of Law	10/9/2016-10/11/2016	Irvine, California	Panel Presentation on Law School...Yes We Can	Lodging, air fare
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Mario Carrera	2 Bronco Tickets	\$600.00
2.	Sam Walker	2 Bronco Tickets	\$600.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	AES Student Loan	Co-signor on student loans	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Accounts	A	Interest	K	T					
2. First Bank	A	Interest	J	T					
3. Bank of the West	A	Interest	J	T					
4. MetLife Trust Interests (MET)	A	Dividend	J	T					
5. ABNFX		None	J	T	Buy	04/28/16	J		
6. ABNFX		None			Sold (part)	07/07/16	J	A	
7. AHIFX		None	J	T	Buy	04/28/16	J		
8. AHIFX		None			Sold (part)	07/14/16	J	A	
9. ALARX		None			Sold	04/28/16	J	A	
10. ARTPX		None			Buy	04/28/16	J		
11. ARTPX		None			Sold	06/16/16	J	A	
12. CAIFX		None	J	T	Buy	04/28/16	J		
13. CAIFX		None			Sold (part)	07/14/16	J	A	
14. CSHIX		None	J	T	Buy	04/28/16	J		
15. CSHIX		None			Sold (part)	07/14/16	J	A	
16. DBLTX	A	Dividend			Sold (part)	01/11/16	J	A	
17. DBLTX		None			Sold	04/28/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. EGOIX		None			Sold	04/28/16	J	A	
19. EIHMX	A	Dividend			Sold	04/28/16	J	A	
20. GFFFX	A	Dividend	J	T	Buy	04/28/16	J		
21. GFFFX		None			Sold (part)	07/14/16	J	A	
22. GHYYX	A	Dividend			Sold	02/08/16	J	A	
23. GSTOX		None	J	T	Buy	06/16/16	J		
24. GSTOX		None			Sold (part)	07/14/16	J	A	
25. HGOIX		None			Sold	03/16/16	J	A	
26. IBAFX		None	J	T	Buy	04/28/16	J		
27. IBAFX		None			Sold (part)	07/14/16	J	A	
28. ICAFX	A	Dividend	J	T	Buy	04/28/16	J		
29. ICAFX		None			Sold (part)	07/14/16	J	A	
30. JCVIX		None			Sold	04/28/16	J	A	
31. LMNOX		None			Sold (part)	01/08/16	J	A	
32. LMNOX		None			Sold	02/04/16	J	A	
33. LSGRX		None			Buy	03/16/16	J		
34. LSGRX		None			Sold	04/28/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. LSIIX		None			Sold	01/22/16	J	A	
36. MHYIX	A	Dividend			Buy	02/08/16	J		
37. MHYIX		None			Sold	04/28/16	J	A	
38. MWTIX	A	Dividend			Sold	04/28/16	J	A	
39. MXAIX		None			Buy	04/28/16	J		
40. MXAIX		None			Sold	06/16/16	J	A	
41. OYRDX	A	Dividend			Buy (add'l)	02/04/16	J		
42. OYRDX		None			Sold	04/28/16	J	A	
43. PDBZX	A	Dividend			Buy	01/22/16	J		
44. PDBZX		None			Sold	04/28/16	J	A	
45. PSGIX		None			Sold	04/28/16	J	A	
46. OIFIX		None			Sold	09/14/16	J	A	
47. OIIEIX		None			Sold	09/14/16	J	A	
48. OILGX		None			Sold (part)	07/21/16	J	A	
49. OILGX		None			Sold	09/14/16	J	A	
50. OILVX		None			Buy (add'l)	04/08/16	J		
51. OILVX		None			Sold	09/14/16	J	A	

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52. OISGX		None			Sold (part)	04/08/16	J	A	
53. OISGX		None			Sold	09/14/16	J	A	
54. OISVX		None			Sold (part)	04/08/16	J	A	
55. OISVX		None			Sold	09/14/16	J	A	
56. SMCFX		None	J	T	Buy	04/28/16	J		
57. SMCFX		None			Sold (part)	07/14/16	J	A	
58. WMFFX	A	Dividend	J	T	Buy	04/28/16	J		
59. WMFFX		None			Sold (part)	07/14/16	J	A	
60. Jackson Natl Life Ins Co Perspective II - JNL/Mellon Capital JNL 5		None	J	T					
61. Jackson Natl Life Ins Co Perspective II - JNL/Mellon Capital S&P 24		None	J	T					
62. Jackson Natl Life S&P Mngd Growth*		None	J	T					
63. Jackson Natl Life S&P Mngd Mod Growth*		None	J	T					
64. Metlife Invs Ins Prods L Share Variable Annuity - Asset Alloc 80 Portf	A	Dividend	J	T					
65. Nationwide Life Ins Co Destination B VA - NW Nvit Cardinal Capapp ii		None	L	T					
66. Nationwide Life Ins Co Destination B VA - NW Nvit Inv Dest Capapp ii		None	L	T					
67. ECL	A	Dividend	J	T					
68. JP Morgan US GOV MMF*	A	Dividend	K	T	Sold (part)	09/14/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. PIP		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Rows 62 and 63: There are only 2 annuity policies, so in the past I reported them only as two investments. This year I learned that the two annuities are invested in 4 funds. So, I added the two other funds to this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Christine M. Arguello**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544