

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ambro, Thomas L.	2. Court or Organization U.S. Court of Appeals, 3rd Cir	3. Date of Report 05/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address Federal Courthouse 844 King Street, Lock Box 32 Wilmington, DE 19801	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Thomas L. Ambro Revocable Trust
2. Member (Emeritus)	Georgetown University Alumni Admissions Program Board of Advisors
3. President	Richard S. Rodney Inn of Court
4. Member	Georgetown University Northern Delaware Alumni Admissions Program
5. Member	Board of Editors, Delaware Lawyer
6. Member	Board of Editors, Delaware Law Review
7. Member	Board of Trustees of the American Inns of Court Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	State of Delaware - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association	May 8-9, 2010	Washington, DC	Standing Committee on Fed Judicial Improvements	Transportation
2.	American Bar Association	May 11, 2010	New York City, NY	Working Group on Legal Opinions	Transportation
3.	American Bar Association	Oct 18-20, 2010	New York City, NY	Working Group on Legal Opinions	Transportation
4.	Brigham Young University	Nov 4-6, 2010	Provo, UT	Moot Court Competition	Transportation, Lodging & Meals
5.	American Bar Association	Nov 14, 2010	Philadelphia, PA	Standing Committee on Fed Judicial Improvements	Transportation

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6. Harvard Law School &
Kauffman Foundation

Dec 7-8, 2010

Cambridge, MA

Financial Restructuring
Roundtable

Transportation, Lodging & Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Commerce Bank, N.A., now known as TD Bank	Partial guarantee of commercial loan to Springside, LLC (Part VII, Line 224)	P1
2.	Commerce Bank, N.A., now known as TD Bank	Partial guarantee of commercial loan to Springside, LLC (Part VII, Line 224)	P1
3.	Commerce Bank, N.A., now known as TD Bank	Partial guarantee of commercial loan to Springside, LLC (Part VII, Line 224)	P1
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wilmington Trust Account	A	Interest	K	T					
2. Wilmington Trust Account	A	Interest	J	T					
3. Wilmington Tax Exempt Investor		None	J	T					
4. Delaware State Bond 6.1%		None	K	T					
5. Trust Account #6	C	Dividend	M	T					
6. - Evergreen Municipal Money Market FD (cash equivalent)					Closed	07/12/10	J		
7. - Centerpoint Energy Inc									
8. - Harris Assoc Oakmark I					Sold (part)	10/19/10	L	D	
9. - Southwest Water Co					Sold	09/14/10	K		
10. - Oppenheimer Limited Term Municipal FD CL C					Buy	03/03/10	L		
11. - WF Advantage Municipal Money Market					Open	07/12/10	K		
12. Trust Account #7	E	Int./Div.	P1	T					
13. - Fairholme Fund					Sold (part)	02/26/10	K	C	
14. - CGM Focus Fund					Sold (part)	02/26/10	K		
15.					Sold	06/17/10	J		
16. - Duke Energy Corp									
17. - Microsoft Corp					Buy (add'l)	06/15/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)			Code 2 (J-P)	Code 1 (A-H)	
18. - Artio Global INVT FDS Intl Equity FD II					Sold (part)	02/26/10	J		
19.					Sold (part)	06/16/10	J		
20.					Sold	12/13/10	K		
21. - The Southern Company									
22. - Federated Strategic Value Fund					Sold (part)	02/26/10	J		
23.					Sold (part)	06/16/10	J		
24.					Sold	12/13/10	K		
25. - WSFS Financial Corp									
26. - Harris Assoc INVT TR Oakmark Equity & Income FD					Sold (part)	02/26/10	K	D	
27.					Sold (part)	06/16/10	K	C	
28. - Harris Assoc INVT TR Oakmark Global Select FD					Sold (part)	02/26/10	K		
29.					Sold (part)	06/16/10	J	A	
30. - Nuveen Tradewinds Global All Cap FD					Sold (part)	02/26/10	K	D	
31.					Buy (add'l)	12/30/10	K		
32. - Oppenheimer Limited Term Municipal Fund					Buy (add'l)	01/27/10	J		
33.					Buy (add'l)	02/24/10	J		
34.					Buy (add'l)	03/03/10	K		

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A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

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35.					Buy (add'l)	03/24/10	J		
36.					Buy (add'l)	04/28/10	J		
37.					Buy (add'l)	05/26/10	J		
38.					Buy (add'l)	06/23/10	J		
39.					Buy (add'l)	07/28/10	J		
40.					Buy (add'l)	08/25/10	J		
41.					Buy (add'l)	09/22/10	J		
42.					Buy (add'l)	10/27/10	J		
43.					Sold (part)	10/27/10	J	A	
44.					Buy (add'l)	11/02/10	M		
45.					Sold (part)	12/30/10	O		
46.	- Oppenheimer Funds Rochester Natl Muni CL A				Buy (add'l)	01/27/10	J		
47.					Buy (add'l)	03/03/10	J		
48.					Buy (add'l)	04/22/10	K		
49.					Buy (add'l)	05/26/10	J		
50.					Buy (add'l)	06/23/10	J		
51.					Buy (add'l)	06/29/10	K		

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(See Columns B1 and D4)

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	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)			Code 2 (J-P)	Code 1 (A-H)	
52.					Buy (add'l)	07/28/10	J		
53.					Buy (add'l)	08/25/10	J		
54.					Buy (add'l)	09/22/10	J		
55.					Buy (add'l)	10/27/10	J		
56.					Sold	11/02/10	M	D	
57. - Dodge & Cox FDS Intl FD					Buy	12/20/10	K		
58.					Buy (add'l)	12/30/10	K		
59. - Matthews Intl FD Asian Growth & Income FD					Buy	12/21/10	K		
60.					Buy (add'l)	12/30/10	K		
61. - Nuveen All American Municipal BD FD Instl					Buy	12/30/10	L		
62. - WHV International Equity FD CL 1					Buy	12/30/10	M		
63. - Vanguard Municipal Bond Fund High Yield					Buy	12/31/10	L		
64. - Harris Assoc Invt TR Oakmark Global FD CL 1					Buy	01/04/10	J		
65.					Buy (add'l)	02/01/10	J		
66.					Buy (add'l)	03/01/10	J		
67.					Buy (add'l)	04/01/10	J		
68.					Buy (add'l)	05/03/10	J		

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(See Columns B1 and D4)

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69.					Buy (add'l)	06/01/10	J		
70.					Sold (part)	06/16/10	J		
71.					Sold	08/23/10	J		
72. IRA Account #1	D	Dividend	N	T					
73. - Oakmark Fund Class I									
74. - Dodge & Cox Intl Stock Fund					Sold (part)	02/26/10	J		
75.					Buy (add'l)	03/03/10	J		
76.					Sold (part)	06/17/10	J		
77.					Sold (part)	08/23/10	J		
78.					Sold (part)	12/13/10	J	A	
79.					Buy (add'l)	12/20/10	J		
80. - Fairholme Fund					Sold (part)	08/07/10	J	C	
81.					Buy (add'l)	08/04/10	J		
82. - Federated Strategic Value Fund					Sold (part)	02/26/10	J		
83.					Buy (add'l)	08/24/10	J		
84.					Sold	12/13/10	K	A	
85. - Harris Assoc Invt TR Oakmark Equity & Income					Sold (part)	02/26/10	K	A	

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(See Columns B1 and D4)

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	Code 1 (A-H)		Code 2 (J-P)	Method Code 3 (Q-W)			Code 2 (J-P)	Code 1 (A-H)	
86.					Sold (part)	06/17/10	J	A	
87.					Sold (part)	12/22/10	K	C	
88. - Artio Global INVT FDS Intl Equity FD II					Sold (part)	02/26/10	J		
89.					Sold (part)	06/17/10	J		
90.					Sold	12/13/10	J		
91. - Kinetics Mutual Funds Inc Paradigm FD					Sold (part)	02/26/10	J		
92.					Sold (part)	06/17/10	J		
93.					Sold	08/03/10	J		
94. - Harris Assoc INVT TR Oakmark Global Select FD					Sold (part)	02/26/10	J	C	
95.					Sold (part)	06/17/10	J	B	
96.					Sold	12/13/10	K	D	
97. - Pimco Unconstrained BD Fund CL Institutional					Buy (add'l)	03/03/10	J		
98.					Sold (part)	10/27/10	K	A	
99.					Buy (add'l)	12/31/10	K		
100. - TCW FDS Inc Total Return BD FD					Sold	01/13/10	K	A	
101. - Templeton Global Total Return FD					Sold	04/30/10	K	B	
102. - JP Morgan TR Strategic Income Oppty SEL CL					Buy	01/14/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

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(See Columns C1 and D3)

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103.					Buy (add'l)	03/03/10	J		
104. - Loomis Sayles FDS I Bond Fund Retail Class					Buy	12/31/10	K		
105. - Matthews Intl FD Asian Growth & Income FD					Buy	12/21/10	J		
106. - Nuveen Tradewinds Global All Cap FD					Buy	12/17/10	J		
107. - WHV International Equity FD CL I					Buy	12/17/10	J		
108. - Pimco FDS Emerging Local BD FD CL P					Buy	12/31/10	J		
109. - Pimco Global Multi Asset FD					Buy	03/03/10	J		
110.					Buy (add'l)	06/28/10	K		
111.					Buy (add'l)	10/27/10	K		
112. - Templeton Income TR Global Total Return FD					Buy	05/03/10	K		
113.					Sold (part)	12/31/10	K	A	
114. - Vanguard Inflation Protected Securities Fund					Buy	12/31/10	J		
115. - Gabelli Gold Natural Resources					Buy	06/18/10	K		
116. IRA Account #2	F	Int./Div.	P1	T					
117. - Dominion Res Inc VA New									
118. - Teco Energy Inc					Sold	08/09/10	K		
119. - Centerpoint Energy Inc									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Dodge & Cox Intl Stock Fund					Sold (part)	02/26/10	L		
121.					Sold (part)	06/17/10	K		
122.					Sold	08/23/10	L		
123. - Fairholme Fund					Sold (part)	02/26/10	L	E	
124.					Buy (add'l)	08/04/10	L		
125. - Devon Energy Corp New									
126. - Exxon Mobil Corp									
127. - Dodge & Cox Global Stock Fund					Sold (part)	02/26/10	K		
128.					Sold (part)	04/27/10	K		
129.					Sold (part)	06/17/10	J	C	
130.					Buy (add'l)	12/20/10	L		
131.					Sold (part)	12/13/10	K	E	
132.					Buy (add'l)	12/31/10	K		
133. - Harris Assoc Invt TR Oakmark Global Select Fund					Sold (part)	02/26/10	K	D	
134.					Sold (part)	06/17/10	K	D	
135.					Sold	12/13/10	L	E	
136. - Harris Assoc Invt TR Oakmark Equity & Income Fund					Sold (part)	02/26/10	M	E	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/17/10	K	D	
138.					Sold (part)	12/22/10	M	E	
139. - Artio Global INVT FDS Intl Equity FD II					Sold (part)	02/26/10	K		
140.					Sold (part)	06/17/10	J		
141.					Sold	12/13/10	K		
142. - Kinetics Mutual FDS Inc Paradigm FD					Sold (part)	02/26/10	K	C	
143.					Sold (part)	06/17/10	K	D	
144.					Sold	08/03/10	L	E	
145. - Market Vector Gold Miners GDX									
146. - Pimco Unconstrained Bd Fund CL Institutional					Buy (add'l)	03/03/10	L		
147.					Sold	10/27/10	M	C	
148. - WHV International Equity FD CL					Sold (part)	02/26/10	L	D	
149.					Sold (part)	06/17/10	K	B	
150. - TCW FDS Inc Total Return BD FD					Sold	01/13/10	M	A	
151. - Templeton Global Total Return FD					Sold (part)	04/08/10	K	B	
152.					Sold	04/30/10	N	E	
153. - Templeton Funds Income TR GLB BD Advisor					Sold	02/01/10	L	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Vanguard Inflation Protected Securities FD					Buy (add'l)	12/31/10	L		
155. - Intel Corp Intc					Buy	08/09/10	K		
156. - Fairholme Funds Inc Focused Income Fund					Buy	04/08/10	K		
157.					Buy (add'l)	12/31/10	L		
158. - JP Morgan TR Strategic Income Oppty SEL CL					Buy	01/14/10	M		
159.					Buy (add'l)	03/03/10	L		
160. - Loomis Sayles FDS I Bond Fund Retail Class					Buy	12/30/10	M		
161. - Matthews Intl FD Asian Growth & Income FD					Buy	12/21/10	L		
162.					Buy (add'l)	12/31/10	K		
163. - Nuveen Tradewinds Global All Cap FD Instl Sh					Buy	12/17/10	L		
164.					Buy (add'l)	12/31/10	L		
165. - Pimco FDS Emerging Local BD FD					Buy	12/31/10	M		
166. - Pimco Global Multi Asset FD					Buy	03/03/10	L		
167.					Buy (add'l)	06/28/10	M		
168.					Buy (add'l)	10/27/10	M		
169. - Templeton Income TR Global Total Return FD					Buy	05/03/10	N		
170.					Sold (part)	12/31/10	M	D	

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

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Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - Gabelli Gold Natural Resources					Buy	06/18/10	K		
172. - Vanguard Whitehall FDS Intl Explorer FD Invs					Buy	04/28/10	K		
173.					Sold (part)	06/18/10	J		
174.					Sold	12/14/10	K	D	
175. - Dodge & Cox Income FD					Buy	03/03/10	L		
176.					Buy (add'l)	03/30/10	J		
177.					Buy (add'l)	06/29/10	J		
178.					Buy (add'l)	09/29/10	J		
179.					Sold	12/13/10	L	B	
180. -Federated Strategic Value Dividend Fund Inst					Buy	08/24/10	L		
181.					Sold	12/13/10	L	D	
182. Trust Account #12	E	Int./Div.	O	T					
183. - Fairholme Fund					Sold (part)	02/26/10	K	E	
184.					Buy (add'l)	08/04/10	K		
185. - CGM Focus Fund					Sold (part)	02/26/10	J		
186.					Sold (part)	06/17/10	J		
187. - Dodge & Cox Intl Stock Fund					Sold (part)	02/26/10	K		

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold (part)	06/16/10	J		
189.					Sold	08/23/10	K		
190.					Buy (add'l)	12/20/10	J		
191. - Cisco Systems Inc									
192. - Johnson & Johnson									
193. - Artio Global INVT FDS Intl Equity FD II					Sold (part)	02/26/10	J		
194.					Sold (part)	06/16/10	J		
195.					Sold	12/13/10	K		
196. - Kinetics Mutual FDS Inc Paradigm FD					Buy (add'l)	01/04/10	J		
197.					Sold (part)	02/26/10	J	B	
198.					Sold (part)	06/16/10	J	A	
199.					Sold	08/03/10	K	C	
200. - Cadence Design Systems Inc					Donated (part)				
201. - Microsoft Corp					Buy (add'l)	06/15/10	J		
202. - Oracle Corp									
203. - Range Resources Corp									
204. - Harris Assoc INVT TR Oakmark Global Seletct FD					Sold (part)	02/26/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	06/16/10	J	A	
206. - Harris Assoc INVT TR Oakmark Equity & Income FD					Sold (part)	02/26/10	K	D	
207.					Sold (part)	06/16/10	J	C	
208. - Oppenheimer Funds Rochester Natl Mun CL A					Buy (add'l)	11/02/10	K		
209.					Sold	11/02/10	L	D	
210. - WHV International Equity FD					Sold (part)	02/26/10	J	B	
211.					Sold (part)	06/16/10	J	A	
212. - Oppenheimer Limited Term Municipal Fund Class A					Buy (add'l)	03/03/10	M		
213.					Sold (part)	10/14/10	K	A	
214.					Buy (add'l)	11/02/10	L		
215.					Sold (part)	12/30/10	L		
216. - Federated Strategic Value Dividend Fund Inst					Buy	08/24/10	K		
217.					Sold	12/13/10	K	B	
218. - Wilmington Trust Corp					Buy	10/07/10	K		
219.					Sold	06/17/10	J		
220. - Matthews Intl FD Asian Growth & Income FD					Buy	12/21/10	J		
221. - Nuveen All American Municipal BD FD					Buy	12/31/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. - Nuveen Tradewinds Global All-Cap FD Instl Sh					Buy	12/22/10	K		
223. - Vanguard Municipal Bond Fund High Yield Port					Buy	12/31/10	K		
224. Springside, LLC (2000 - \$300,000)		None	P1	W					
225. Legg Mason Opportunity Trust		None			Sold	03/03/10	K		
226. Middletown Professional Properties, LLC, (2004 - \$80,925)	E	Rent	L	R					
227. Schneider Value Fund		None			Sold	03/17/10	L		
228. Trust #13	A	Int./Div.			Closed	04/20/10	J		
229. - Federated Municipal Ultrashort Fund Institutional Class					Sold (part)	02/01/10	J	A	
230.					Sold (part)	03/16/10	K	A	
231.					Sold	04/20/10	K	A	
232. Trust #14	E	Int./Div.	N	T					
233. - Du Page Cook & Will Cntys IL Bond									
234. - Rhode Island St Economic DV Corp RV Grant									
235. - Oklahoma Cnty Ok Indpt Sch Dist No 89									
236. - Milwaukee WI Corp Purp B1 G/O Unltd									
237. - University TX Univ Revs Rfdg Fing Sys					Buy (add'l)	03/25/10	L		
238.					Sold (part)	03/25/10	K	B	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A=\$1,000 or less

F=\$50,001 - \$100,000

J=\$15,000 or less

N=\$250,001 - \$500,000

P3=\$25,000,001 - \$50,000,000

Q=Appraisal

U=Book Value

B=\$1,001 - \$2,500

G=\$100,001 - \$1,000,000

K=\$15,001 - \$50,000

O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)

V=Other

C=\$2,501 - \$5,000

H1=\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000

P1=\$1,000,001 - \$5,000,000

P4=More than \$5,000,000

S=Assessment

W=Estimated

D=\$5,001 - \$15,000

H2=More than \$5,000,000

M=\$100,001 - \$250,000

P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

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Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - Gwinnett Cnty GA Sch Dist G/O									
240. - Tennessee ST Ser A G/O									
241. - Maryland St St & Loc FACS LN 2nd Ser G/O									
242. - Cherokee Cnty GA Sch Sys G/O									
243. - Illinois St Toll Hwy Auth Rev SR Priority									
244. - New York St Environmental Facs Corp St									
245. - Arizona Brd Regents Univ AZ Sys Rev Ser A									

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Asset listed in Part VII, Line 226, has rental income from property located in Middletown, DE. This asset was purchased in 2004 for \$80,925.

Asset listed in Part VII, Line 71 of prior year report, should not have been listed as a part sale. The asset was completely sold in 2010.

Asset listed in Part VII, Line 126 - changed from XTO Energy Inc to Exxon Mobil Corp

FINANCIAL DISCLOSURE REPORT

Page 21 of 21

Name of Person Reporting

Ambro, Thomas L.

Date of Report

05/10/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas L. Ambro**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544