

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brinmer, Philip A.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 05/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 901 19th Street Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Executive Committee	Colorado IP Inn of Court
2. Successor co-trustee	Mother's Trust
3. Co-trustee	Father's Trust
4. Co-personal representative (i.e. co-executor)	Father's Estate
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2001	Denver Employees Retirement Plan; pension at age 55; no control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Denver Employees Retirement Plan	\$7,361.04
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Self employed behavioral scientist
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Direct Loan Servicing Center -- U.S. Dept. of Education	Student Loan	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One 360 (formerly ING Direct)	A	Interest	J	T					
2. DWS Prime Money Market (Y)									
3. Invesco (Van Kampen) High Yield Municipal Fund A	A	Interest	J	T					
4. Invesco (Van Kampen) Equity & Income	A	Dividend	J	T					
5. Invesco (Van Kampen) Comstock Class A (IRA)	A	Dividend	J	T					
6. Invesco (Van Kampen) Mid Cap Growth Fund A (IRA)	A	Dividend	K	T					
7. Invesco (Van Kampen) Developing Markets Class A (IRA)	A	Dividend	J	T					
8. Vanguard 500 Index	E	Dividend	P1	T					
9. Vanguard Intermediate Term Bond	B	Dividend	K	T					
10. Vanguard Precious Metals	A	Dividend	K	T					
11. Vanguard REIT Index	B	Dividend	L	T					
12. Vanguard Total Internat'l Stock	A	Dividend	K	T					
13. Vanguard Long-Term Tax Exempt Bond	A	Interest	K	T					
14. Vanguard Prime Money Market (IRA)	A	Interest	K	T					
15. Vanguard Long-Term Bond Index (IRA)	A	Dividend	K	T					
16. Vanguard Extended Market Index (IRA)	D	Dividend	N	T					
17. Vanguard 500 Index (Roth IRA)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Vanguard Pacific Index (Roth IRA)	A	Dividend	J	T					
19. Vanguard Windsor II (IRA)	D	Dividend	M	T					
20. Vanguard Total Stock Market Index (Roth IRA)	A	Dividend	J	T					
21. Berkshire Hathaway Class B		None	L	T					
22. Vanguard Prime Money Market (rollover IRA)	A	Interest	L	T					
23. CollegeInvest Direct Portfolio 529 Plan, Mod. Age-Based Option (#1)		None	M	T					
24. CollegeInvest Direct Portfolio 529 Plan, Mod. Age-Based Option (#2)		None	M	T					
25.									
26.									
27. Vanguard 500	A	Dividend	J	T					
28. Vanguard Long-Term Tax-Exempt Bond	A	Dividend	L	T					
29. Vanguard Windsor	C	Dividend	M	T					
30. Vanguard Windsor IRA	B	Dividend	J	T	Sold (part)	12/01/16	J		
31. RBC Bank Deposit Program (successor to TRMXX)	A	Interest	L	T					
32. BP PLC	B	Dividend	K	T					
33. CVX	B	Dividend	L	T					
34. CSCO	A	Dividend	J	T					

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35. DISH	A	Dividend	J	T					
36. SATS		None	J	T					
37. American Funds - Europacific Growth Fund FD (AEPGX)	A	Dividend	K	T					
38. XOM	B	Dividend	L	T					
39. FCX	A	Dividend	J	T					
40. GE	A	Dividend	J	T					
41. INTC	A	Dividend	J	T					
42. IBM	A	Dividend	J	T					
43. KEY	A	Dividend	J	T					
44. MSFT	A	Dividend	J	T					
45. RDSB	A	Dividend	J	T					
46. SLB	A	Dividend	J	T					
47. Lost Soldier Field (mineral interest, Sweetwater Cty, WY) (CAB trust)	E	Royalty	N	W					
48. South Baggs Field (mineral interest, Carbon Cty, WY) (CAB trust)	A	Royalty	J	W					
49. Medicine Bow Field (mineral interest, Carbon Cty, WY) (CAB trust)	A	Royalty	J	W					
50. Battle Mtn Field (mineral interest, Moffat Cty, CO) (CAB trust)	A	Royalty	J	W					
51. Robbers Dog Field (mineral interest, Carbon Cty, WY) (CAB trust)	A	Royalty	J	W					

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52. Lost Mahoney Dome Field (mineral interest, Carbon Cty, WY) (CAB trust)	A	Royalty	J	W					
53. Mineral working interest, W. Vir., Logan Cty (CAB trust)	A	Royalty	J	W					
54. Big Hole Land Bank (Moffat Cty, CO) (CAB trust)	A	Royalty	J	W					
55. Crooks Gap Field (mineral interest, Fremont Cty, WY) (CAB trust)	A	Royalty	J	W					
56. Nonprod. mineral int. Carbon, Fremont, Sweetwater Clys, WY (CAB tr)		None	J	W					
57. Nonproducing mineral int. in Routt and Moffat Clys, CO (CAB trust)		None	J	W					
58. Residential property near Centennial, WY (1/12 interest)		None	M	W					
59. Mercedes auto (CAB estate - undivided) (Y)									
60. Residential property in Cheyenne, WY (EDB Trust - undivided)		None	N	W					
61. Jonah Bank checking account (CAB estate)	A	Interest	L	T					
62. Vanguard Intermediate Term Bond (IRA) (Y)	A	Dividend	J	T					
63. Vanguard Total Stock Market Index (IRA) (Y)	A	Dividend	K	T					
64. Vanguard Federal Money Market Fund (SEP IRA) (X)	A	Dividend	J	T	Buy	09/12/16	J		
65.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section III.A (Non-Investment Income) -- I received income during the reporting period from employment by the United States.

Section VII, item 2 - DSW Prime Money Market -- Sold in March 2014, value code J.

Section VII, items 27-30 -- Reflects my 1/4 sibling share after division and distribution from parent trust or estate.

Section VII, item 59 -- Asset sold approximately 2-2.15 to a sibling and proceeds distributed to siblings equally.

Section VII, items 62 and 63 - Spouse's IRA accounts opened in May 2013 via transfer from Item 22.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Philip A. Brimmer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544