

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Canby, Jr., William C.	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 05/05/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (Senior status)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 612 U.S. Courthouse 401 W. Washington St., SPC 55 Phoenix, AZ 85003-2156	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Committee Member	Arizona State University College of Law; Indian Legal Program
2. Member	Arizona State University Law Society
3. Member	Arizona State, Tribal and Federal Court Forum
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 7/1/67	Arizona State Retirement System receiving retirement from prior teaching
2. 7/1/76	Teachers Insurance & Annuity Ass'n receiving retirement from prior teaching
3.	

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Canby Jr., William C.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Arizon State Retirement System	\$45,736.00
2. 2009	Teachers Ins. & Annuity Ass'n Retirement Pension	\$4,241.00
3. 2009	West Publishing Co. Royalties	\$11,968.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	State of Utah (Pelt v. State of Utah - Mediation)	06/30-07/01/2009	Salt Lake City, Utah	Mediation Session	transportation, meals, lodging
2.	(same as above)	07/30-31/2009	(same as above)	(same as above)	(same as above)
3.	(same as above)	08/17-19/2009	(same as above)	(same as above)	(same as above)
4.	(same as above)	10/18-19/2009	(same as above)	(same as above)	(same as above)
5.	(same as above)	11/12-13/2009	(same as above)	(same as above)	(same as above)

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Arizona State Retirement	E	Distribution	N	T					
2. Teachers Ins. & Ann. Ass'n	C	Distribution	K	T					
3. USAA S+P 500 Index Fund	C	Dividend	M	T	Buy Monthly	01/05/09	J		
4. T.Rowe Price MidCap Value Fund	B	Dividend	M	T	Buy Monthly	01/05/09	J		
5. T.Rowe Price MidCap Growth Fund	A	Dividend	M	T	Buy Monthly	01/05/09	J		
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:
(See Columns D1 and D4)
2. Value Codes:
(See Columns C1 and D3)
3. Value Method Codes:
(See Column C2)

A = \$1,000 or less
B = \$1,001 - \$2,500
C = \$2,501 - \$5,000
D = \$5,001 - \$15,000
E = \$15,001 - \$50,000
F = \$50,001 - \$100,000
G = \$100,001 - \$1,000,000
H = \$1,000,001 - \$5,000,000
I = \$5,000,001 - \$100,000,000
J = \$15,000 or less
K = \$15,001 - \$50,000
L = \$50,001 - \$1,000,000
M = \$1,000,001 - \$5,000,000
N = \$5,000,001 - \$25,000,000
O = \$25,000,001 - \$50,000,000
P = Appraisal
Q = Cost (Real Estate Only)
R = Other
S = Assessment
T = Cash Market
U = Book Value
V = Other
W = Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII. Investments and Trusts (lines 3, 4 and 5) Col. D(2). I buy the same dollar amount of these three investments on the 5th of every month. The only way your software would take the entry is to put the first month in. That transaction, however, is repeated every month on the same date. The value codes shown reflect the total for the year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544