

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Daniel, Wiley Y.	2. Court or Organization U.S. District Court, Colorado	3. Date of Report 04/28/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States District Court 901 19th Street, A1038 Denver, Colorado 80294	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Roundup River Ranch
2. President and Member of Board	Federal Judges Association
3. Director	Center for Legal Inclusiveness, formerly Colorado Campaign for Inclusive Excellence
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Daniel, Wiley Y.

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/28/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	University of Colorado School of Law for Teaching	\$7,200.00
2. 2010	University of Denver Sturm College of Law for Teaching	\$6,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Law Education Institute & Colorado Bar Association	1/6 to 1/10 2010	Vail, CO	Speaker at CLE Program	Lodging & Food
2. Federal Judges Association	5/21 to 5/26 2010	Washington, D.C.	FJA Quadrennial Meeting	Transportation, Food & Lodging
3. Federal Judges Association	9/14 to 9/16 2010	Washington, D.C.	Congressional Reception	Transportation, Food & Lodging
4. Federal Judges Association	10/13 to 10/16 2010	New Orleans, LA	National Conference of Bankruptcy Judges	Transportation, Food & Lodging
5. Federal Judges Association	11/7 to 11/10 2010	Dakar, Senegal	International Association of Judges Conference	Transportation, Food & Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/28/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Countrywide Mortgage	Mortgage on real property in Frisco, CO	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/28/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Frisco, CO real property	D	Distribution	K	W					
2. Denver, CO real property	D	Distribution	M	W					
3. Charles Schwab IRA Mutual Fund Holdings: (Listed Below)									
4. Oakmark Select	A	Dividend	J	T	Sold (part)	04/05/10	J	A	N/A
5. Turner Midcap Growth		None	J	T	Sold (part)	08/10/10	J	A	N/A
6. Harbor Capital Appreciation		None	J	T					
7. Artisan International	A	Dividend	K	T	Sold (part)	03/11/10	J	A	N/A
8. Oakmark International	A	Dividend	J	T	Sold (part)	04/05/10	J	A	N/A
9. Oppenheimer Developing Markets	A	Dividend	J	T	Sold (part)	03/11/10	J	A	N/A
10. Loomis Sayles Bond	B	Dividend	K	T	Sold (part)	02/12/10	J	B	N/A
11. Select American Shares	A	Dividend	J	T					
12. Wasatch Small Cap Growth		None	J	T	Sold (part)	03/11/10	J	A	N/A
13. Schwab Money Market Fund	A	Dividend	J	T					
14. Berkshire Hathaway		None	K	T	Sold (part)	09/14/10	J	C	N/A
15.									
16.									
17.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/28/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Daniel, Wiley Y.

Date of Report

04/28/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Wiley Y. Daniel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544