



CHAMBERS OF
HARRIS L. HARTZ
CIRCUIT JUDGE

UNITED STATES COURT OF APPEALS
TENTH CIRCUIT

201 THIRD STREET NW • SUITE 1870
ALBUQUERQUE, NM 87102

TELEPHONE
(505) 843-6196
FAX (505) 843-6202

July 28, 2004

Judge Mary M. Lisi, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbia Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2003 Filing

Dear Chair Lisi:

I am responding to your letter of July 2, 2004. Please amend my 2003 report as follows:

1. In part VII, page 8, line 130, Column C(2), change the code from "W" to "T."
2. In Part VII, page 8, line 131, Column C(2), change the code from "U" to "T."
3. In Part VIII add:

"3. The asset on line 108 of my 2002 report no longer meets the threshold for reporting."

Yours truly,


Harris L. Hartz

HLH/rb

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AUG 2 11 02 AM '04

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)

Hartz, Harris L

2. Court or Organization

Tenth Circuit Court of Appeals

3. Date of Report

4/27/2004

4. Title (Article III Judges indicate active or senior status;
magistrate judges indicate full- or part-time)

Circuit Judge Active

5. Report Type (check appropriate type)

☐ Nomination, Date

☐ Initial

☒ Annual

☐ Final

6. Reporting Period

1/1/2003

to

12/31/2003

7. Chambers or Office Address

201 Third St. NW, #1870

Albuquerque, NM 87102

8. On the basis of the information contained in this Report and any
modifications pertaining thereto, it is, in my opinion, in compliance
with applicable laws and regulations.

Reviewing Officer

Date

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee

Trust No. 1

2. Trustee

Trust No. 2

3. Chair-elect

ABA Appellate Judges Conference

4. Director

Appellate Judges Education Institute

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

My judicial pension from the State of New Mexico has vested and will be distributed when I am age-eligible

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Hertz, Harris L.

Date of Report

4/27/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME
(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. ABA

1/23-24, Dallas, Texas, Appellate Judges Conference (Travel, meals, and room)

2. ABA

3/15-16, Chicago, IL, Appellate Judges Conference. (Travel, meals, and room)

3. ABA

9/18-20, Chicago, IL, Appellate Judges Conference (Travel, meals, and room)

4. ALI

10/2-5, Montreal, Canada, Advisers to Restatement (Third) of Agency (Travel, meals, and room)

5. ABA

11/7-8, Dallas, Texas, Appellate Judges Conference (Travel, meals, and room)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Hartz, Harris L

Date of Report

4/27/2014

V. GIFTS. (Includes those to spouse and dependent children. See pp. 25-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 8

Name of Person Reporting

Hartz, Harris L

Date of Report

4/27/2004

VIL INVESTMENTS and TRUSTS - Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div, rent, or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, margn, redemption)	(2) Date: Month- Day	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Trust I	D	Div. and int	O	T					
2. --BRKA									
3. --OIB									
4. --NUV									
5. --PNW									
6. --SPI									
7. --SO									
8. --COP									
9. --ATF									
10. --MIRKQ (symbol was MIR)									
11. --Farmington NM Pwr Rev, due 1/13, muni bd									
12. --Unit IMT Muni Ins. Long Term 36 QPS, bond fund									
13. --Unit IMT Muni Ins. Long Term 40 QPS, bond fund									
14. --Unit IMT Muni Ins. Long Term 39 QPS, muni bd fund									
15. --Unit Muni Bd Trust Series 7, muni bd fund									
16. --TWCUX									
17. --FHIX									
18. --JANSX									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000

(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market

U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting
Hartz, Harris L.

Date of Report
4/27/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. --NGUAX									
20. --NPRTX									
21. --AMOBX									
22. --GLBBX									
23. --TGRBX									
24. --USGBX									
25. --DINBX									
26. --San Juan County, NM Real Estate									
27. Trust 2	D	Dividend	M	T					
28. --Fidelity Adviser Equity Grth I#86, mutual fd					Partial sale	4/2	J	B	
29. "					Partial sale	7/25	J	B	
30. "					Partial sale	9/15	J	C	
31. "					Partial sale	12/19	J	B	
32. --Scudder Adviser Fds (Deutsche Small Cp. Fd.)					Buy	5/12	J		
33. --SEI Large Cap Value Fd, mutual fd					Sale	12/19	K		
34. --SEI Bond Index Fd.					Partial buy	5/12	J		
35. "					Partial buy	6/11	J		
36. --SEI Small Cap Growth Fd, mutual fd					Partial sale	4/11	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B3 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
			W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting
Hartz, Harris L.

Date of Report
4/27/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, transfer, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. "					Sale	5/12	J		
38. --SEI Small Cap Value Fd, mutual fd					Partial sale	1/15	J		
39. "					Partial sale	6/11	J		
40. "					Sale	12/19	J	A	
41. --T. Rowe Price Equity Inc. Fd. #71					Partial buy	5/12	J		
42. "					Partial buy	6/11	J		
43. "					Partial buy	7/25	J		
44. "					Partial buy	12/19	K		
45. --T. Rowe Price High Yield Fd.					Buy	5/12	J		
46. --Vanguard Special Port Healthcare #52, mutual fd					Partial sale	4/2	J	C	
47. "					Partial sale	5/12	J	B	
48. "					Partial sale	6/11	J	C	
49. "					Partial sale	9/15	J	B	
50. --SEI Int'l Equity Fd, mutual fd					Partial sale	6/11	J		
51. "					Partial Sale	9/15	J		
52. "					Sale	12/22	J		
53. --SEI Prime Obligation fund, mutual fund									
54. --SEI Core Fixed Income Fd, mutual fd									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000

(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Code: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market

U = Other Value V = Other W = Insurance

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Hartz, Harris L

Date of Report

4/27/2004

VIL INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
55. --SEI GNMA Fd, mutual fd					Partial buy	9/15	J		
56. "					Partial Buy	12/19	J		
57. --SEI High Yield Bond Fd, mutual fd					Partial Buy	1/15	J		
58. "					Partial Sale	5/12	J	A	
59. --Blackrock Int'l Bond Fd, mutual fd					Partial Buy	1/15	J		
60. "					Partial Buy	3/6	J		
61. "					Partial Buy	6/11	J		
62. "					Partial Buy	7/25	J		
63. Parcel 1 Unimproved real estate in San Juan County, NM	B	Rent	M	W					
64. Parcel 2 Unimproved real estate in San Juan County, NM	A	Rent	L	W					
65. Trust 3 (formerly Trust 4)	D	Dividend	M	T					
66. --BRKA									
67. --BRKB					Partial sale	8/25	J	B	
68. "					Partial sale	9/25	J	B	
69. "					Partial sale	10/24	J	B	
70. "					Partial sale	11/26	J	B	
71. --CMS (previously misidentified as CMP)									
72. --SBF									

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(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market

U = Other V = Other W = Estimated

- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

	(1)	(2)	(1)	(2)	(1)	If disclosure			
						(2)	(3) Code 2	(4) 1	(5)
								A	
79.					Partial sale	7/25	J	A	
80.					Partial sale	10/10	J	A	
81.					Partial sale	11/11	J	A	
82.					Partial sale	12/10	J	A	
83.	--ILAF								
84.	--FASMX								
85.	--VADBX								
86.	--OEQAX (symbol was OPTRX)								
87.	403B account	C Dividend	J	T					
88.	--PGRWX								
89.	401(k) account	E Dividend	M	T					
90.	--DRTCX				Sale	9/30	K		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

					D.				
	(1)	(2)	(1)	(2)	(1)	disclosure			
						(2)	(3)	(4)	(5)
						Day	(J-P)		

98.

- | | | | | | |
|-------------------------|--------------------------------|------------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes: | A = \$1,000 or less | B = \$1,001-\$2,500 | C = \$2,501-\$5,000 | D = \$5,001-\$15,000 | E = \$15,001-\$50,000 |
| (See Columns M1 and D4) | F = \$50,001-\$100,000 | G = \$100,001-\$1,000,000 | H1 = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000 | |
| 2. Value Codes: | J = \$15,000 or less | K = \$15,001-\$50,000 | L = \$50,001-\$100,000 | M = \$100,001-\$250,000 | |
| (See Columns C1 and D5) | N = \$250,000-\$500,000 | O = \$500,001-\$1,000,000 | P1 = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 | |
| | P3 = \$25,000,001-\$50,000,000 | | P4 = \$More than \$50,000,000 | | |
| 3. Value Method Codes | Q = Appraisal | Jc = Cost (Real Estate Only) | S = Assessment | T = Cash/Market | |
| (See Columns C2) | U = Book Value | V = Other | W = Estimated | | |

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
Hartz, Harris L

Date of Report
4/27/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
109. -ING GET Fund Series E									
110. Allstate Life Ins. Co. annuity	A	Interest	K	T					
111. Northwestern Mutual Life Annuity	A	Dividend	K	T	rolled over	2/5			
112. C.M. Life Insurance Policy	C	Interest	L	T					
113. MONY Life Insurance Policy	A	Interest	K	T					
114. Security Connecticut Life Ins. Policy #1	A	Interest	K	T					
115. Security Connecticut Life Ins. Policy #2	A	Interest	K	T					
116. BABEX	A	Dividend	L	T					
117. EVGFX		None	J	T					
118. ●PPAX	A	Dividend	K	T					
119. SCINX	A	Dividend	K	T					
120. MSDW Active Assets Money Trust, mutual fd	A	Dividend	L	T					
121. AMOBX		None	J	T					
122. DIVBX	C	Dividend	K	T					
123. EUGBX	A	Dividend	J	T					
124. GLBBX	A	Dividend	K	T					
125. UTLBX	A	Dividend	J	T					
126. BRKB		None	L	T	Buy	11/14	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D5)	N = \$250,000-\$500,000	● = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C1)	U = Book Value	V = Other	W = Estimator		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Hartz, Harris L

Date of Report

4/27/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-F)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-W)	(5) Identity of buyer/seller (if private transaction)
127. OIA	A	Dividend	J	T					
128. Technology Commercialization Int'l, cmn		None	K	W					
129. Wells Fargo Bank account	A	Interest	L	T					
130. State of Israel Bonds	A	Interest	J	W					
131. US Savings Bonds	C	Interest	L	U					
132. Tennis Club of Albuquerque debenture	A	Interest	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C3)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Hartz, Harris L.

Date of Report

4/27/2004

VII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

1. On Line 102, this asset ~~has~~ been misidentified with the symbol USGBX on prior forms.
2. Trust 3 on the 2002 form no longer ~~meets~~ the threshold for reporting.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Hartz, Harris L.

Date of Report

4/27/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4/28/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301

One Columbus Circle, N.E.
Washington, D.C. 20544