

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vanaskie, Thomas I	2. Court or Organization U.S. Dist. Ct. M.D. of PA	3. Date of Report 05/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address P.O. Box 913 Scranton, PA 18501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

RECEIVED
2007 MAY 21 A 10:07
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Vanaskie, Thomas I

Date of Report

05/15/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Greater Scranton YMCA
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Pennsylvania Bar Institute	March 6, 2006 - Philadelphia, PA - E-Discovery Seminar (Transportation)
2. New York Intellectual Property Law Association	March 24-25, 2006, New York, NY - Annual Dinner (Transportation, Lodging, Meals)
3. American Bar Association Law Practice Management Section	April 19-20, 2006, Chicago, IL - Teleshow 2006 (Transportation, Lodging, Meal)
4. National Center for State Courts	December 10-13, 2006, Las Vegas, NV - E-Filing Conference (Transportation, Lodging, Meals)
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

Vanaskie, Thomas I

Date of Report

05/15/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Federal Supplement and F.R.D.	West Publishing Co.	\$ 3000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae (Formerly College Credit)	Loan for [REDACTED] college education	J
2.	Capital One	Credit Card	J
3.	MBNA	Credit Card	None
4.	Nelnet	Loan for [REDACTED] college education	M
5.	ACS	Loan for [REDACTED] college education	L
6.	Capital One	Personal Loan	J
7.	Chase	Credit Card	K
8.	American Express	Credit Card	J
9.	Mohela	[REDACTED] Loan for Post-Graduate Education	K

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Vanaskie, Thomas I

Date of Report

05/15/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	A	Int./Div.	K	T					
2. -AIM Weingarten Mutual Fund					Sold	1/9	K	D	
3. -Davis NY Venture Mutual Fund					Sold	1/9	K	D	
4. -Jennison Utility Fund, Class A					Part. Sale	2/7	J	C	
5. -Lord Abbett Affiliated Mutual Fund					Sold	1/31	J	B	
6. -Gabelli Value Mutual Fund					Sold	1/31	J	B	
7. -Janus Investment Worldwide Mutual Fund					Sold	1/31	J	B	
8. -Robertson Stephens Inv. Trust - Emerging Growth Fund					Sold	1/27	J	B	
9. -John Hancock Global Tech Fund					Sold	1/31	J		
10. -Nokia Common Stock					Sold	1/27	J		
11. -Vasomedical Common Stock									
12. -Nuveen Preferred and Conv. Income Fund					Sold	1/27	J	A	
13. -Evergreen Money Market Fund					Sold	1/27	J	A	
14. -Wachovia Money Market Fund					Buy	1/9	J		
15. IRA #2 - Closed									
16. Penn Security Bank Account	A	Interest	J	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Vanaskie, Thomas I

Date of Report

05/15/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII of the Report

All mutual funds and equity investments have automatic reinvestment features, with income from those funds and equities used to purchase additional shares. Consistent with reports for prior years, I have divided investment assets by IRA account. The assets in IRA account #2 were sold in 2005 and the funds withdrawn to pay for higher education expenses. IRA account #2 was closed in 2006. The gains on sale of mutual funds in IRA #1 are estimates. Because withdrawals from the IRA are treated as ordinary income, no effort has been made to calculate with precision the amount of capital gain or loss. It should be noted that losses were sustained on the items for which no gain code has been entered. The Wachovia Money Market Fund on line 14 is held within IRA #1. It is a cash sweep account with monies held in an interest-bearing deposit account.

In accordance with advice on p. 52 of the Filing Instructions, deposits into and withdrawals from money market funds have not been reported.

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Vanaskie, Thomas I

Date of Report

05/15/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5-15-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544