

UNITED STATES COURT OF APPEALS

157 CHURCH STREET
NEW HAVEN, CT 06510-2030

SELF INITIATED
AMENDMENT

CHAMBERS OF
JOHN M. WALKER, JR.
CIRCUIT JUDGE

(203) 773-2181


May 29, 2007

Committee on Financial Disclosure
Administrative Office of the United
States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, DC 20544

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2007 MAY 31 A 11:28
FINANCIAL
DISCLOSURE OFFICE

Re: Form AO 10 -Financial Disclosure Report
Judge John M. Walker, Jr.
Calendar Year 2006

Gentlemen:

I write to amend my Financial Disclosure Report for calendar
year 2006 as follows:

Section I. Positions

POSITION

NAME OF ORGANIZATION/ENTITY

Director

Foundation for the Advancement of An
Independent Judiciary and the Rule of Law

If you require anything further, please do not hesitate to
contact me.

Sincerely,


M. Walker, Jr.
Circuit Judge

klb

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walker, John M	2. Court or Organization 2d Circuit Court of Appeals	3. Date of Report 05/9/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States Court of Appeals 157 Church Street New Haven, CT 06510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Dwight D. Opperman Institute of Judicial Administration (formerly known as Institute of Judicial Administration)
2. Past President	Federal Judges Association
3. Director	U.S. Association of Constitutional Law
4. Director	The CEELI Institute
5. Director	American Society of International Law, Judicial Advisory Board

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Feb-Apr	Income as Visiting Lecturer, Yale Law School	\$ 10,000.00
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Society of International Law	Jan 12-13, New York, NY Meeting of Board of Directors (hotel, transportation, meals)
2. American Judicature Society	Apr 10-11, New York, NY Meeting of the Devitt Award Committee (hotel, transportation, meals)
3. New York State Bar Association	May 6-7, New York, NY Recipient of the Haigf Award (hotel, transportation, meals)
4. Federal Judges Association	May 7-9, Pentagon City, Virginia Quadrennial Conference (hotel, transportation, meals)
5. American Bar Association, CEELI	July 13-15 Prague, Czech Republic Meeting of Board Directors & Conference (hotel,

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transportation, meals)

6. American Bar Association

Sept 16-17 Chicago, Illinois Rule of Law Symposium (hotel, transportation, meals)

7. American Bar Association, CEELI

Nov 4-Nov 15 Tirana, Albania Rule of Law Symposium (hotel, transportation, meals)

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT									
For: Walker, Jr., John M.									
VII. Investments and Trusts									
A.		B.		C.		D.			
		Income during reporting period		Gross Value at end of reporting period		Transactions during reporting period			
		(1)	(2)	(1)	(2)	If not exempt from disclosure			
						(1)	(2)	(3)	(4)
									(5)
Description of Assets		Amount	Type	Value	Value	Type	Date:	Value	Gain
		Code		Code	Method		Month/day	Code	Code
									Identity of buyer/seller if private trans
--COMMON STOCK--									
ABERCROMBIE & FITCH CO.		A	DIVIDEND			BUY	10/26	L	
						SELL	11/30	L	
AMERICAN INT'L GROUP			None			SELL	2/2	L	B
AMGEN INC.			None			SELL	5/17	K	D
APOLLO GROUP			None			BUY	3/16	J	
						SELL	10/20	K	
AUTO ZONE			None	K	T	Partial Sale	10/25	K	D
BED BATH & BEYOND INC			None	K	T				
BRIGHT HORIZON FAMILY SOLUTIONS INC			None	K	T				
CARDINAL HEALTH INC COM		A	DIVIDEND	K	T				
CHEVRON CORP		B	DIVIDEND	L	T	BUY	3/8	J	
CINTAS CORP.			None	K	T	BUY	3/8	K	
CISCO SYST. INC			None	L	T				
COGENT INC			None			BUY	7/25	K	
						SELL	10/31	K	
DIGENE CORP.			None	L	T	BUY	3/8	J	
EBAY			None			SELL	7/21	K	C
EMC CORP.			None			BUY	1/13	K	
						SELL	10/17	K	
ENCANA CORP		A	DIVIDEND			BUY	10/20	L	
						SELL	12/22	L	
GENERAL ELECTRIC CO.			None	J	T				
INTERNATIONAL BUSINESS MACHINES CORP		A	DIVIDEND	L	T				
INVITROGEN CORP.			None	L	T				
LOWES CO. INC.		A	DIVIDEND	L	T	BUY	11/9	K	
						Partial Sale	5/22	K	B
LUKOIL			None	K	T	BUY	11/2	J	
MEDTRONIC INC		A	DIVIDEND	K	T	Partial Sale	10/26	K	

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A.		B.		C.		D.			
		Income during		Gross Value at end					
		reporting period		of reporting period		Transactions during reporting period			
						If not exempt from disclosure			
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)
								(5)	
Description of Assets		Amount	Type	Value	Value	Type	Date:	Value	Gain
		Code		Code	Method		Month/day	Code	Code
								Identity of	
								buyer/seller	
								if private trans	
MICROSOFT CORP.		A	DIVIDEND	K	T				
MORGAN STANLEY D W		A	DIVIDEND	L	T	BUY	10/31	J	
ODYSSEY HEALTHCARE			None	K	T				
ORACLE CORPORATION			None	L	T	BUY	3/22	K	
PEPSICO INC.		A	DIVIDEND	L	T	BUY	3/8	K	
RED HAT INC. COMPANY			None	K	T	BUY	12/18	K	
						Partial Sale	11/3	J	
ROBERT HALF INTERNATIONAL INC		A	DIVIDEND	L	T	BUY	11/9	L	
ROCHE HOLDINGS LTD			None	K	T	BUY	9/18	K	
SASOL LTD ADR		B	DIVIDEND			SELL	10/19	L	C
SMUCKER JM & CO		A	DIVIDEND	K	T				
UNITED SURGICAL PARTNERS			None	L	T	BUY	12/13	J	
UNITEDHEALTH GROUP INC		A	DIVIDEND	L	T	BUY	3/8	J	
URSTADT BIDDLE PPT A		B	DIVIDEND	K	T				
VALERO ENERGY CORP		A	DIVIDEND	L	T	BUY	11/1	L	
VARIAN MEDICAL SYSTEMS			None	L	T				
WAL MART STORES INC		B	DIVIDEND	M	T				
WALGREEN CO.		A	DIVIDEND	L	T	BUY	3/8	K	
WASHINGTON MUTUAL		A	DIVIDEND			SELL	6/13	K	C
WELLS FARGO & CO		A	DIVIDEND			BUY	3/8	K	
						SELL	10/13	K	C
WHOLE FOODS MKT INC		C	DIVIDEND	L	T	BUY	11/8	K	
						Partial Sale	11/3	K	E
--CASH & EQUIVALENTS--									
PERSHING GOVERNMENT ACCOUNT			None	M	T				
PRIME MANAGEMENT OBLIGATIONS CAP.		C	DIVIDEND	M	T				

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		Income during reporting period		Gross Value at end of reporting period		Transactions during reporting period			
						If not exempt from disclosure			
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4) (5)
									Identity of
Description of Assets		Amount	Type	Value	Value	Type	Date:	Value	Gain buyer/seller
		Code		Code	Method		Month/day	Code	Code if private trans
--CORPORATE BONDS--									
HOUSEHOLD FINANCE		C	INTEREST	L	T				
--BANK ACCOUNTS--									
BANK OF AMERICA		A	INTEREST	J	T				
CITIBANK		A	INTEREST	J	T				
PEOPLES BANK		A	INTEREST	J	T				
--OTHER INVESTMENTS--									
RESIDENTIAL RENTAL, LEW BEACH NY		D	RENT	O	S				
Assessed Value: \$610,000									

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 10, 2007

NOTE: ANY INDIVIDUAL
AND CRIMINAL SA

TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544