

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                           |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>BURNS, ELLEN B.                                                                                                                   | <b>2. Court or Organization</b><br><br>U.S. DISTRICT COURT, CT                                                                                                                                                                                                                            | <b>3. Date of Report</b><br><br>5/12/2011                        |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>SENIOR JUDGE                                                               | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination, <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2010<br>to<br>12/31/2010 |
| <b>7. Chambers or Office Address</b><br><br>UNITED STATES COURTHOUSE<br>141 CHURCH STREET<br>NEW HAVEN, CT 06510                                                                                       | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                                          |                                                                  |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                                           |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>    | <u>NAME OF ORGANIZATION/ENTITY</u> |
|--------------------|------------------------------------|
| 1. Trustee Emerita | Albertus Magnus College            |
| 2.                 |                                    |
| 3.                 |                                    |
| 4.                 |                                    |
| 5.                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

Burns, Ellen B.

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

5/12/2011

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>       | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------------|----------------------------------------|
| 1. 2010     | State of Connecticut pension | \$62,435.40                            |
| 2.          |                              |                                        |
| 3.          |                              |                                        |
| 4.          |                              |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

**FINANCIAL DISCLOSURE REPORT**  
Page 3 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

5/12/2011

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

5/12/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Webster Bank                                                                                                             | B                                       | Interest                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 2. Merrill Lynch money market account                                                                                       | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 3. Verizon stock (absorbed Bell South stock)                                                                                | A                                       | Dividend                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 4. AT&T stock                                                                                                               | A                                       | Dividend                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 5. Van Kampen Fund                                                                                                          | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 6. U.S. Series E Bonds                                                                                                      |                                         | None                                   | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 7. Nuveen Conn. Premium Inc. Municipal Fund                                                                                 | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 8. Dreyfus Conn. Municipal money market Fund                                                                                | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 9. Conn. State Housing Finance Bond                                                                                         | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 10. Nuveen Large Cap Value Fund A                                                                                           | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 11. Ridgefield CT LOT A Bond                                                                                                | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 12. Univ. CT Series A Bond                                                                                                  | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 13. Eli Lilly stock                                                                                                         | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 14. Sprint Nextel Communication stock                                                                                       | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 15. Dreyfus Short Int. Govt. Fund                                                                                           | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 16. IBM stock                                                                                                               | A                                       | Dividend                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 17. Alcatel Lucent                                                                                                          | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |

1. Income Gain Codes:  
(See Columns B1 and D4)

A = \$1,000 or less  
F = \$50,001 - \$100,000  
J = \$15,000 or less  
N = \$250,001 - \$500,000  
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

B = \$1,001 - \$2,500  
G = \$100,001 - \$1,000,000  
K = \$15,001 - \$50,000  
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)  
V = Other

C = \$2,501 - \$5,000  
H1 = \$1,000,001 - \$5,000,000  
L = \$50,001 - \$100,000  
P1 = \$1,000,001 - \$5,000,000  
P4 = More than \$50,000,000  
S = Assessment  
W = Estimated

D = \$5,001 - \$15,000  
H2 = More than \$5,000,000  
M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

5/12/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Mattel, Inc. stock                                                                                                      | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 19. Pfizer, Inc. stock                                                                                                      | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 20. Sara Lee Corp. stock                                                                                                    | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 21. Vodaphone Air Touch stock                                                                                               | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 22. Conn. State Series F Bond                                                                                               | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 23. CT HEFA Rev. Fairfield Bond                                                                                             | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 24. So. Central Conn. Regional Water Authority Bond                                                                         |                                         | None                                   | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 25. United Illuminating Holdings Corp.                                                                                      | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 26. Hartford Financial Services                                                                                             | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 27. Conn. ST Series B Bond                                                                                                  | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 28. Conn. ST. SPL Tax Obl. Bond                                                                                             | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 29. Kraft Foods stock                                                                                                       | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 30. Novartis Ag. Spon ADR                                                                                                   | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 31. Bank of America (formerly MBNA America Bank CD)                                                                         | C                                       | Interest                               | L                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 32. AIG America Classic V annuity                                                                                           |                                         | None                                   | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 33. Comcast Corp. stock                                                                                                     |                                         | None                                   | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 34. Conn. State Housing Finance Bond                                                                                        | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

5/12/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Conn. State Series D Bond                                                                                               | B                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 36. Conn. State Series D Bond                                                                                               | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 37. People's Bank                                                                                                           | C                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 38. John Hancock Tax ADVATG Fund                                                                                            | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 39. MFS International New Discovery FD                                                                                      | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 40. Procter & Gamble stock                                                                                                  | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 41. Torrington Conn. Bond                                                                                                   | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 42. Seymour Conn. Bond                                                                                                      | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 43. Glastonbury Conn. Bond                                                                                                  | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 44. Embarq Corp.                                                                                                            | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 45. LSI Corp.                                                                                                               |                                         | None                                   | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 46. Conn. ST.Health Bond                                                                                                    | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 47. JP Morgan Int'l                                                                                                         | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 48. Conn. ST. OID SER C                                                                                                     | E                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 49. Conn. ST. SPL OBLIG                                                                                                     | B                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 50. Dreyfus Pem. State Mun. Bond FD CT Ser<br>CLA                                                                           | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 51. Trumbull CT Bond                                                                                                        | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |

1. Income Gain Codes:  
(See Columns B1 and D4)

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B = \$1,001 - \$2,500  
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R = Cost (Real Estate Only)  
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M = \$100,001 - \$250,000  
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes  
(See Column C2)

Q = Appraisal  
U = Book Value

# FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

5/12/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                             |   |          |   |   |                          |          |   |  |  |
|-----------------------------|---|----------|---|---|--------------------------|----------|---|--|--|
| 52. Black Rock Global Fund  | A | Interest | K | T |                          |          |   |  |  |
| 53. Time Warner             | A | Dividend | J | T |                          |          |   |  |  |
| 54. Frontier Communications | A | Dividend | J | T | Spinoff<br>(from line 3) | 07/06/10 | K |  |  |
| 55.                         |   |          |   |   |                          |          |   |  |  |

### 1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

### 2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

### 3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

**FINANCIAL DISCLOSURE REPORT**  
Page 8 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

5/12/2011

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

## FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

5/12/2011

### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ELLEN B. BURNS**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                      |                                                              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br>BURNS, ELLEN B.                                                                                                                                             | <b>2. Court or Organization</b><br>U.S. DISTRICT COURT, CT                                                                                                                                                                                                                                           | <b>3. Date of Report</b><br>8/22/2011                        |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br>SENIOR JUDGE                                                                                         | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination, <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br>01/01/2010<br>to<br>12/31/2010 |
| <b>7. Chambers or Office Address</b><br>UNITED STATES COURTHOUSE<br>141 CHURCH STREET<br>NEW HAVEN, CT 06510                                                                                                                 | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                                                     |                                                              |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p> |                                                                                                                                                                                                                                                                                                      |                                                              |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>    | <u>NAME OF ORGANIZATION/ENTITY</u> |
|--------------------|------------------------------------|
| 1. Trustee Emerita | Albertus Magnus College            |
| 2.                 |                                    |
| 3.                 |                                    |
| 4.                 |                                    |
| 5.                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

8/22/2011

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>       | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------------|----------------------------------------|
| 1. 2010     | State of Connecticut pension | \$62,435.40                            |
| 2.          |                              |                                        |
| 3.          |                              |                                        |
| 4.          |                              |                                        |

**B. Spouse's Non-Investment Income** - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

8/22/2011

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

8/22/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 1. Webster Bank                                                                                                             | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Merrill Lynch money market account                                                                                       | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Verizon stock (absorbed Bell South stock)                                                                                | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. AT&T stock                                                                                                               | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Van Kampen Fund                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. U.S. Series E Bonds                                                                                                      |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Nuveen Conn. Premium Inc. Municipal Fund                                                                                 | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Dreyfus Conn. Municipal money market Fund                                                                                | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Conn. State Housing Finance Bond                                                                                         | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Nuveen Large Cap Value Fund A                                                                                           | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Ridgefield CT LOT A Bond                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Univ. CT Series A Bond                                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Eli Lilly stock                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Sprint Nextel Communication stock                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Dreyfus Short Int. Govt. Fund                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. IBM stock                                                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. Alcatel Lucent                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

8/22/2011

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Mattel, Inc. stock                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. Pfizer, Inc. stock                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 20. Sara Lee Corp. stock                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 21. Vodaphone Air Touch stock                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 22. Conn. State Series F Bond                                                                                               | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 23. CT HEFA Rev. Fairfield Bond                                                                                             | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 24. So. Central Conn. Regional Water Authority Bond                                                                         |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 25. United Illuminating Holdings Corp.                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 26. Hartford Financial Services                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 27. Conn. ST Series B Bond                                                                                                  | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. Conn. ST. SPL Tax Obl. Bond                                                                                             | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. Kraft Foods stock                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 30. Novartis Ag. Spon ADR                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 31. Bank of America (formerly MBNA America Bank CD)                                                                         | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 32. AIG America Classic V annuity                                                                                           |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 33. Comcast Corp. stock                                                                                                     |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 34. Conn. State Housing Finance Bond                                                                                        | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

### 3. Value Method Codes

(See Column C2)

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F = \$50,001 - \$100,000

J = \$15,000 or less

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P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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# FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

8/22/2011

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Conn. State Series D Bond                                                                                               | B                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 36. Conn. State Series D Bond                                                                                               | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 37. People's Bank                                                                                                           | C                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 38. John Hancock Tax ADVATG Fund                                                                                            | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 39. MFS International New Discovery FD                                                                                      | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 40. Procter & Gamble stock                                                                                                  | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 41. Torrington Conn. Bond                                                                                                   | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 42. Seymour Conn. Bond                                                                                                      | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 43. Glastonbury Conn. Bond                                                                                                  | A                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 44. Embarq Corp.                                                                                                            | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 45. LSI Corp.                                                                                                               |                                         | None                                   | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 46. Conn. ST.Health Bond                                                                                                    | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 47. Conn. ST. OID SER C                                                                                                     | E                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 48. Conn. ST. SPL OBLIG                                                                                                     | B                                       | Interest                               | K                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 49. Dreyfus Pem. State Mun. Bond FD CT Ser<br>CLA                                                                           | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 50. Trumbull CT Bond                                                                                                        | A                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 51. Black Rock Global Fund                                                                                                  | A                                       | Interest                               | K                                               | T                                  |                                            |                  | K                        |                         |                                                            |

### 1. Income Gain Codes:

(See Columns B1 and D4)

### 2. Value Codes

(See Columns C1 and D3)

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(See Column C2)

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**FINANCIAL DISCLOSURE REPORT**

Page 7 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

8/22/2011

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                        | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |                                                            |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------|------------------------------------|--------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                             | (1)                                     | (2)                                    | (1)                                             | (2)                                | (1)                                        | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                             | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Time Warner (formerly AOL)                                                                                              | A                                       | Dividend                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |
| 53. Frontier Communications                                                                                                 | A                                       | Dividend                               | J                                               | T                                  | Spinoff<br>(from line 3)                   | 07/06/10         | K                        |                         |                                                            |
| 54. Peoples United Financial, Inc.                                                                                          | C                                       | Interest                               | J                                               | T                                  |                                            |                  |                          |                         |                                                            |

## 1. Income Gain Codes:

(See Columns B1 and D4)

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**FINANCIAL DISCLOSURE REPORT**

Page 8 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

8/22/2011

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Line Number 51 Black Rock Global Fund Column D2 was bought January 30, 2008, not in the Reporting Period.

**FINANCIAL DISCLOSURE REPORT**

Page 9 of 9

Name of Person Reporting

BURNS, ELLEN B.

Date of Report

8/22/2011

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ELLEN B. BURNS**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544