

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) MCCURN, NEAL P	2. Court or Organization US DISTRICT COURT NDNY	3. Date of Report 4/1/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address P.O. BOX 7365 100 S. CLINTON ST SYRACUSE, NY 13261-7365	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Board of Visitors	Syracuse University College of Law
2.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. _____	NY State Employees Retirement System	2,587

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. _____	_____

IV. REIMBURSEMENTS - ~~transportation~~ lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. _____	_____

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREATORDESCRIPTIONVALUE CODE1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Brokerage Accts. (Common Stocks & Bonds) UBS & R C Dain Rauscher									
2. AT & T	A	DIV	J	T					
3. AT&T Wireless Serv., Inc		None			sold	10/27	J	B	
4. WEST		None	J	T					
5. Bell South	A	DIV	K	T					
6. SW Bell	A	DIV	K	T					
7. EOG Res. Inc	A	Dividend	J	T					
8. Ingersol Rand Co.	A	Dividend			sold	3/17	J	B	
9. Hewlett Packard	A	Dividend	J	T					
10. NYS Urban Dev. Corp Bond	A	Interest	J	T					
11. Bio Tech HLDRS Dep Rcpts		None	K	T					
12. Eastman Chemical	A	Dividend			sold	3/17	J	A	
13. Eastman Kodak	A	Dividend			sold	3/17	J	A	
14. Amer. Elect. Power Co.	B	Dividend	K	T					
15. IBM	A	Dividend	K	T					
16. Intuitive Surgical - Stock		None	J	T					
17. XEROX	A	Dividend	J	T					
18. LSI Logis - Stock		None	J	T					

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$5,000,001-\$10,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$10,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash/Market	

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. YUM Brands		None	J	T					
20. Sun Microsystems, Inc.		None	J	T					
21. Motorola	A	Dividend	J	T					
22. Pfizer, Inc.	A	Dividend	J	T					
23. First Busey Corp	A	Dividend	J	T					
24. Comcast	A	Dividend	J	T					
25. Lucent Tech		None	J	T					
26. Campbell Strategic Allocation Fund	D	Dividend	M	T					
27. Conagra Foods Common Stock	A	Dividend			sold	3/17	J	B	
28. Franklin NY Intermediate Term Tax Free Class A	D	Dividend			sold	3/17	M	D	
29. Kansas City Mun Bonds		None	K	T	buy	6/17	K		
30. Long Island NY Power Auth.		None	K	T	buy	3/17	K		
31. So. Carolina St. Pub Bonds	B	Dividend	K	T	buy	7/22	K		
32. UBS M2 Fund	A	Dividend	J	T					
33. UBS IRA ACCT: (Common Stocks&Bonds):	E	Div&Int	N	T					
34. WYETH (Successor to Amer. Home Prod-Stock)									
35. Bristol Myers									
36. New Econ. Fund									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Column B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Column C1 and D3)	N = \$250,001-\$500,000	P1 = \$500,001-\$1,000,000	P2 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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4/1/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Asset (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. Boston Sci.									
38. UNILEVER					Buy	9/30	J		
39. Home Depot									
40. Halliburton Com									
41. Lilly Eli & Co									
42. Philips Pet Com Stock					sold	5/17	K	A	
43. Simon Prop Group-REIT									
44. UTD DOM Realty REIT									
45. Target Co.									
46. Time Warner (formerly AOL-Time-Warner)									
47. Zimmer Common					sold	3/17	J	B	
48. GMAC Bond									
49. VODA Phone Group Bond									
50. Ford Motor Credit Co. Bond					redemption	9/20	J	A	
51. INDIVIDUAL ACCOUNT: held in NPMcCurn name)									
52. U.S. Savings Bonds		None	K	T					
53. Dryfus Emer.LDR Fund	A	Dividend	L	T					
54. Dryfus Midcap Value Fund	A	Dividend	L	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$60,000
(See Columns B1 and D4)	F = \$60,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Residential Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. Dryfus Appreciation Fund	A	Dividend	J	T					
56. Syracuse Police Fed. Cr. Union	B	Dividend	K	T					
57. M & T Bank Syracuse	A	Interest	J	T					

1. Income/Gain Codes (See Columns B1 and D4)	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
3. Value Method Codes (See Column C2)	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$25,000,001-\$50,000,000	O = \$300,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000	P4 = \$50,000,001-\$100,000,000	P5 = \$100,000,001-\$500,000,000	P6 = \$500,000,001-\$1,000,000,000	
	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date 4-1-05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF NEW YORK

P.O. BOX 7385

100 SOUTH CLINTON STREET

SYRACUSE, NEW YORK 13261-7365

CHAMBERS OF
NEAL P. McCURN
SENIOR JUDGE

TEL: 315-234-8690

June 2, 2005

Mary M. Lisi, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E. Suite 2-301
Washington, D.C. 20544

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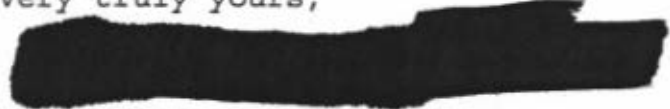
Re: 2004 Financial Disclosure Report

Dear Judge Lisi:

In response to your inquiry letter of May 27, 2005, please be advised that I inadvertently failed to report the sale of my IRA stock in "Merk & Co." My report should be corrected to list under Column "D" concerning Merk & Co.:

- (1) Sold
- (2) 11/28/04
- (3) J
- (4) A

Very truly yours,



Neal P. McCurn
Senior U.S. District Judge

NPMC:jw