

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haik, Richard T.	2. Court or Organization Western District of Louisiana	3. Date of Report 06/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 800 Lafayette Street Suite 4200 Lafayette, LA 70501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President	Colonel J. George & S. Saloom Hannie Community Home, Inc.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	Louisiana State Bar Association	6/28/2011-6/30/2011	Las Vegas, NV	Seminar Speaker	Travel, lodging, and meals
----	---------------------------------	---------------------	---------------	-----------------	----------------------------

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Pat Huckabee	Hunting Trip	\$7,500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Iberia Bank Accounts	A	Interest	L	T					
2. Farmers Merchants Bank & Trust Account (Y)									
3. Gulf Coast Bank CDs Account (Y)									
4. Capital One Bank, NA CDs Account (Y)									
5. Regions Bank CDs Account (Y)									
6. Home Bank CDs Account (Y)									
7. Farmers Merchants Bank & Trust CDs Account (Y)									
8. Capital One Bank, NA Accounts (Y)									
9. Midsouth Bank, NA Accounts	A	Interest	L	T					
10. Regions Bank Accounts (Y)									
11. Brokerage Account #1									
12. --Diamond Offshore Drilling, Inc. Common Stock	A	Dividend	J	T					
13. --Iberiabank Corp Common Stock	A	Dividend	J	T					
14. --Rowan Cos Inc. Common Stock		None	J	T					
15. --Edward Jones Money Market Account	A	Dividend	K	T					
16. Brokerage Account #2									
17. --San Juan Basin Royalty Trust	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. --Xerox Capital Trust Capital Securities	A	Interest			Redeemed	5/23/11	J	A	
19. --Capital Projects Fin Auth FL Bonds	A	Interest			Redeemed	6/13/11	J	A	
20. --Charlton County, GA Solid Waste Revenue Bonds	A	Interest	J	T					
21. --DWS Managed Municipal Bond Fund	A	Int./Div.	J	T					
22. --Hudson County, NJ Solid Waste Revenue Bonds	A	Interest			Redeemed	1/24/11	J	A	
23. --Ohio State Solid Waste Disposal Revenue Bonds	A	Interest	J	T					
24. --Port of New Orleans, LA Board of Commissioners	A	Interest	J	T					
25. --Putnam Tax Exempt Income	A	Int./Div.	J	T					
26. --St. Paul, MN Port Authority Industrial Revenue Bonds	B	Interest	J	T					
27. --Bibb County, GA Development Authority Bonds	C	Interest	K	T					
28. --Cambria County, PA Revenue Bonds	A	Interest	J	T					
29. --Central Arizona Irrigation & Drainage District Bonds	A	Interest	J	T					
30. --Connecticut Development Authority Bonds	B	Interest	K	T					
31. --East Carrol Parish, LA Law Enforcement Bonds	A	Interest			Matured	4/1/11	J	A	
32. --Hammond-Tangipahoa Home Mortgage Bonds	A	Interest			Matured	7/1/11	J	A	
33. --Lafayette, LA Public Trust Fin Auth Bonds	A	Interest			Matured	4/1/11	J	A	
34. --Langhorne Manor Boro, PA Higher Education Bonds		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Louisiana State University Bonds	A	Interest	J	T					
36. --Montgomery-Southside, AL Revenue Bonds	B	Interest	K	T					
37. --New Jersey Health Care Facilities Bonds	A	Interest	J	T					
38. --St. Paul, MN Port Authority Bonds	C	Interest	J	T					
39. --St. Paul, MN Port Auth Hsg & Red	B	Interest	J	T					
40. --St. Tammany Parish, LA Hospital Bonds	A	Interest			Redeemed	11/16/11	J	A	
41. --Savannah, GA Economic Development Authority Bonds	A	Interest	J	T					
42. --Shreveport, LA Water & Sewer Revenue Bonds	A	Interest			Matured	12/1/11	J	A	
43. --Sumner, IL Healthcare Facilities Revenue Bonds	A	Interest	J	T					
44. --Tillman County, OK Revenue Bonds	A	Interest			Redeemed	4/8/11	K	A	
45. --Verizon Communications Common Stock	A	Dividend	J	T					
46. --Tjwi Kimia Intl Finance Co Senior Notes		None	J	T					
47. --Tricom SA Guaranteed Note		None	J	T					
48. --Cablevision SA Notes	A	Interest			Redeemed	4/7/11	J	A	
49. --Williams Communications Group (Y)									
50. --Money Market Fund Investment Shares	A	Dividend	K	T	Sold (part)	7/29/11	K	A	
51. --MFS Multimarket Income Trust Mutual Fund	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --San Juan Basin Royalty Trust Units	A	Royalty	J	T					
53. --At Home Corp Subordinated Notes		None	J	T					
54. --Capital Income Builder Fund A	B	Dividend	K	T					
55. --Rapides Fin Auth LA Revenue Cleco	B	Interest	K	T					
56. --Regions Financial Corp	A	Dividend	J	T					
57. --LA State Gas & Fuels Tax Ser A Revenue	A	Interest	J	T					
58. --Seligman National Municipal Fund (Y)									
59. --Home Bancorp, Inc. Stock		None	J	T					
60. --Ultra Pure Technologies, Inc. Stock		None	J	T					
61. --Louisiana Public Facilities Auth Rev Bonds	A	Interest	K	T					
62. --Katy Texas Inpd School District Bonds	A	Interest	K	T					
63. --Frontier Communications Corp Stock	A	Dividend	J	T					
64. --Rivus Bond Fund (Y)									
65. --Columbia Tax Exempt Fund Class A (X)	A	Int./Div.	J	T					
66. --Iberia Parish LA Parishwide School Dist Rfdg Gen Obgln	A	Interest	K	T	Buy	6/17/11	J		
67. --Lafayette LA Public Improvement Tax Rev	A	Interest	J	T	Buy	11/17/11	J		
68. --Cutwater Select Income Fund (X)	A	Interest	J	T					

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69. --Lafayette LA Public Trust Auth Rev (X)	A	Interest	K	T					
70. --Midsouth Bancorp Inc.	A	Dividend	J	T	Buy	9/6/11	J		
71. Individual Retirement Account #1	D	Dividend	N	T					
72. --American Balanced Fund									
73. --American Mutual Fund									
74. --Capital World Growth & Income Fund									
75. --Europacific Growth Fund									
76. --Growth Fund of America									
77. --Income Fund of America									
78. --New Perspective Fund									
79. --Washington Mutual Investors Fund									
80. --American Funds Sh Term Bond Fund									
81. Colonel J. George & S. Saloom Hannie	E	Distribution	M	W					
82. BPL Visions, LLC formerly The McGehee Group, LLC		None	M	W					
83. Oxford Partners, LLC		None	J	W					
84. Gordon Global Investments, LLC		None	J	W					
85. 1/3 Interest in Property Located in Vermilion Parish, LA		None	J	W					See Note I in Part VIII.

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line#85 - This property was acquired through inheritance. There is no physical address for the property.

Part V, Line#1 - Pat Huckaby is a high school friend. I did pay for most of the gasoline costs for his vehicle to travel round trip for this trip, as well as most meals for both of us. It should be noted that Pat Huckaby is on my recusal list for many years before, during and after this trip.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard T. Haik**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544