



Dan Aaron Polster
Judge

United States District Court

Northern District of Ohio
801 West Superior Avenue
Cleveland, Ohio 44113-1837

Phone (216) 357-7190


March 23, 2009

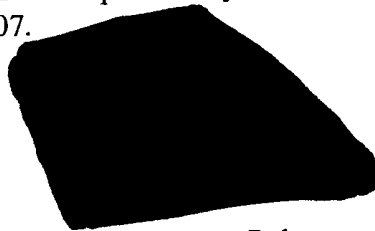
Judge Bobbie R. Baldoch, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, DC 20544

RE: Financial Disclosure Report for Dan Aaron Polster

Dear Judge Baldoch:

Please find enclosed original and three additional copies of my
Financial Disclosure Report for the Calendar Year 2008.

Please note that I have not included in my 2008 Report those assets
on lines 56-71 of my 2007 Report. They are owned by  who turned
21 on October 16, 2007.



Dan Aaron Polster
United States District Judge

DAP:dmw

Enclosures

RECEIVED
2009 MAR 30 A 10:09
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Polster, Dan A.	2. Court or Organization U.S. Dist. Ct., ND Ohio	3. Date of Report 03/23/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge--Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States District Judge 18B Carl B. Stokes U.S. Court Cleveland, Ohio 44133	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Lifetime Trustee	[REDACTED]
2. Lifetime Trustee	Siegal College of Jewish Studies
3. Trustee	Jewish Education Center of Cleveland
4. Trustee	[REDACTED] trust
5. Board of Directors	Federal Bar Assn (Cleveland chapter)
6. Board of Directors	Mt. Sinai Health Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998	U.S. Civil Service Retirement System (CSRS) vested pension for Dept. of Justice service (1976-1998); to be paid upon retirement from judicial service
2.	
3.	

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U.S. DEPARTMENT OF JUSTICE

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Park Synagogue (Stipend for teaching 10th grade Confirmation class)	\$2,600.00
2. 2008	Cleveland-Marshall School of Law (teaching course on Mediation)	\$1,200.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Hahn, Loeser & Parks (law firm)
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Business Valuation Resources, LLC	October 1-3, 2008	Miami, Florida	CLE Panelist	transportation, lodging, food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Money Market Funds (MLynch)	A	Interest	J	T					
2. Oakmark Intl Fund	A	Dividend	J	T	Sold (part)	12/22	J	A	
3. Baron Growth Fd	A	Dividend	J	T	Sold (part)	7/21	J	B	
4. Hotchkis and Wiley Fd.	A	Dividend			Sold	7/21	J	A	
5. Amer. Gr. Fd. of America	A	Dividend	J	T					
6. Munder Midcap Core Fd.	A	Dividend	J	T	Sold (part)	12/22	J	A	
7. Gartmore Small Cap Fd	A	Dividend			Sold	12/22	J	A	
8. Alger Small Cap Growth	A	Dividend	J	T	Sold (part)	12/22	J	A	
9. Thornburg Intl Value Fd	A	Dividend	J	T					
10. Eaton Vance Large Cap Fd	A	Dividend	J	T	Sold (part)	12/31	J	A	
11. Nationwide Small Cap Fd	A	Dividend			Sold	7/21	J	A	
12. Alabama Power Co. Pref.	B	Dividend	K	T	Buy	2/21	K		
13. GNM P41107 bonds	B	Dividend	K	T	Buy	11/28	K		
14. CD Compass Bank	A	Dividend			Buy	11/21	J		
15. CD Compass Bank	A	Dividend			Sold	11/28	J	A	
16. Blackrock Pfd Inc. Fd	A	Dividend	J	T	Buy	11/21	J		
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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18. Dreyfus Prem. Mun. Bd Fund	C	Dividend	K	T					
19. Putnam College Adv. Gr. Fd.	A	Dividend			Sold	7/21	K	A	
20. Liberty Acom Tr Fd	A	Dividend	J	T					
21. Chevron/Texaco	A	Dividend	K	T					
22. Wayne cty Ohio Munic. Bonds	B	Interest	K	T					
23. Hamilton County Sewer Bonds	A	Dividend	J	T	Buy	8/19	J		
24. Putnam Coll. Adv. Bal. Fd.	A	Dividend			Sold	7/21	J	A	
25. DWS High Inc. Trust (formerly Kemper Hig h Inc. Trust	A	Dividend							
26. Intel	A	Dividend	J	T					
27. Parker Hannifin	A	Dividend	J	T					
28. Precision Cast	A	Dividend			Sold	5/15	K	D	
29. Boeing Co.	A	Dividend			Sold	8/19	J	A	
30. Petroleo Brasileiro-SA	A	Dividend			Buy	5/15	J		
31. Petroleo-Brasileiro-SA	A	Dividend			Sold	8/19	J	A	
32. Liberty Global Inc.	A	Dividend	K	T	Buy	4/18	J		
33. Rydell Rusell Top 50	A	Dividend	J	T					
34. Goldman Sachs Money Mkt	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Henderson Intl Opp Fd	A	Dividend	J	T					
36. Costco Wholesale Corp	A	Dividend	J	T	Buy	5/15	J		
37. Vanguard Mid-Cap Fd	A	Dividend	J	T	Buy	8/19	J		
38.									
39. Tenneco common	A	Dividend	J	T					
40. Alltel common	A	Dividend			Sold	11/21	J	A	
41. Embarq (spinoff)	A	Dividend	J	T					
42. Transistor Devices common	A	Dividend	L	W					
43. Transistor Devices common	A	Dividend	L	W					
44. Japan Fund	A	Dividend	J	T					
45. Vanguard Gund	A	Dividend	J	T					
46. Unicom (formerly Comm. Ed. Preferred)	A	Dividend	J	T					
47. Roulston Growth Fd	A	Dividend	J	T					
48. National City Bank-check.	A	Interest	J	T					
49. National City Bank-check	A	Interest	J	T					
50. National City Bank--CD	A	Interest	J	T					
51. National City Bank--CD	A	Interest	J	T					

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52. Ohio Savings - savings	A	Interest	J	T					
53. IRA-Ohio Savings Bank (mut. fd.)	B	Dividend	K	T					
54. IRA-Ohio Savings Bank (CD)	B	Interest	K	T					
55. IRA-Prudential Equity Fund	C	Dividend	K	T					
56. IRA-Prudential Equity Fd.	C	Dividend	K	T					
57. 401(K) prof. managed	A	Dividend	N	T					
58. HR 10 prof. managed	A	Dividend	N	T					
59.									
60. Money Market (MLynch)	A	Interest	J	T					
61. Transistor Devices common	A	Dividend	L	W					
62. Gabelli Utilities Fd	A	Dividend	J	T	Buy (add'l)	7/21	J		
63. ML Global Allocation Fd I	A	Dividend			Sold	7/21	J	A	
64. Blackrock Global Alloc Fd	A	Dividend	K	T	Buy (add'l)	7/21	J		
65. American Gr. Fd of America	A	Dividend	J	T					
66. MFS Intl New Disc Fd	A	Dividend	J	T	Buy (add'l)	7/21	J		
67. Wells Fargo Govt Fd	A	Dividend	J	T	Buy	2/07	J		
68. State of Israel Bond	A	Interest	J	T					

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69. Ford common	A	Dividend	K	T					
70. Visteon (Ford spinoff)	A	Dividend	J	T					
71. Citigroup (acq. Ford spinoff)	A	Dividend	J	T					
72. Unicom (form. Comm. Ed)	A	Dividend	J	T					
73. Warburg Pincus Growth	A	Dividend	J	T					
74.									
75. Trust** (lines 78-141)	E	Int./Div.	O	T					
76. State of Israel Bond									
77. Tenneco common									
78. Pactiv Corp									
79. JPMorgan Chase									
80. Sprint Corp									
81. M.Lynch Money Market									
82. U.S. GNM bonds									
83. Alltell common					Sold	11/21	J	A	
84. Ampal Israel Preferred									
85. Ind. Dev. Bank of Israel Pref.									

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86. Amer New Persp Fd					Buy (add'l)	7/21	J		
87. Amer Inc. Fd of Amer.					Buy (add'l)	7/21	J		
88. American Washington Mut Fd					Buy (add'l)	7/21	J		
89. Calamos Growth Fd					Sold	7/21	J	A	
90. Oakmark Intl Fund					Sold (part)	7/21	J	A	
91. Hotchkis and Wiley Smallcap					Sold	7/21	J	A	
92. Baron Growth Fd					Sold (part)	7/21	J	A	
93. Amer. Growth Fd of America					Buy (add'l)	7/21	J		
94. CD Treasury Bank NA									
95. Hartford Floating Rt Fd									
96. CD Compass Bank					Buy	3/21	K		
97. CD Compass Bank					Sold	11/21	K	A	
98. GNM P411077 Bonds					Buy	12/18	K		
99. Georgia Power Co.					Buy	2/21	J		
100.									
101. Money Fund (Janney Adv)									
102. Immunogen Inc.					Sold (part)	8/14	K	D	

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103. Immunogen Inc.					Sold (part)	9/26	J	A	
104. Epix Pharmaceuticals									
105. Enbridge Energy Mgt LLC									
106. Ibis Technologies Corp									
107. ASV Inc					Sold	2/27	J	B	
108. Female Health Co.					Sold	March	K	C	
109. Trailer Bridge Inc.									
110. Accuray Corp.					Buy	8/14	L		
111. Cogdell Spencer Inc.					Buy	5/23	K		
112. Nicholas Financial Inc.					Buy	2/29	K		
113.									
114. Money Market (Wachovia)									
115. Laclede Gas common									
116. Nuveen Ohio Munic. Fd									
117. Davis NY Venture Fd									
118. Eaton Vance Tax Managed Gr. Fd (formerly Eat. Vance Marath.									
119. Cedar Fair									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Polster, Dan A.

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03/23/2009

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. American Express Co.									
121. Chevron/Texaco									
122. XTO Energy					Sold (part)	5/15	K	E	
123. Franklin, Ohio Munic. Bond									
124. Ameriprise Financial (spinoff from American Express)					Sold	8/19	J	B	
125. Hewlett-Packard									
126. Goldman Sachs Money Market									
127. Illinois Tool Works					Sold	8/19	K	A	
128. Henderson Intl Opp.									
129. Liberty Global Inc.					Sold	12/22	J	A	
130. Streettracks Dow Jones					Sold	8/19	J	A	
131. Nustar Energy LLP (formerly Valero LP)									
132. Petroleo Brasileiro					Buy	5/15	K		
133. Petroleo Brasileiro					Sold	12/22	J	A	
134. Emerson Electric Co.					Buy	5/15	J		
135. Costco Wholesale Corp					Buy	5/15	K		
136. Vanguard Mid-Cap Fd					Buy	8/19	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

137. Cuyahoga Cty Cap Impt Bond					Buy	8/19	K		
138. Embarq. (spinoff)									
139.									
140.									
141.									
142.									
143.									
144.									
145.									
146.									
147.									
148.									
149.									
150.									

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting

Polster, Dan A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544