

United States District Court
District of Utah
350 South Main Street
Salt Lake City, Utah 84101

Chambers of
J. Thomas Greene
United States Senior Judge
U.S. Courthouse

Telephone (801) 524-6180
Fax No. (801) 526-1164

August 9, 2004

Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

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AUG 24 11 03 AM '04
FINANCIAL
DISCLOSURE OFFICE

RE: Calendar Year 2003 Filing

Dear Committee:

In response to your letter dated July 27, 2004, the following explanations are offered:

- 1) In Part VII, page 2, line 27, "Centennial Money Market" was mistakenly reported as it does not meet the minimum threshold reporting requirements.

In Part VII, page 4, line 72, "Zions Bank Checking" should have included a parenthetical "(X)" inserted alongside the asset name in Column A.

- 2) In Part VII, page 4, line 68, "DJB [REDACTED] Trust and RDJ Buchanan Ent. Inc - Farm Properties" should include the word "NONE" in Column B(2).
- 3) In part VII, page 2, line 30, "Finova Group Inc. Stock" should not have been listed, but rather a note in part VIII should have been included which read that the stock was disposed of due to bankruptcy.

In part VII, page 2, line 68, "DJB [REDACTED] Trust and RDJ Buchanan Ent. Inc - Farm Properties", Columns C(1) and C(2) should have been left blank.

- 4) In Part VII, page 2, line 27, of the 2002 report "US Bancorp-Piper Jaffray Money Market" was mistakenly reported as it did not meet the minimum threshold reporting requirements.

If there are any further questions with regard to the report, please let me know.

Sincerely,

[REDACTED]
J. Thomas Greene

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Greene, John Thomas	2. Court or Organization U.S. District Court, Utah	3. Date of Report 3/5/04
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Dis. Judge—Senior status	5. ReportType (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/03 to 12/31/03
7. Chambers or Office Address United States Courthouse 350 South Main Street #447 Salt Lake City, UT 84101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

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III. NON-INVESTMENT INCOME (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

01/2003

Sale of RDJ Buchanan Enterprises, Inc. Farm Properties

IV. REIMBURSEMENTS— transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1. American Bar Association

Feb. 4-12 - Seattle, WA, ABA Midyear Meeting (Transportation, Meals, and Room)

2. Administrative Office of the Courts

Sept. 3-5 - Jackson, WY, 10th Cir. Judicial Conference (Transportation, Meals, and Room)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Zions First National Bank	Car (van) loan	K
2. Zions First National Bank	Home Improvement loan	K

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VII. INVESTMENTS and TRUSTS— income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A -H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Brokerage Account #1 (Prudential) (Dormant Account)									
2. May Department Stores	A	Dividend	J	T					
3. J.C. Penny	A	Dividend	J	T					
4. Brokerage Account #2 (AG Edwards, formerly Piper Jaffery)									
5. _ Money Market - Pershing Gov. Account	A	Interest	J	T					
6. _ MCI World Com Stock		None	J	T					
7. _ World Inc. New MCI Group		None	J	T					
8. _ Conagra Foods, Inc.	A	Dividend	J	T					
9. _ Geoworks, Inc.		None	J	T					
10. _ Pepsico, Inc.	A	Dividend	J	T					
11. _ Yum Brands, Inc.	A	Dividend	J	T					
12. _ Certegy, Inc.	A	Dividend	J	T					
13. _ Lucent Technologies		None	J	T					
14. _ Motorola, Inc.	A	Dividend	J	T					
15. IRA #1 (Equitable Life - Equivest)									
16. _ Equitable Life Money Market (Guaranteed Int Account)	A	Interest	K	T	Withdrawal	12/15	J		
17. _ Aggressive Stock Fund -Equivest	A	Dividend	J	T					
18. _Alliance Common Stock	A	Dividend	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market

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Name of Person Reporting

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy. sell. merger. redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer-seller (if private transaction)
19. _ EQ/Alliance Growth & Income	A	Dividend	J	T					
20. _ EQ/Berstein Diversified	A	Dividend	J	T					
21. _ EQ/Mercury Basic Value	A	Dividend	J	T					
22. _ EQ/Putnum Growth & Income	A	Dividend	J	T					
23. _ EQ/FI Small/Mid Cap Value	A	Dividend	J	T					
24. _ EQ/Alliance Quality Bond	A	Dividend	J	T					
25. _ EQ/Alliance Monthly Market	A	Dividend	J	T					
26. IRA #2 (AG Edwards, formerly Piper - Jaffray)									
27. _ Centenial Money Market	A	Interest	J	T					
28. _ Avaya, Inc. Stock	A	Dividend	J	T					
29. _ Dell Computer Stock	A	Dividend	J	T					
30. _ Finova Group Inc. Stock		None	J	T	Bankruptcy				
31. _ Intel Corp. Stock	A	Dividend	J	T					
32. _ Lucent Technology Stock		None	J	T					
33. _ Motorola Inc. Stock	A	Dividend	J	T					
34. _ Pfizer Inc. Stock	A	Dividend	J	T					
35. _ Nabors Industries Stock	A	Dividend	J	T					
36. _ USX Marathon Stock	A	Dividend	J	T					

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37. _ Dynegy Inc.	A	Dividend	J	T					
38. IRA #3 (Zions Investment Securities)									
39. _ Pershing Money Fund	A	Interest	J	T					
40. _ MFS Utility Fund	A	Dividend	J	T					
41. _ Cisco Systems Stock	A	Dividend	J	T					
42. _ Franklin U.S. Govt. Fund	A	Dividend	K	T	Partial Sale	01/15	J		
43. _ Eaton Vance World Health Fund	A	Dividend	J	T					
44. _ Franklin Income Fund Class A	A	Dividend	J	T	Buy	01/15	J		
45. AXP Tax Exempt Fund	A	Dividend	J	T					
46. AARP - Tax Free Muni Bond Fund (Scudder Managed)	A	Dividend	J	T					
47. AARP - Tax Free Money Fund	A	Interest	J	T					
48. AARP - Growth & Income Fund	A	Dividend	J	T					
49. Alliance Growth & Income Fund	A	Dividend	J	T					
50. Alliance Technology Fund	A	Dividend	J	T					
51. AIM Charter Fund	A	Dividend	J	T					
52. Mass Investors Growth Stock Fund	A	Dividend	J	T					
53. Sun America Tax Exempt Fund	A	Dividend	J	T					
54. Pepco Holdings Stock (formerly Potomac Electric Power)	A	Dividend	J	T					

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55. Glaxo Welcome - Smith Klein Stock	A	Dividend	J	T					
56. Hewlett-Packard Stock (formerly Compaq Computer)	A	Dividend	J	T					
57. Walgreens Stock	A	Dividend	J	T					
58. Equifax, Inc. Stock	A	Dividend	J	T					
59. Fannie Mae Stock	A	Dividend	J	T					
60. Exxon Mobile Stock	A	Dividend	J	T					
61. U.S. Government EE Series Bonds	A	Interest	J	T	Buy Monthly	1/12	J		
62. U.S. Treasury T Bills	B	Interest	L	T					
63. Wells Fargo Market Based Account	A	Interest	J	T					
64. Zions First National Bank - Money Market	A	Interest	J	T					
65. Associated Federal Employees Credit Union	A	Interest	J	T					
66. Beehive Credit Union	A	Interest	J	T					
67.									
68. DJB Family Trust and RDJ Buchanan Ent. Inc. - Farm Properties			M	T	Sell	01/03	M	A	Cowley Farms, Richfield, UT
69. [REDACTED] successor, stockholder and director of above									
70. Mainstay Capital Appreciation Fund C	A	Interest	J	T	Buy	8/20	J		
71. Mainstay High Yield Corporate Bond	A	Interest	K	T	Buy	8/22	K		
72. Zions Bank Checking (Spouse)	A	Interest	J	T					

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73. Zions Bank - Ultimate CD	A	Interest	L	T	Buy	1/15	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date March 5, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544