

UNITED STATES DISTRICT COURT
District of South Dakota
515 Ninth Street, Room 318
Rapid City, South Dakota 57701-2626

KAREN E. SCHREIER
Chief Judge

Phone 605-399-6020


June 28, 2007

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E., Suite 2-301
Washington, D.C. 20544

RECEIVED
2007 JUL -5 A 10:45
FINANCIAL
DISCLOSURE OFFICE

Re: 2007 Financial Disclosure report

To whom it may concern:

Please consider this an amendment to my 2006 Financial Disclosure Report. In your letter dated June 4, 2007, you asked for the following information:

1. The name of the investment company for the assets listed in Part VII, page 4, lines 2-6 is T. Rowe Price.
2. The value code for the consideration received for the assets listed in Part VII, page 8, line 81, column D(1) is J.

Three copies of this response are enclosed for the Committee.
Thank you.

Very truly yours,


Karen E. Schreier
Chief Judge

Enclosures

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle Initial) Schreier, Karen E	2. Court or Organization U.S. District Court, South Dak	3. Date of Report 05/01/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States District Court 515 Ninth Street, Rm 318 Rapid City, SD 57701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member Board of Directors	YMCA of Rapid City
2. Secretary	Eighth Circuit District Judges
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Self-employed (attorney)
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

Schreier, Karen E

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1									
2. -EQUITY INCOME FUND	B	Distribution	K	T					
3. -GROWTH STOCK FUND	A	Distribution	K	T					
4. -NEW HORIZONS FUND	C	Distribution	L	T					
5. -SCIENCE AND TECHNOLOGY FUND		None	K	T					
6. -VALUE FUND	B	Distribution	K	T					
7. IRA ACCOUNT #1									
8. -UBS BANK USA DEP ACCT (F/K/A PIPER JAFFRAY PRIME) OBLIGS	A	Int./Div.	J	T					
9. -OPPENHEIMER GLOBAL FUND C	A	Int./Div.	K	T					
10. -PIMCO FDS PAC INVT MGMT SER REAL RETURN FD CL C	A	Dividend			PARTIAL SALE	3/24	J		
11.					SOLD	5/2	K		
12. -VAN KAMPEN GROWTH & INCOME FD CL	A	Int./Div.	K	T					
13. -UNTS FIRST TRUST EQUITY INCOME SELECT PORTFOLIO	A	Interest	K	T	BUY	3/24	J		
14. -PIMCO FDS LOW DURATION FD CL C	A	Int./Div.	K	T	BUY	5/2	K		
15. NEW YORK LIFE INSURANCE CO. - ANNUITY	A	Interest	K	T					
16. NEW YORK LIFE UNIVERSAL LIFE POLICY	A	Dividend	K	T					
17. LINCOLN FINANCIAL GROUP	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
UNIVERSAL LIFE INS. POLICY									
18. JEFFERSON NATIONAL UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
19. TRAVELERS LIFE & ANNUITY UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
20. COMMUNITY HEALTH LTD. [REDACTED]	B	Rent	J	T					
21. HOME FEDERAL SAVINGS AND LOAN ACCOUNT	B	Interest	K	T					
22. FIRST DAKOTA TITLE INS. LTD. PTR. [REDACTED]	D	Interest	M	T					
23. BROKERAGE ACCOUNT #2									
24. -ALTRIA GROUP INC. COMMON	B	Dividend	L	T	Partial Sale	8/03	J	A	
25. -ANHEUSER BUSCH COS INC. COMMON	A	Dividend	J	T					
26. -AVAYA, INC. COMMON		None	J	T					
27. -BP PLC SPONS ADR COMMON	A	Dividend	J	T					
28. -BURLINGTON NORTHERN-SANTA FE COMMON	A	Dividend	K	T					
29. -CISCO SYSTEMS INC. COMMON		None	J	T					
30. -CITIGROUP INC. COMMON	A	Dividend	K	T					
31. -COCA-COLA COMPANY COMMON	A	Dividend	J	T					
32. -COLGATE-PALMOLIVE COMPANY COMMON	A	Dividend	J	T					
33. -EMC CORP. MASS COMMON		None	J	T					

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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34. -GENERAL ELECTRIC COMPANY COMMON	A	Dividend	J	T					
35. -HANESBRANDS INC. COMMON (x)		None	J	T					
36. -HOME DEPOT INC. COMMON	A	Dividend	J	T					
37. -INTEL CORPORATION COMMON	A	Dividend	J	T					
38. -KOPIN CORP COMMON		None	J	T					
39. -MEDTRONIC INC. COMMON	A	Dividend	K	T					
40. -MERCK & CO. INC. COMMON	A	Dividend	J	T					
41. -MICROSOFT CORP COMMON	A	Dividend	K	T					
42. -PEPSICO INCORPORATED COMMON	A	Dividend	J	T					
43. -PFIZER INC. COMMON	A	Dividend	J	T					
44. -PROCTOR & GAMBLE CO. COMMON	A	Dividend	J	T					
45. -SARA LEE CORP. COMMON	A	Dividend	J	T					
46. -3M COMPANY COMMON	A	Dividend	J	T					
47. -TIME WARNER INC. NEW COMMON	A	Dividend	J	T					
48. -TYCO INTL LTD NEW COMMON	A	Dividend	J	T					
49. -WALT DISNEY F/K/A DISNEY WALT COMPANY COMMON	A	Dividend	J	T					
50. -ALLIANCE BERNSTEIN MID CAP	B	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$250,000.01 - \$500,000.00

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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GROWTH FUND CL C									
51. -EURO PACIFIC GROWTH FD CL A	A	Dividend	K	T					
52. -FIRST TRUST UNIT 946 TARGET VIP PORTFOLIO	A	Dividend			SOLD	7/6	J	B	
53. -FIRST TRUST UNIT 1154 TARGET VIP PORTFOLIO	A	Dividend	J	T	BUY	7/3	J		
54. -PIMCO FDS HI YLD CL C	A	Dividend	J	T					
55. -RYDEX SER FDS JUNO FD CL C		None	J	T					
56. -PHOENIX GO AZ BOND		None	J	T					
57. -CA HLTH FAC. REV. BOND		None	J	T					
58. -WA ST. COLL SVGS BDS. 93 WA		None	J	T					
59. -SO DAK ST. BLD. ATH LES. REV. AMBAC BOND		None	J	T					
60. -MARICOPA CO. IDA SFM ETM AZ BOND		None	J	T					
61. -PORTLAND ARENA GAS TAX BOND		None			SOLD	6/01	J	B	
62. -DESCHUTES & JEFFERSON CNTYS OR SD #02J		None	K	T					
63. -EAST SIDE UN SD CALIF. BOND		None	J	T	BUY	6/05	J		
64. -YUBA CITY CAL. UNI. SCHOOL DIST. BOND		None	J	T					
65. -UBS ACCOUN F/K/A PIPER JAFFRAY PRIME OBLIGS MONEY MARKET	A	Interest	J	T					
66. -LINCOLN NATL LIFE AMER.	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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ANNUITY									
67. IRA ACCOUNT #2									
68. -EURO PACIFIC GROWTH FUND CL A	A	Dividend	K	T					
69. -EVERGREEN FIXED INC TR NEW HIGH YLD BD CL C	A	Dividend	J	T					
70. -MUTUAL SERIES FUND INC. QUALIFIED FUND CLASS C	A	Dividend	J	T					
71. -PIMCO FDS HI YLD CL C	A	Dividend	J	T					
72. -PUTNAM DISCOVERY GROWTH FD CL M		None	K	T					
73. -FICO STRIPS SERIES E BOND		None	J	T					
74. -US TREAS. SEC. STRPD. BOND		None	J	T					
75. -FEDL. HOME LOAN MTG. CORP. BOND	A	Interest	J	T					
76. -UBS ACCOUNT F/K/A PIPER JAFFRAY PRIME OBLIG.	A	Interest	J	T					
77. BROKERAGE ACCOUNT #3									
78. -GALENA PARK IND. BOND		None	K	T	BUY	12/07	K		
79. -CLACKMUS & WASHINGTON SERV A BOND		None	K	T	BUY	3/07	K		
80. -SOUTHERN MN MUN PWR AGY SUPPLY BOND		None	K	T					
81. -UBS ACCOUNT F/K/A PIPER JAFFRAY PRIME OBLIGS	A	Int./Div.	J	T	PARTIAL SALE	12/07			
82. FIRST NATIONAL BANK IN SIOUX		None	K	T					

1. Income (Gain) Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

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FALLS ACCOUNT									
83. FIRST NATIONAL BANK IN SIOUX FALLS ACCOUNT		None	J	T					
84. DOUGHERTY & DOUGHERTY LLP	D	Interest	M	T					
85. DISCOVER BANK ACCOUNT	A	Interest	K	T					
86. BROKERAGE ACCOUNT #4									
87. -C H ROBINSON WORLDWIDE INC. COMMON	A	Dividend	J	T					
88. -NASTECH PHARMACEUTICAL CO. INC COMMON		None	K	T	BUY	2/02	J		
89.					BUY	3/01	J		
90.					BUY	3/02	J		
91. -SPECTRASCIENCE COMMON		None	J	T	BUY	2/02	J		
92. -US BANCORP DEL COMMON	A	Dividend	J	T					
93. DOUGHERTY & COMPANY LLC ACCOUNT	A	Interest	L	T					
94.									

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Name of Person Reporting**Schreier, Karen E****Date of Report**

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 3, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544