

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tacha, Deanell R.	2. Court or Organization United States Court of Appeals Tenth Circuit	3. Date of Report May 12, 2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period to 01/01/09 12/31/09
7. Chambers or Office Address 643 Massachusetts St., Suite 301 Lawrence, KS 66044-2292	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. See Attachment #1	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. See Attachment #2		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. See Attachment #2	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. See Attachment #3				
2.				
3.				
4.				
5.				

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. See Attachment #4		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. See Attachment #5									
2.									
3.									
4.									
5.									
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8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 I = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

ATTACHMENT 1

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. May 12, 2010

I. POSITIONS.

<u>Position</u>	<u>Name of Organization/Entity</u>
Board of Trustees Through April 2009	Saint Paul School of Theology
Board of Directors	Kansas Health Foundation
Adjunct Faculty	Duke University School of Law
Board of Trustees	Kansas University Endowment Association
Stockholder & Manager	Reece Family Liability Corporation
Stockholder	Reece Family Agricultural Liability Corp.
Chair	Lawrence/Douglas County Heritage Area Committee

ATTACHMENT 2

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. May 12, 2010

III. NON-INVESTMENT INCOME.

<u>Date</u>	<u>Source and Type</u>	<u>Gross Income</u>
A. Filer's Non-Investment Income.		
11/23-11/24/09	Duke University School of Law, teaching fee	\$4,500.00
B. Spouse's Non-Investment Income.		
2009	Bureau of Lectures and Concert Artists	Salary

ATTACHMENT 3

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. May 12, 2010

IV. REIMBURSEMENTS AND GIFTS.

<u>Source</u>	<u>Description</u>
American Bankruptcy Institute Rocky Mountain Conference Denver, CO January 21-22, 2009	Ground transportation and meals
Lewis & Clark Law School Women in the Law Program Portland, OR April 15-16, 2009	Air fare, ground transportation and meals
Utah State Bar Mentor Training Session Salt Lake City, UT April 29-30, 2009	Air fare, ground transportation and meals
Federal Judges Association Board Meeting Washington, D.C. May 2-4, 2009	Air fare, ground transportation and meals
Colorado Women's Bar Assoc. Annual Convention Vail, CO May 15-17, 2009	Air fare, ground transportation and meals
Federalist Society University of Chicago Law School Chicago, IL October 25-26, 2009	Air fare, lodging, ground transportation and meals

ATTACHMENT 4

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. May 12, 2010

VI. LIABILITIES

<u>Creditor</u>	<u>Description</u>	<u>Value Code*</u>
Lawrence Bank	Business Loan	L
Sallie Mae	Student Loans	M
Lawrence Bank	Real Estate Loan	M
Sunset Mortgage	Real Estate Loan	M
Lawrence Bank	Line of Credit	L
Emprise Bank	Line of Credit	P3

*Value Codes: J=\$15,000 or less
N=\$250,001-\$500,000
P3=\$25,000,001-\$50,000,000

K=\$15,001-\$50,000
O=\$500,001-\$1,000,000
P4=\$50,000,001 or more

L=\$50,001-\$100,000
P1=\$1,000,001-\$5,000,000

M=\$100,001-\$250,000
P2=\$5,000,001-\$25,000,000

ATTACHMENT 5

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. May 12, 2010

VII. INVESTMENTS and TRUSTS – income, value, transactions

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If (2) Date: Month- Day	not (3) Value Code2 (J-P)	exempt (4) Gain Code1 (A-H)	from disclosure (5) Identity of buyer/seller (if private transaction)
<i>Place "(X)" after each asset exempt from prior disclosure</i>									
1 Putnam Vista Funds - IRA	B	Div.	L	T					
2 Putnam Voyager Funds - IRA	B	Div.	L	T					
3 TIAA Retirement Fund	D	Div.	K	W					
4 Trust – Value and assets unknown to me--no income, beneficiary upon death									
5 Putnam Global Growth IRA Fund	B	Div.	K	T					
6 Putnam Global Growth IRA Funds	B	Div.	K	T					
7 Bureau of Lectures & Concert Artists (sole stockholder)	A- except salary	Int.	M	U					
8 Reece Family, L.C.		None	M	U					
9 Real Estate-Dec. Co	B	Rent	K	W					
10 Reece Family Agriculture, L.C.		None	K	U					
12 MSDW International Value Equity Fund IRA		None			Sold	05/29/09	K		Morgan Stanley
13 MSIF Mid Cap Growth IRA		None			Sold	05/27/09	J		Morgan Stanley
14 MSIF US Small Cap VI.U IRA		None	K	T	Sold Sold Sold	6/03/09 7/05/09 7/17/09	J J J		Morgan Stanley

ATTACHMENT 5 (Cont'd)

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. May 12, 2010

VII. INVESTMENTS and TRUSTS – income, value, transactions

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	(1) Amt. Code1 (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code2 (J-P)	(2) Value Method Code3 (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	if (2) Date: Month- Day	not (3) Value Code2 (J-P)	exemp L (4) Gain Code1 (A-H)	from disclosure (5) Identity of buyer/seller (if private transaction)
<i>Place "(X)" after each asset exempt from prior disclosure</i>									
15 MSDW Equally Weighted S&P 500 IRA		None			Sold	5/27/09	J		Morgan Stanley
16 MSDW S&P 500 Index IRA (merged with above-MSDW Equally Weighted S&P)									
17 MSDW Mid Cap Value IRA (merged with MS Intern'l Value)		None			Sold	5/27/09	K		Morgan Stanley
18 Van Kampen Comstock IRA	A	Div.			Sold Buy	5/27/09 6/11/09	K J		Morgan Stanley
19 MSIF (US) Core Fixed Inc IRA	A	Div.			Sold Buy Sold Sold	1/16/09 4/02/09 4/17/09 5/27/09	J J J J		Morgan Stanley Morgan Stanley Morgan Stanley
20 MSIF Active Intern'l Alloc. IRA					Sold	5/27/09	K		Morgan Stanley
21 MSIF US Real Estate Portfolio IRA	A	Div.	J	T	Buy Buy Sold Buy Buy	4/02/09 6/03/09 7/02/09 7/06/09 10/02/09	J J J J J		Morgan Stanley
22 MS Focus Growth Fund		None			Sold	5/27/09	K		Morgan Stanley
23 Blackrock US Opportunites		None	J	T	Buy Buy Sold	5/27/09 6/03/09 7/06/09	J J J		Morgan Stanley
24 Henderson International	A	Div.	K	T	Buy Buy Sold Buy	5/27/09 6/03/09 7/06/09 12/29/09	K J J J		Morgan Stanley

ATTACHMENT 5

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. May 12, 2010

VII. INVESTMENTS and TRUSTS – income, value, transactions

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<i>Place "(X)" after each asset exempt from prior disclosure</i>									
25 Pimco Total Return	A	Div.	J	T	Buy Part.Sale Buy Part.Sale Buy Buy Buy Buy Buy Buy Buy Buy	5/27/09 6/03/09 6/30/09 7/06/09 7/31/09 8/31/09 9/30/09 10/30/09 11/30/09 12/09/09 12/31/09	J J J J J J J J J J J		Morgan Stanley Morgan Stanley
26 Nuveen Tradewinds Value Opportunity A		None	K	T	Buy Buy Part.Sale Buy	5/27/09 6/03/09 7/06/09 10/16/09	K J J J		Morgan Stanley
27 Thornburg Intern'l Value A	A	Div.	K	T	Buy Part.Sale Buy Part.Sale Buy	5/27/09 6/03/09 6/26/09 7/06/09 9/25/09	K J J J J		Morgan Stanley Morgan Stanley
28 Columbia Marsico Growth A	A	Div.	K	T	Buy Buy Buy Sold Sold Buy	6/27/09 6/03/09 6/17/09 7/06/09 7/17/09 12/10/09	K J J J J J		Morgan Stanley Morgan Stanley
29 E V Large Cap Value A	A	Div.	K	T	Buy Sold Buy Sold Sold Buy Buy	5/27/09 6/03/09 6/09/09 7/06/09 7/17/09 9/09/09 12/30/09	K J J J J J J		Morgan Stanley Morgan Stanley Morgan Stanley

FINANCIAL DISCLOSURE REPORT (Cont'd) Tacha, Deanell R. May 12, 2010[illegible]

1	Income/Gain Codes: (See Col. B1, D4)	A-\$1,000 or less F=\$50,001-\$100,000	B-\$1,001-\$2,500 G-\$100,001-\$1,000,000	C-\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D-\$5,001-\$15,000 I=\$15,001-\$50,000	E-\$15,001-\$50,000 J=\$50,001-\$100,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$250,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	