

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PITTMAN, THOMAS V	2. Court or Organization SOUTHERN DISTRICT OF ALABAMA	3. Date of Report 05/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U. S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address ROOM 401, U. S. COURTHOUSE 113 ST. JOSEPH STREET MOBILE, ALABAMA 36602	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER, BOARD OF TRUSTEES	SAMFORD UNIVERSITY, BIRMINGHAM, ALABAMA
2. MEMBER, BOARD OF TRUSTEES	BAPTIST OAKS [REDACTED] MOBILE, ALABAMA
3. TRUSTEE	[REDACTED] TRUST U/W
4. TRUSTEE	FIRST BAPTIST CHURCH OF MOBILE
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. COMPASS BANK (CHECKING)	A	Interest	J	T					
2. SCUDDER MANAGED MUNI BOND FUND	B	Interest	K	T					
3. ARMY AVIATION CENTER FEDERAL CREDIT UN (SVGS)	A	Interest	J	T					
4. COMPASS BANK (MONEY MARKET)	A	Interest	J	T					
5. LINCOLN NATIONAL LIFE (ANNUITY)	D	Interest			WITHDRAWAL	11/30	M		
6. NATIONWIDE LIFE & ANNUITY INS CO (ANNUITY)	C	Interest			WITHDRAWAL	12/21	M		
7. AMSOUTH BANK (CHECKING)	A	Interest	J	T					
8. SCHWAB ACCOUNT # 1									
9. -MANAGER'S INT'L EQUITY FUND A	A	Dividend	J	T					
10. -PIMCO LOW DURATION FUND	B	Dividend	K	T					
11. - SCHWAB GOVERNMENT MONEY MARKET FUND	A	Dividend	J	T					
12. - MANAGER'S CAPITAL APPRECIATION FUND		None	J	T					
13. - MANAGER'S SPECIAL EQUITY FUND	B	Dividend	J	T					
14. - PIMCO REAL RETURN FUND	B	Dividend	K	T	PART SALE	04/06	J		
15. TRUST A	D	Int./Div.	M	T					
16. - AMERICAN ELECTRIC POWER COM STK									
17. - DUKE ENERGY CORP COM STK					PART SALE	06/01	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - RMK SELECT GROWTH FUND A					BUY	12/31	J		
19. - RMK SELECT VALUE FUND A					BUY	12/31	J		
20. - WISCONSIN ENERGY COM STK					PART SALE	06/01	J	B	
21. - REGIONS MORGAN KEEGAN MONEY MARKET FUND									
22. - RMK SELECT MID CAP FUND A (01SA)									
23. - RMK SELECT MID CAP FUND A (01AA)									
24. - FIDELITY ADV EQUITY INCOME FUND									
25. - FIDELITY ADV DIVERS INTL FUND					BUY	12/31	J		
26. TRUST B	D	Int./Div.	N	T					
27. - U S TREASURY NOTES					PART MATURED	02/15	K	A	
28.					BUY	02/28	K		
29. - A T & T COM STK					PART SALE	06/01	J	B	
30. - BELLSOUTH CORP COM STK					PART SALE	06/01	J	B	
31. - COMCAST CORP COM STK									
32. - FPL GROUP INC COM STK					PART SALE	05/03	J	D	
33. - RMK SELECT GROWTH FUND A					PART SALE	04/17	J	A	
34.					BUY	12/31	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

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35. - RMK SELECT VALUE FUND A					BUY	12/31	J		
36. - RMK SELECT MID CAP GROWTH A					BUY	12/31	J		
37. - VERIZON COMMUNICATION COM STK					PART SALE	06/01	J	B	
38. - REGIONS MORGAN KEEGAN MONEY MARKET FUND									
39. - RMK SELECT MID CAP VALUE FUND A									
40. - FIDELITY ADV EQUITY INCOME FUND A									
41. - FIDELITY ADV DIVERS INT'L INCOME FUND A									
42. CHEVRON CORPORATION COM STK	B	Dividend	K	T					
43. AMSOUTH BANK (CHECKING WITH INTEREST)	A	Interest	J	T					
44. EDWARD JONES ACCT # 1									
45. - EDWARD JONES CASH HOLDING ACCT W/ VAR INT	A	Interest	J	T					
46. - CAPITAL ONE BANK CD	A	Interest	K	T					
47. - KEY BANK CD	B	Interest	K	T					
48. - MBNA AMERICA BANK CDS	C	Interest	L	T					
49. - DE SOTO TEXAS G. O. BONDS	A	Interest			SOLD	02/15	K		
50. - DE SOTO TEXAS CERTS OF OBLIGATION	A	Interest	K	T					
51. - GENERAL ELECTRIC CAP CORP	C	Interest	L	T	BUY	02/24	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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BOND									
52. - FEDERAL HOME LOAN MORTGAGE CORP NOTES	C	Interest	L	T					
53. - DISCOVER BANK CD	A	Interest	J	T					
54. - ROYAL AMERICAN BANK CD	B	Interest	K	T					
55. EDWARD JONES ACCT # 2									
56. - EDWARD JONES CASH HOLDING ACCT W/ VAR INT	A	Interest	K	T					
57. - CAPITAL ONE BANK CD	A	Interest			SOLD	11/28	K		
58. - FEDERAL HOME LOAN MORTGAGE CORP NOTES	C	Interest	L	T					
59. - BANK OF NEW YORK CORP BOND - CALLABLE	A	Interest	K	T	BUY	03/08	K		
60. SCHWAB ACCT # 2									
61. - SCHWAB MUNI MONEY FUND		None	J	T					
62. COLONIAL BANK CD	B	Interest	K	T					
63. COLONIAL BANK CD	C	Interest	L	T					
64. COLONIAL BANK CD	B	Interest	K	T					
65. COMPASS BANK PREFERRED MONEY MARKET	B	Interest	L	T					
66. COMPASS BROKERAGE ACCOUNT # 1									
67. - COMPASS SWEEP MONEY MARKET		None	M	T					

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FUND									
68. RBC CENTURA PREFERRED CHECKING WITH INTEREST		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

ADDITIONAL INFORMATION REGARDING ITEMS UNDER PART VII:

ITEM 28 - PROCEEDS FROM SALE OF ITEM 27 WERE USED TO ACQUIRE THIS INVESTMENT

ITEM 51 - PROCEEDS FROM SALE OF ITEM 49 WERE USED TO ACQUIRE THIS INVESTMENT

ITEM 59 - CASH FUNDS FROM ITEM 56 WERE USED TO ACQUIRE THIS INVESTMENT

ITEM 67 - PROCEEDS FROM WITHDRAWAL OF ITEM 6 WERE USED TO OPEN THIS ACCOUNT

ITEM 68 - PROCEEDS FROM WITHDRAWAL OF ITEM 5 WERE USED TO OPEN THIS ACCOUNT

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/15/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544