

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF FLORIDA
UNITED STATES COURTHOUSE
111 NORTH ADAMS STREET
TALLAHASSEE, FLORIDA 32301-7717

SELF INITIATED
AMENDMENT

CHAMBERS OF
WILLIAM STAFFORD
SENIOR JUDGE

RECEIVED
2007 JUN 18 P 12:06
FINANCIAL
DISCLOSURE OFFICE

June 15, 2007

The Honorable Ortrie D. Smith, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, NE
Washington, D.C. 20544

Re: 2006 Financial Disclosure Report

Dear Judge Smith:

Thank you for your May 15, 2007 letter. I have chosen to incorporate those comments in an amended report.

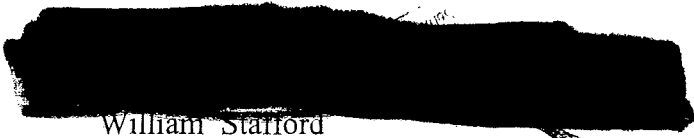
The following was inadvertently omitted in POSITIONS (PART 1) of my April 17, 2007 report:

Member, Board of Directors
Sacred Heart Hospital, Pensacola, Florida

This item is also incorporated in the amended report.

Your service to the judiciary is appreciated. I trust that the enclosed amended report will simplify your committee's work in the future.

Respectfully yours,



William Stafford

Enclosure:
Amended 2006 Financial Disclosure Report
(Signed original and three copies)

SELF INITIATED AMENDMENT

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

AO 10
Rev. 1/2007

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

1. Person Reporting (last name, first, middle initial) Stafford, Jr., William H	2. Court or Organization U.S. District Court, NDFL	3. Date of Report 06/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address US Courthouse 111 North Adams Street Tallahassee, FL 32301-7717	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Directors	Sacred Heart Hospital, Pensacola, Florida
2.	
3.	
4.	
5.	

RECEIVED
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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

06/15/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

06/15/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

06/15/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. New York Life Insurance Co.	A	Dividend	J	W					
2. Prudential Life Insurance Co. (policy)	A	Dividend	J	W					
3. [REDACTED] (time share)		None	J	W					
4. Brokerage Account #1									
5. - Bank Deposit Program Money Market - Morgan Stanley	A	Interest	J	T					
6. - Allied Capital Corp. (common stock)	C	Dividend	K	T					
7. - Blackrock World Investment Trust (closed end fund)	C	Dividend	L	T					
8. - Cohen & Steers Select Utility Fund (closed end fund)	A	Dividend	J	T					
9. - DWS Dreman Value Inc Edge Fund (closed end fund)	A	Dividend	K	T	Buy	11/21	K		
10. - Genentech Inc (common stock)	A	Dividend	J	T	Buy	11/15	J		
11. - Nuveen INSD Qual Muni Fund (closed end fund)	A	Interest	K	T	Buy	11/15	J		
12. - Toyota Motor CP ADR (common stock)	A	Dividend	J	T	Buy	11/15	J		
13. - Lehman Brox HLDG (preferred stock)	B	Dividend	K	T					
14. - GMAC 8.000% (corporate bond)	B	Interest	K	T					
15. - JPMCHASE Capital XVI (fixed-rate capital security)	B	Interest	K	T					
16. - Unit Claymore Secs Closed-End Equity & Inc Ser (unit tst)	A	Dividend	K	T	Buy	11/15	K		
17. - GMAC Bank Greeneville CD	A	Interest			Redemption	1/26	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

06/15/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - First Federal Bank of California FSB Santa Monica CA CD	A	Interest			Redemption	4/26	K		
19. - M&I Bank FSB Las Vegas CD	A	Interest			Redemption	7/28	K		
20. - Machias Savings Bank Machias ME CD	A	Interest			Redemption	10/27	K		
21. - Greater Bay Bank Federally Insured Deposit	A	Interest	L	T	Buy	11/15	L		
22. Brokerage Account #2				.					
23. - Bank Deposit Program Money Market - Morgan Stanley	A	Interest	J	T					
24. - S&P 500 Index Fund (ETF)	A	Dividend	J	T					
25. - Compass Bancshares (common stock)	A	Dividend	J	T					
26. - Diamonds Trust Series 1 (ETF)	A	Dividend	J	T					
27. - Duke Energy (common stock)	A	Dividend	J	T					
28. - DWS Dreman Value Inc Edge Fund (closed end fund)	A	Dividend	J	T	Buy	12/08	J		
29. - General Electric (common stock)	A	Dividend	J	T					
30. - Goodyear Tire & Rubber (common stock)		None	J	T					
31. - Johnson & Johnson (common stock)	A	Dividend	J	T					
32. - Lyondell Chemical (common stock)	A	Dividend	J	T					
33. - NVIDIA Corp (common stock)		None	J	T					
34. - Tanger Factory (common stock)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

06/15/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - TYCO Intl (common stock)	A	Dividend	J	T					
36. - WalMart (common stock)	A	Dividend	J	T	Sell	12/08	J	A	
37. - Wells Fargo & Co. (common stock)	A	Dividend	J	T					
38. IRA's - (Aggregate Ownership)	C	Dividend	M	T					
39. - Liquid Asset Fund (money market) - Morgan Stanley									
40. - Templeton Developing Markets A (mutual fund)					Partial Sell	7/21	J	B	
41. - PIMCO High Yield A (mutual fund)					Partial Sell	7/21	J	A	
42. - PIMCO Total Return A (mutual fund)					Buy	7/21	J		
43. - Allianz NFJ Small Cap Value A (mutual fund)					Partial Sell	10/17	J	A	
44. - ING Intl Value A (mutual fund)					Partial Sell	10/18	J	A	
45. - T Rowe Price Eq Inc (mutual fund)					Partial Sell	7/21	J	A	
46. - Blackrock Intermediate Bond (mutual fund)					Buy	7/21	J		
47. - Blackrock Low Duration (mutual fund)					Partial Sell	7/21	J	A	
48. - AXA Enterprise Growth Fund (mutual fund)					Buy	1/19	J		
49. - Wells Fargo Opportunity INV Fd (mutual fund)					Partial Sell	10/18	J	A	
50.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

06/15/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Many of the entries in previous reports were, and continue to be, held as IRA's with Morgan Stanley and are reported under the Aggregate of Ownership Arrangement.

Item #6 from 2005 report: Cinergy Corporation name has been changed to Duke Energy (now Item # 27).

Item #20 from 2005 report was sold and is no longer reported.

Item #25 from 2005 report was a duplicate of Item #17 and has been dropped.

Item #46 & #47 from 2005 report were closed in 2005 and were dropped from the 2006 report.

Item #42, #46, #48: Buys for each position were additions to existing positions.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

06/15/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

June 15, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stafford, Jr., William H	2. Court or Organization U.S. District Court, NDFL	3. Date of Report 04/17/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address US Courthouse 111 North Adams Street Tallahassee, FL 32301-7717	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

04/17/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Stafford, Jr., William H

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04/17/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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1. New York Life Insurance Co.	A	Dividend	J	W					
2. Prudential Life Insurance Co. (policy)	A	Dividend	J	W					
3. [REDACTED] (time share)		None	J	W					
4. Brokerage Account #1									
5. - Active Assets Bank Deposit Program Money Market	A	Interest	J	T					
6. - Allied Capital Corp. (common stock)	C	Dividend	K	T					
7. - Blackrock World Investment Trust (closed end fund)	C	Dividend	L	T					
8. - Cohen & Steers Select Utility Fund (closed end fund)	A	Dividend	J	T					
9. - DWS Dreman Value Inc Edge Fund (closed end fund)	A	Dividend	K	T	Buy	11/21			
10. - Genentech Inc (common stock)	A	Dividend	J	T	Buy	11/15			
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14. - GMAC 8.000% (corporate bond)	B	Interest	K	T					
15. - JPMCHASE Capital XVI (fixed-rate capital security)	B	Interest	K	T					
16. - Unit Claymore Secs Closed-End Equity & Inc Ser (unit tst)	A	Dividend	K	T	Buy	11/15			
17. - GMAC Bank Greenville CD	A	Interest	K	T	Redemption	1/26	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

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☐ NONE (No reportable income, assets, or transactions.)

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18. - First Federal Bank of California FSB Santa Monica CA CD	A	Interest	K	T	Redemption	4/26	K		
19. - M&I Bank FSB Las Vegas CD	A	Interest	K	T	Redemption	7/28	K		
20. - Machias Savings Bank Machias ME CD	A	Interest	K	T	Redemption	10/27	K		
21. - Greater Bay Bank Federally Insured Deposit	A	Interest	L	T	Buy	11/15			
22. Brokerage Account #2									
23. - Active Assets Bank Deposit Program Money Market	A	Interest	J	T					
24. - S&P 500 Index Fund (ETF)	A	Dividend	J	T					
25. - Compass Bancshares (common stock)	A	Dividend	J	T					
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28. - DWS Dreman Value Inc Edge Fund (closed end fund)	A	Dividend	J	T	Buy	12/08			
29. - General Electric (common stock)	A	Dividend	J	T					
30. - Goodyear Tire & Rubber (common stock)		None	J	T					
31. - Johnson & Johnson (common stock)	A	Dividend	J	T					
32. - Lyondell Chemical (common stock)	A	Dividend	J	T					
33. - NVIDIA Corp (common stock)		None	J	T					
34. - Tanger Factory (common stock)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

04/17/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - TYCO Intl (common stock)	A	Dividend	J	T					
36. - WalMart (common stock)	A	Dividend	J	T	Sell	12/08	J	A	
37. - Wells Fargo & Co. (common stock)	A	Dividend	J	T					
38. IRA's - (Aggregate Ownership)	C	Dividend	M	T					
39. - Liquid Asset Fund (money market)									
40. - Templeton Developing Markets A (mutual fund)					Partial Sell	7/21	J	B	
41. - PIMCO High Yield A (mutual fund)					Partial Sell	7/21	J	A	
42. - PIMCO Total Return A (mutual fund)					Buy	7/21	J		
43. - Allianz NFJ Small Cap Value A (mutual fund)					Partial Sell	10/17	J	A	
44. - ING Intl Value A (mutual fund)					Partial Sell	10/18	J	A	
45. - T Rowe Price Eq Inc (mutual fund)					Partial Sell	7/21	J	A	
46. - Blackrock Intermediate Bond (mutual fund)					Buy	7/21	J		
47. - Blackrock Low Duration (mutual fund)					Partial Sell	7/21	J	A	
48. - AXA Enterprise Growth Fund (mutual fund)					Buy	1/19	J		
49. - Wells Fargo Opportunity INV Fd (mutual fund)					Partial Sell	10/18	J	A	
50.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

04/17/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Many of the entries in previous reports were, and continue to be, held as IRA's with Morgan Stanley and are reported under the Aggregate of Ownership Arrangement.

Item #6 from 2005 report: Cinergy Corporation name has been changed to Duke Energy (now Item # 27).

Item #20 from 2005 report was sold and is no longer reported.

Item #25 from 2005 report was a duplicate of Item #17 and has been dropped.

Item #46 & #47 from 2005 report were closed in 2005 and were dropped from the 2006 report.

Item #42, #46, #48: Buys for each position were additions to existing positions.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Stafford, Jr., William H

Date of Report

04/17/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

April 17, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544