

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wake, Neil V	2. Court or Organization U.S. District Court, Arizona	3. Date of Report 08/10/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 401 W. Washington St., SPC 52 Phoenix, AZ 85003	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust # 1
2. Trustee	Trust # 2
3. Trustee	Trust # 3
4. Trustee	Law Offices of Neil Vincent Wake 401K Profit Sharing Plan (I am sole beneficiary)--Plan terminated in October 16, 2006 and roled into an IRA
5. Trustee	Law Offices of Neil Vincent Wake Money Purchase Plan (I am sole beneficiary)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Wake, Neil V

Date of Report

08/10/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Self-employed attorney and mediator
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. J. Rueben Clark School of Law, Brigham Young Univ.	Feb. 2-4. Judged moot court competition, Provo, Utah (transportation, meals, and lodging)
2. George Mason Univ. Law and Economics Center	April 21-27. Science in the Courts seminar, Tucson, Arizona (transportation, meals, and lodging)
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Wake, Neil V

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08/10/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Wake, Neil V

Date of Report

08/10/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo accounts	B	Interest	L	T					
2. Apache Peak Inv., LLC	B	Distribution	M	U					
3. Whetstone Dev. Co.-(common stock) (X)	C	Distribution	J	U					
4. Cowley-BGWM LLC	D	Distribution	K	U					
5. Interstate Ventures V Ltd. Ptshp	B	Distribution	L	U					
6. 401(K) Plan (terminated 10-16)									
7. --Manulife Lifestyle Growth Fund	D	Dividend	M	T	Sell	10-16	M	E	
8. Trust #1 (trustee)	B	Interest	K	T					
9. --Merrill Lynch accts (below reporting minimum)									
10. --Lucent Technologies Inc (LU) (X)					Sell	1-19	J	A	
11. --Interstate Vent. V LP	B	Distribution	J	U					
12. Trust # 2 (trustee)	B	Dividend	L	T					
13. --Merrill Lunch accts (below reporting minimum)									
14. --Bank of NY Co. Inc. common stock (BK)	A	Dividend	J	T					
15. --Pfizer Inc. Del PV (PFE)	A	Dividend	J	T	Sell part	8-25	J		
16. --Lucent Technologies Inc (LU) (X)			J	T					
17. --Interstate Vent. V LP	B	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	P3=\$25,000,001 - \$50,000,000
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	P4=More than \$50,000,000 S=Assessment W=Estimated	T=Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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Wake, Neil V

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Trust # 3 (trustee)									
19. --Gary & Eliz Loveness note		None	L	T					
20. --Manulife iNS.-WHOLE LIFE INS		None	L	T					
21. Brokerage Acct # 1									
22. --Active Assets Money Trust (formerly Liquid Asset Fund)	B	Dividend	K	T					
23. --DC Gen Obl Bond	A	Interest	K	T	Buy	4-25	K		
24. IRA # 1			K	T					
25. --MS Charter Campbell LP		None	J	T					
26. --MS CharterMSFCM LP		None	J	T					
27. --MS Charter Grahan LP		None	J	T					
28. IRA # 2 (closed in 2004)									
29. IRA # 3	C	Dividend	K						
30. --Liquid Asset Fund (MM)	A	Dividend	J	T					
31. --MS Global Dividend Grwth Sec B (Mut Fd)	A	Dividend	J	T					
32. --MS Pacific Growth Fund B (Mut Fd)	A	Dividend	J	T					
33. --Templeton Global SM Co Gr Fd A (Mut Fd)	B	Dividend	K	T					
34. --Morgan Stanley Bank	B	Interest	M	T	Buy	10-17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. UTMA Acct # 2	A	Dividend	J	T	Acct closed	8-1	J		
36. --Liquid Asset Fund (MM)	A	Dividend	J	T	Sell	8-1	J		
37. --Putnam Voyager A (Mut Fd)	A	Dividend	J	T	Sell	8-1	J		
38. UTMA Acct # 3									
39. --Liquid Asset Fund (MM)	A	Dividend	J	T					
40. --MS Information Fund B (Mut Fd)	A	Dividend	J	T					
41. --MS European Growth Fund B (Mut Fd)	A	Dividend	J	T					
42. --Putnam Voyager A (Mut Fd)	A	Dividend	J	T					
43. Brokerage Acct # 3									
44. --SEI Tax-Free Fund (MM)	C	Dividend	N	T	Sell part	4-7	J	A	
45. --SEI Tax-Free Fund (MM)					Sell part	4-11	J	A	
46. --SEI Tax-Free Fund (MM)					Sell part	10-6	J	A	
47. --SEI Tax-Mgd Large Cap Fund (MM)	B	Dividend	N	T	Sell part	10-9	J	A	
48. --Intel Corp common stock	A	Dividend	L	T					
49. --Cisco Systems Inc common stock	A	Dividend	K	T					
50. --Medtronic Inc common stock	A	Dividend	K	T					
51. --Altera Corp common stock		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

Place "(X)" after each asset exempt from prior disclosure		Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.	--Automatic Data Processing Inc common stock	A	Dividend	J	T					
	--XILINC Inc common stock		None	J	T					
54.	--Rf Micro Devices Inc		None	J	T					
55.	--Small Cap Value Fund (Mut Fd)	B	Dividend	K	T					
56.	--SEI Small Cap Growth Fund (Mut Fd)	A	Dividend	K	T					
57.	--General Electric Co common stock	A	Dividend	J	T					
58.	--Verizon Communications common stock	A	Dividend	J	T					
59.	--Sun Microsystems Inc common stock	A	Dividend	J	T					
60.	--Microsoft Corp common stock	A	Dividend	J	T					
61.	--SEI Intermediate-Term Municipal Fund (Mut Fd)	C	Dividend	M	T	Buy	4-11	K		
62.	--SEI International Fixed Income Fund (Mut Fd)	B	Dividend	K	T					
	--SEI High Yield Bond Fund (Mut Fd)	B	Dividend	K	T					
64.	--SEI Emerging Markets Debt Fund (Mut Fd)	B	Dividend	K	T					
65.	--SEI International Equity Fund (Mut Fd)	C	Dividend	L	T	Sell part	4-10	J	A	
66.	--SEI Emerging Markets Equity Fund	B	Dividend	K	T	Sold part	4-10	K	B	
67.	Brokerage Acct # 4									
68.	--SEI Tax-Mgd Large Cap Fund (Mut Fd)	B	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Wake, Neil V

Date of Report

08/10/2007

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69. --SEI Small Cap Value Fund (Mut Fd)	A	Dividend	J	T					
70. --SEI Small Cap Growth Fund (Mut Fd)	A	Dividend	J	T					
71. --SEI Intermediate-Term Municipal Fund (Mut Fd)	A	Dividend	K	T					
72. --SEI International Fixed Income Fund (Mut Fd)	A	Dividend	J	T					
73. --SEI Emerging Markets Debt Fund (Mut Fd)	A	Dividend	J	T					
74. --SEI High Yield Bond Fund (Mut Fd)	A	Dividend	J	T					
75. --SEI International Equity Fund (Mut Fd)	A	Dividend	K	T					
76. --SEI Emerging Markets Equity Fund (Mut Fd)	A	Dividend	J	T					
77. Brokerage Acct # 5 (Money Purchase Plan)									
78. --SEI Large Cap Growth Fund (Mut Fd)	C	Dividend	N	T	Buy	4-11	J		
79. --SEI Large Cap Value Fund (Mut Fd)	C	Dividend	N	T	Sell part	10-10	J		
80. --SEI Large Cap Value Fund (Mut Fd)					Sell part	12-7	J	A	
81. --SEI Small Cap Value Fund (Mut Fd)	A	Dividend	K	T	Sell part	12-7	J	A	
82. --SEI Small Cap Growth Fund (Mut Fd)	A	Dividend	K	T	Buy	1-10	J	A	
83. --SEI Small-Cap Growth Fund (Mut Fd)					Buy	4-7	J	A	
84. --Medtronic Inc common stock	A	Dividend	K	T					
85. --Microsoft Corp common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

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86. --Verizon Communications common stock	A	Dividend	J	T					
87. --SEI International Equity Fund (Mut Fd)	A	Dividend	M	T	Sell part	4-11	J	B	
88. ---SEI International Equity Fund (Mut Fd)					Sell part	4-12-7	J	B	
89. --SEI Emerging Markets Equity Fund (Mut Fd)	A	Dividend	M	T	Sell part	1-10	J	A	
90. --SEI Emerging Markets Equity Fund (Mut Fd)					Sell part	12-7	J	A	
91. --SEI Core Fixed Income Fund (Mut Fd)	C	Dividend	M	T	Buy	1-10	K		
92. --SEI Core Fixed Income Fund (Mut Fd)					Buy	4-11	J		
93. --SEI International Fixed Income Fund (Mut Fd)	B	Dividend	K	T	Buy	4-11	J	A	
94. --SEI High Yield Bond Fund (Mut Fd)	B	Dividend	K	T					
95. --SEI Emerging Markets Debt Fund (Mut Fd)	B	Dividend	K	T	Sell part	12-7	J	A	
96. --SEI Prime Obligation Fund (Money Market)	A	Dividend	K	T	Sell part	1-11	J	A	
97. --SEI Prime Obligation Fund (Money Market)					Sell part	4-7	J	A	
98. --SEI Prime Obligation Fund (Money Market)					Sell part	7-12	J	A	
99. --SEI Prime Obligation Fund (Money Market)					Sell part	10-6	J	A	
100. --SEI Prime Obligation Fund (Money Market)					Sell part	10-10	J	A	
101. Trust # 4 (beneficiaries)	B	Dividend	K	T					
102. --SEI Tax-Mgd Large Cap Fund (Mut Fd)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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103. --General Electric Co common stock	A	Dividend	J	T					
104. --Medtronic Inc common stock	A	Dividend	J	T					
105. --SEI Small Cap Growth Fund (Mut Fd)			J	T					
106. --SEI Small Cap Value Fund (Mut Fd)			J	T					
107. --Microsoft Corp common stock	A	Dividend	J	T					
108. --SEI Intermediate-Term Municipal Fund (Mut Fd)			J	T					
109. --SEI International Fixed Income Fund (Mut Fd)			J	T					
110. --SEI High Yield Bond Fund (Mut Fd)	A	Dividend	J	T					
111. --SEI Emerging Markets Debt Fund (Mut Fd)	A	Dividend	J	T					
112. --SEI International Equity Fund (Mut Fd)	A	Dividend	J	T					
113. --SEI Emerging Markets Equity Fund (Mut Fd)			J	T					
114. --SEI International Fixed Income Fund (Mut Fd)	A	Dividend	J	T					
115. --Nortel Networks Corp New common stock			J	T					
116. --SEI Tax-Free Fund (Mut Fd)			J	T					
117. Pinal County land		None	L	R					
118. Mohave County land		None	J	R					
119. Roth IRA # 4									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Wake, Neil V

Date of Report

08/10/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Liquid Asset Fund (Mut Fd)	A	Dividend	J	T					
121. Manulife Financial Life Ins	A	Dividend	K	T					
122. Section 529 Account									
123. --SM & R Alger Aggressive GF CL-B (Mut Fd)		None	K	T					
124. IRA # 5--									
125. --Phoenix Cap Growth (former Engemann) (Mut Fd)		None	K	T					
126. --Phx Strg Theme (Mut Fd)		None	J	T	Sell	10-27	J		
127. --AIM Groth (Mut Fd)		None	J	T	Sell	10-27	J		
128. --AlgerAmerLvgAllCap (Mut Fd)		None	K	T					
129. --Bernstein Mid-Cap (Mut Fd)		None	K	T					
130. --Phx MidCap Growth (Mut Fd)		None	K	T					
131. --Tech Portfolio (Mut Fd)		None	J	T	Sell	4-28	J		
132. --WangerUS Smaller Cos (Mut Fd)		None	J	T					
133. --Aberdeen Int'l (Mut Fd)		None	K	T					
134. --WangerIntl SmallCap (Mut Fd)		None	J	T					
135. --Phoenix Wealth Mngmt	B	Interest	K	T					
136. --Phoenix MM (former Goodwin MM)	A	Dividend	J	T	Buy	4-28	J		

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value				

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Wake, Neil V

Date of Report

08/10/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Phoenix Universal Varialbe Life Ins (held by trust)									
138. --Oakhurst Allocation (Mut Fd)	A	Dividend	J	T					
139. --WangerUS Smaller Cos (Mut Fd)	A	Dividend	J	T					
140. --Wanger Intl SmallCap (Mut Fd)	A	Dividend	J	T					
141. --AllBernEnhancedIndex (Mut Fd)	A	Dividend	J	T					
142. --Engeman Growth&Inc (Mut Fd) (formerly Oakhurst)	A	Dividend		T					
143. --AIM Growth (Mut Fd)	A	Dividend	J	T					
144. --Tech Portfolio	A	Dividend	J	T					
145. IRA # 6									
146. --Liquid Assets Fund	A	Dividend	J	T					
147. --Credit Suisse SM Cap Gth (Com)		None	J	T	Sell	4-7	J	A	
148. --Legg Mason Ptnrs Inv Val A (formerly Salomon Investors)	A	Dividend	J	T					
149. --Amer Cent Growth Adv	A	Dividend	J	T	Sell	4-7	J	B	
150. --Blackrock Lo Duration Bd Svc	A	Dividend	J	T	Sell	4-7	J		
151. --Fidelity Adv Intermed Bd T	A	Dividend	J	T	Sell	4-7	J		
152. --Davis Real Estate A	A	Dividend	J	T	Sell	4-7	J	A	
153. --Fidelity Adv Mid Cap A	A	Dividend	J	T	Sell	4-7	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Wake, Neil V

Date of Report

08/10/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Fidelity Adv Dvrsfd Intl A Propectus	A	Dividend	J	T	Buy	4-7	J		
155. --JP Morgan Mid Cap Calue A Prospects	A	Dividend	J	T	Buy	4-7	J		
156. --American Gr Fd of America F Prospectus	A	Dividend	J	T	Buy	4-7	J		
157. --Mainstay Sm Cap Opport A Prospectus	A	Dividend	J	T	Buy	4-7	J		
158. Brokerage Acct # 6									
159. --Mid-Cap Value Fund D	B	Dividend	J	T					
160. --Van Kampen Grw & Inc A	C	Dividend	K	T					
161. --MSIF TR Equity Port Inst	B	Dividend	K	T	Sell	11-18	K	D	
162. --MSIF TR Small Co Growth A	A	Dividend	J	T					
163. --MSIF TR Mid Cap Growth Inst	B	Dividend	J	T					
164. --MSIF TR Real Estate Port A	A	Dividend	J	T					
165. --MSIF TR Large Cap Growth Port A (formerly Equity Growth)	A	Dividend	J	T					
166. --MSIF TR US Small Cap Val Int	A	Dividend	J	T					
167. Brokerage Acct # 7									
168. --Harris Insight MM (below reporting minimum)		None							
169. --Cisco Systems Inc (common)		None	J	T					
170. Callon Petroleum Co (common) (X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting	Date of Report
Wake, Neil V	08/10/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

II.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Wake, Neil V

Date of Report

08/10/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

August 19, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544