

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Ishii, Anthony W	2. Court or Organization Eastern District of California	3. Date of Report 5/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. District Court 1130 O Street Fresno, CA 93721	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director

Assn. of Business Trial Lawyers, San Joaquin Valley Chapter

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1983

Fresno County Retirement System; retirement benefits based upon age and years of service

2. 1994

California Judges Retirement System; retirement benefits based upon age and years of service

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FINANCIAL
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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

2003

California State University Fresno

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Ishii Bros., Inc. Common Stock	B	Dividend	N	U					
2. Lucent Tech. Common Stock		None			Sell	11/19	J		
3. Worldcom Common Stock		None			Sell	11/19	J		
4. Lucent Tech. Common Stock		None			Sell	11/19	J		
5. Worldcom Common Stock		None			Sell	11/19	J		
6. Morgan Stanley Trust Liq. Asset Fund	A	Dividend	J	T					
7. Morgan Stanley Trust Liq. Asset Fund	A	Dividend	J	T					
8. All Star Growth Fund B		None			Merger/Re dem	10/03	J		
9. Van Kampen Comstock B	A	Dividend	J	T					
10. Am. Ret. Villas Prop. II	A	Dividend	J	T					
11. Rancon Realty Fund V	A	Dividend	J	T					
12. Morgan Stanley Trust Liq. Asset Fund	A	Dividend	J	T					
13. Wells Fargo Bank Account	A	Interest	J	T					
14. Thames River Assoc. Limited Partnership		None	K	T					
15. ING Grw & Val Fund CL C		None	J	T					
16. Phoenix Growth & Income FD CL B		None	J	T					
17. Davis Series, Inc Financial Fund		None	J	T					
18. Dreyfus Premier Technology Growth Fund		None	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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19. Putnam Health Sciences Trust Class B		None			Sell	11/06	J		
20. Munder Framlington Health Care Fund		None	J	T					
21. UBS Painewebber RMA Acct.	A	Dividend			Sell	10/03	J		
22. ML Pacific Fund CL B		None			Sell	11/03	J		
23. Putnam Investors Fund		None			Sell	11/04	J		
24. Putnam New Opportunities Fund		None	J	T					
25. Seligman Henderson Global Growth Opp. Fund		None			Sell	10/30	J		
26. AIM Large Cap Growth Fund		None	J	T					
27. AT&T Corp New		None			Sell	11/19	J		
28. AT&T Corp New		None			Sell	11/19	J		
29. Comcast Corp (New) Class A	A	Dividend			Sell	11/19	J		
30. Comcast Corp (New) Class A	A	Dividend			Sell	11/19	J		
31. Davis New York Venture B		None	J	T					
32. Pimco Renaissance Fund Class B		None	J	T					
33. Pimco Low Duration Fund CL C	A	Dividend	J	T					
34. Fresno County Federal Credit Union Account	A	Interest	J	T					
35. Equitable Life Insurance Co. of Iowa	A	Interest	K	T					
36. American General Life Ins. Co.	A	Interest	J	T					

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. American Opportunities FD B		None	J	T	Merger/Bu y	10/03	J		
38. Templeton Foreign Fund B		None	J	T	Buy	11/19	J		
39. LIR Premier Money Market Fund	A	Dividend	J	T	Buy	10/03	J		
40. Seligman Communication & Information CL B		None	J	T	Buy	10/30	J		
41. Munder Small Cap Value Fund		None	J	T	Buy	11/03	J		
42. Teva Pharmaceuticals		None	J	T	Buy	12/19	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part III NON-INVESTMENT INCOME

Part VII INVESTMENTS and TRUSTS

I had previously reported interest income from my cash value life insurance policy with Franklin Life Ins. Co. (now American General Life Ins. Co.) as non-investment income. Upon review, I now recognize that I should have been reporting such interest income in Part VII INVESTMENTS and TRUSTS. Therefore, I have reported the interest income for 2003 in entry number 36 in Part VII under American General Life Ins. Co.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5-12-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544