

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whyte, Ronald M.	2. Court or Organization Northern District California	3. Date of Report 05/15/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. District Court 280 S. First Street San Jose, CA 95113	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Member of Exec. Committee

Santa Clara Inn of Court

2. Member

Intellectual Property Board of Advisors - George Washington Law School

3. Member

Santa Clara Law School IP Advisory Board

4.

5.

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FINANCIAL
DISCLOSURE OFFICE**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Court Designated Child Advocates - wages
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Circuit Bar Association	June 25-28	Monterey, California	Professional Conference	Reimbursement for meals, travel and lodging
2. International Judicial Academy	June 8-15	Mar Del Platta, Argentina	Professional Conference	Reimbursement for meals, travel and lodging
3. International Judicial Academy	June 15 -22	Valdivia, Chile	Professional Conference	Reimbursement for meals, travel and lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Emeryville Redev Bond	A	Interest	J	T					
2. Sacramento Utility Bond	A	Interest	J	T					
3. California Water Bond due 2016	A	Interest	J	T					
4. California Water Bond due 2018	A	Interest	J	T					
5. Centerline Holding (Charter Muni Accept Corp)	A	Dividend			Sold	11/21	J		
6. Davis NY Venture Mut Fund	B	Dividend	L	T					
7. Target Large Cap Portfolio Trust		None			Sold	3/28	M		
8. Target Small Cap Portfolio Trust		None			Sold	3/28	L	B	
9. Templeton Foreign Fund		None			Sold	12/3	L		
10. Templeton Foreign Fund	E	Dividend	L	T					
11. Dryden Cal Muni Fund	B	Dividend	K	T					
12. Putnam Cal Tax Ex Fund	D	Dividend	J	T					
13. Evergreen Municipal Fund	A	Interest			Sold	6/4	K		
14. Pax World Fund	D	Dividend	M	T					
15. First Eagle (Sogen) International Fund	C	Dividend	M	T					
16. Bank of the West Acct	A	Interest	J	T					
17. Bank of the West Acct	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) - V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Rental Property, Ketchum, ID, assessed value: \$500,000	D	Rent	N	S					
19. Rental Property, Los Gatos, CA, assessed value: \$ 260,182	D	Rent	N	S					
20. Calamos Investment Trust Growth Fund		None	K	T					
21. Eaton Vance Health Science Fund	D	Dividend	L	T					
22. von Weise Properties, LLC	E	Distribution	N	W					
23. Century Small Cap Select FD Inv Shs		None			Sold	3/28	K		
24. Artio Inter Fund (Julius Baer)	B	Dividend	K	T					
25. Oppenheimer Dev Mrks Fd	C	Dividend	K	T					
26. Wash Mut Bank	A	Interest	J	T					
27. CRM Mid Cap Fd Inst		None			Sold	11/25	K		
28. Riverside Ca uni S/D bond	A	Interest	K	T					
29. Rosemead Ca 3.5 Redev Bond	B	Interest	L	T					
30. Ceres Ca Redev Bond	A	Interest	K	T					
31. Corona Ca Corp Yd Bond	B	Interest	K	T					
32. Solano Ca 3.5% Bond	B	Interest	K	T					
33. Keeley Small Cap Fund		None			Sold	11/25	K		
34. PIMCO For Bond Fund	A	Dividend			Sold	3/28	M		

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2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$250,001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	

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35. PIMCO Low Dur Fund	B	Dividend			Sold	3/28	N		
36. ABN Montag & Calwll Fund		None			Sold	11/25	L		
37. Janus Invt Fund		None			Sold	5/14	L		
38. Glendale CA Bond	B	Interest			Redeemed	9/02	K		
39. Pomona CA Bond	B	Interest	L	T					
40. CMA Money Fund	B	Dividend	L	T					
41. ML Bank Deposit	A	Interest	J	T					
42. Sacramento San Bd	B	Interest	L	T					
43. Reassure Annuity	D	Interest	M	T					
44. Fremont CA Un High Sch Bond		None	K	T	Buy	9/17	K		
45. Alameda Corridor Tran Bond		None	K	T	Buy	9/17	K		
46. San Jose Redev Agy Bond		None	L	T	Buy	9/17	L		
47. CD Goldman Sachs Bk		None	M	T	Buy	11/24	M		
48. CD Captial One Bk		None	M	T	Buy	11/24	M		
49. CD American Express Bk		None	M	T	Buy	11/24	M		
50. Dreyfus Appreciation Fund		None	M	T	Buy	11/25	M		
51. USAA Cornerstone Strat Fund	A	Distribution	J	T	Buy	1/4	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Retirement Reserve CLI	B	Dividend	M	T	Open	4/14	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544