



UNITED STATES COURT OF APPEALS
FOR THE THIRD CIRCUIT

CHAMBERS OF
JUDGE D. BROOKS SMITH

ALLEGHENY PROFESSIONAL CENTER
1798 OLD ROUTE 220 NORTH
SUITE 203
DUNCANSVILLE, PA 16635
(814) 693-0570

July 29, 2007

Honorable Ortrie D. Smith, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, DC 20544

Re: Honorable D. Brooks Smith
Calendar Year 2007 Filing

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FINANCIAL
DISCLOSURE OFFICE

Dear Judge Smith:

The following are the required responses to your request dated July 2, 2008:

Part VII, page 14

- a. Line 16: Travelcenters of America LLC
 - i. Travelcenters of America LLC was a spin off from Hospitality Properties Trust on February 1, 2007. Hospitality Properties Trust was listed on the 2006 report on Page 8 of 10, line 71. Travelcenters of America LLC was subsequently sold on February 15, 2008.

Si [REDACTED]

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Smith, D. Brooks	2. Court or Organization Third Circuit Court of Appeals	3. Date of Report 05/15/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address US Court of Appeals, Ste 203 1798 Old Route 220N Duncansville, PA 16635	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Mount Aloysius College, Cresson, PA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Reliance Bank - Vice President, Commercial Lending - Salary ●
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	William and Mary Law School	05/18/2007 - 05/20/2007	Williamsburg, VA	Graduation Speech	Travel, food and lodging
2.	New York Law School	09/08/2007 - 09/10/2007	New York, NY	Moot Court Competition	Travel, food and lodging
3.	Cornell Law School	10/20/2007 - 10/22/2007	Ithaca, NY	Moot Court Competition	Lodging
4.	Ave Maria Law School	11/15/2007 - 11/07/2007	Ann Arbor, MI	Moot Court Competition	Travel and lodging

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5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Reliance Bank Certificate of Deposit	A	Interest	K	T	Buy	12/21	K		
2. FNB Corp (common)	A	Dividend	J	T					
3. Fifth Third Bancorp	A	Dividend	J	T					
4. AST Capiral Growth Asset Allocation ¹	D	Dividend	M	T					
5. AST Balanced Asset Allocation ¹	D	Dividend	M	T					
6. M&T Bank (common)	C	Dividend	M	T					
7. M&T Bank 401(K)	D	Dividend	K	T					
8. Reliance bank 401(K)	A	Int./Div.	L	T					
9. Northwestern Mutual Life Ins(Universal Life Policies)	B	Dividend	M	T					
10. Altria Group Incorporated 2	A	Dividend	J	T	Buy	04/19	J		
11.	A	Dividend	J	T	Buy	05/30	J		
12. Ameren Corp 2	A	Dividend	J	T					
13. ANI S P A Sponsored ADR(Italy) 2	A	Dividend	J	T	Buy	03/09	J		
14. BB&T Corporation 2	A	Dividend	J	T	Buy	08/24	J		
15.	A	Dividend	J	T	Buy	08/27	J		
16.	A	Dividend	J	T	Buy	09/10	J		
17. BP PLC Sponsored ADR(United Kingdom) 2	A	Dividend	J	T	Buy	03/02	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	A	Dividend	J	T	Buy	03/12	J		
19.	A	Dividend	J	T	Buy	06/11	J		
20. Bank of America Corporation 2	A	Dividend	J	T	Buy	01/11	J		
21.	A	Dividend	J	T	Buy	02/06	J		
22.	A	Dividend	J	T	Buy	02/07	J		
23.	A	Dividend	J	T	Buy	04/30	J		
24.	A	Dividend	J	T	Buy	06/11	J		
25. BCE Incorporated Com New 2	A	Dividend			Sold	05/04	J	A	
26.	A	Dividend			Sold	05/03	J	A	
27. Bristol Myers Squibb Company 2	A	Dividend	J	T	Buy	10/08	J		
28.	A	Dividend	J	T	Buy	10/09	J		
29.	A	Dividend	J	T	Buy	10/19	J		
30. Citizens Communications Company 2	A	Dividend	J	T	Buy	08/28	J		
31. Consolidated Edison 2	A	Dividend			Sold	08/03	J	A	
32.	A	Dividend			Sold	08/29	J	A	
33.	A	Dividend			Buy	04/05	J		
34. Duke Energy Company New 2	A	Dividend	J	T	Buy	11/07	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.	A	Dividend	J	T	Buy	11/13	J		
36. Fifth Third Bancorp 2	A	Dividend	J	T	Buy	04/30	J		
37.	A	Dividend	J	T	Buy	05/01	J		
38.	A	Dividend	J	T	Buy	06/11	J		
39. First Horizon National Corporation 2	A	Dividend			Sold	11/14	J	A	
40. France Telecom Sponsored ADR(France) 2	A	Dividend	J	T	Buy	06/01	J		
41.	A	Dividend	J	T	Buy	06/11	J		
42. Heinz H J Company 2	A	Dividend	J	T	Buy	10/19	J		
43.	A	Dividend	J	T	Buy	11/09	J		
44. Keycorp New 2	A	Dividend			Sold	01/11	J	A	
45.					Sold	01/12	J	A	
46.					Sold	01/19	J	A	
47.					Sold	01/22	J	A	
48.					Sold	01/23	J	A	
49. Kraft Foods Incorporated Class A 2	A	Dividend	J	T	Buy	11/08	J		
50.	A	Dividend	J	T	Buy	11/13	J		
51. National City Corp 2	A	Dividend			Sold	11/14	J	A	

1. Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

R =Cost (Real Estate Only)
V =Other

S =Assessment
W =Estimated

T =Cash Market

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52. New York Cmnty Bancorp Incorporated 2	A	Dividend	J	T	Buy	04/02	J		
53.	A	Dividend	J	T	Buy	04/03	J		
54.	A	Dividend	J	T	Buy	06/11	J		
55. Nisource Inc 2	A	Dividend			Sold	01/17	J	A	
56. Packaging Corp America 2	A	Dividend			Sold	06/01	J	A	
57.					Sold	06/04	J	A	
58.					Sold	06/05	J	A	
59. Peoples Energy Inc 2 (Merged with Integrys Energy Group)	A	Dividend			Merger	02/22	J	A	
60. Integrys Energy Group 2 (Formerly Peoples Energy Inc)	A	Dividend	J	T	Merger	02/22			
61. Pinnacle West Cap Corporation 2	A	Dividend	J	T					
62. Progress Energy Inc 2	A	Dividend	J	T					
63. Regal Entertainment Group Class A 2	A	Dividend	J	T	Buy	07/05	J		
64.	A	Dividend	J	T	Buy	07/06	J		
65.	A	Dividend	J	T	Buy	07/09	J		
66. Reynolds American Inc 2	A	Dividend	J	T					
67. Southern Company 2	A	Dividend	J	T	Buy	11/08	J		
68. Telecom Corp NZ Ltd 2	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. UST Inc 2	A	Dividend	J	T					
70. United Utilities PLC 2	A	Dividend	J	T					
71.	A	Dividend	J	T	Buy	06/11	J		
72. Windstream Corporation 2	A	Dividend	J	T	Buy	01/16	J		
73.	A	Dividend	J	T	Buy	01/17	J		
74.	A	Dividend	J	T	Buy	01/1/	J		
75.	A	Dividend	J	T	Buy	04/02	J		
76.	A	Dividend	J	T	Buy	04/03	J		
77.	A	Dividend	J	T	Buy	06/11	J		
78. First Industrial Realty Tr 2	A	Dividend			Sold	08/29	J	A	
79.					Sold	04/23	J	A	
80. HRPT Property Trust 2	A	Dividend			Sold	04/05	J	A	
81.					Sold	04/09	J	A	
82.	A	Dividend			Buy	06/11	J		
83.					Sold	08/31	J	A	
84. Health Care Realty Trust 2	A	Dividend			Sold	03/13	J	A	
85.					Sold	03/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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86. Hospitality Properties Tr 2	A	Dividend			Sold	04/23	J	A	
87.					Sold	08/31	J	A	
88. Nationwide Health Properties 2	A	Dividend			Sold	02/09	J	A	
89.					Sold	02/12	J	A	
90. Senior Housing Properties Tr 2	A	Dividend			Sold	03/13	J	A	
91.					Sold	03/14	J	A	
92.					Sold	03/15	J	A	
93. Allied Cap Corporation 2	A	Dividend			Sold	11/14	J	A	
94.	A	Dividend			Sold	11/14	J	A	
95.	A	Dividend			Sold	11/14	J	A	
96.	A	Dividend			Sold	11/14	J	A	
97.	A	Dividend			Sold	11/14	J	A	
98. Coamerica Incorporated 2	A	Dividend	J	T					
99. Deutsche Telekom AG 2	A	Dividend	J	T					
100.	A	Dividend	J	T	Buy	06/11	J		
101. Enel Societa Per Azioni ADR 2	A	Dividend	J	T					
102.	A	Dividend	J	T	Buy	03/08	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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103.	A	Dividend	J	T	Buy	06/11	J		
104. Gallagher Arthur J & Company 2	A	Dividend	J	T					
105.	A	Dividend	J	T					
106.					Sold-Part	10/11	J	A	
107.					Sold-Part	10/12	J	A	
108. Kingfisher PLC 2	A	Dividend	J	T	Sold-Part	04/23	J	A	
109.	A	Dividend	J	T	Sold-Part	05/22	J	A	
110.	A	Dividend	J	T	Buy	06/11	J		
111. Lloyds TSB Group PLC 2	A	Dividend	J	T					
112.	A	Dividend	J	T					
113.	A	Dividend	J	T	Buy	06/11	J		
114. Pfizer Incorporated 2	A	Dividend	J	T					
115.	A	Dividend	J	T					
116.	A	Dividend	J	T					
117.	A	Dividend	J	T	Buy	01/08	J		
118.	A	Dividend	J	T	Buy	01/09	J		
119.	A	Dividend	J	T	Buy	06/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Telecom Italia SPA New 2	A	Dividend	J	T					
121.	A	Dividend	J	T					
122.	A	Dividend	J	T	Buy	06/11	J		
123. Telstra Corporation Limited 2	A	Dividend	J	T					
124.	A	Dividend	J	T	Buy	06/11	J		
125. US Bancorp Del Com New 2	A	Dividend	J	T					
126.	A	Dividend	J	T					
127.	A	Dividend	J	T					
128.	A	Dividend	J	T					
129.	A	Dividend	J	T					
130.	A	Dividend	J	T	Buy	04/02	J		
131.	A	Dividend	J	T	Buy	04/30	J		
132.	A	Dividend	J	T	Buy	06/11	J		
133. Unilever PLC 2	A	Dividend			Sold	03/14	J	A	
134.	A	Dividend			Sold	03/14	J	A	
135. Verison Communication 2	A	Dividend			Sold	07/10	J	A	
136.	A	Dividend			Sold	07/10	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.	A	Dividend			Sold	07/10	J	A	
138.	A	Dividend			Buy	06/14	J		
139.					Sold	07/10	J	A	
140. Wachovia Corporation 2nd New 2	A	Dividend	J	T					
141.	A	Dividend	J	T	Buy	02/20	J		
142.	A	Dividend	J	T	Buy	07/31	J		
143. Washington Mutual Incorporated 2	A	Dividend			Sold	10/24	J	A	
144.	A	Dividend			Buy	06/14	J		
145.					Sold	10/24	J	A	
146.	A	Dividend			Buy	03/07	J		
147.					Sold	10/24	J	A	
148.	A	Dividend			Buy	02/23	J		
149.					Sold	10/24	J	A	
150.	A	Dividend			Buy	02/09	J		
151.					Sold	10/24	J	A	
152. Weyerhaeuser Company 2	A	Dividend			Sold	03/07	J	A	
153.	A	Dividend			Sold	03/07	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Brandywine realty Tr Sh Ben Int 2	A	Dividend			Sold	02/15	J	A	
155.	A	Dividend			Sold	02/20	J	A	
156. Health Care Reit Incorporated 2	A	Distribution			Sold	04/05	J	A	
157. Lexinton Corporation PPTYS Tr 2	A	Dividend			Sold	04/05	J	A	
158.	A	Dividend			Sold	08/29	J	A	
159.	A	Dividend			Sold	08/30	J	A	
160. RJ Bank Deposit Program(X) 2	A	Dividend	J	T					
161. MacQuarie Infrastructure 2	A	Dividend			Buy	03/02	J		
162.					Sold	09/13	J	A	
163.					Sold	09/13	J	A	
164. Citigroup Incorporated 2	A	Dividend			Buy	03/14	J		
165.	A	Dividend			Buy	08/29	J		
166.					Sold	11/14	J	A	
167. Travelcenters of America LLC 2 (Stock Dividend from Hospital	A	Dividend			Sold	02/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) Held in [REDACTED] self directed IRA with American Skandia
- 2) Self directed IRA

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544