

United States Court of Appeals
for the Federal Circuit

Chambers of
Glenn L. Archer, Jr.
Senior Circuit Judge

717 Madison Place, N.W.
Washington, D.C. 20439
Phone: 202-633-5846

August 31, 2009

Honorable Bobby R. Baldock, Chair
Committee on Financial Disclosure
Judicial Conference of the United States
One Columbus Circle, NE
Washington, D.C. 20544

RECEIVED
2009 SEP -4 A 10: 27
FINANCIAL
DISCLOSURE OFFICE

Re: Response to August 11, 2009 Letter

Dear Judge Baldock:

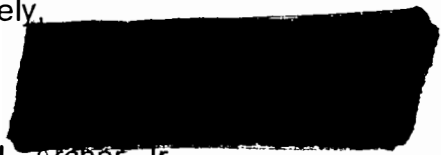
In response to your letter of August 11, 2009, please find the requested information below.

The assets ATT as well as Applied Materials were both acquired in 2008. Thus, Part VII, lines 80 and 81 should be revised as follows:

		D(1)	D(2)	D(3)
80	ATT-com	Buy	4/4	K
81	Applied Materials_com	Buy	4/4	J

I apologize for the delay in providing the necessary information to review and close my 2008 Report.

Sincerely,


Glenn L. Archer, Jr.
Senior Circuit Judge

Archer Jr, Glenn L

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Archer, Jr., Glenn L.	2. Court or Organization Ct. of Appeals Federal Circuit	3. Date of Report 06/01/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 717 Madison Pl., N.W. Washington, D.C., 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Sec'y-Treas.	Glenmar Farms (farm) Yellow Springs, W.Va.
2. Trustee	Sherman Foundation (Charitable Foundation) Newport Beach, Ca.
3. Trustee	Trust #2 (See part VII)
4. Trustee	Glenn Archer Trust (see Part VIII)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

RECEIVED
 2009 JUL 20 A 10:18
 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. monthly	Alaska retirement annuity
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Sherman Foundation	10/16	Newport Beach, Ca	Bd of Dir meeting	Trans, Lodging and Food
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Colchester Corp. com		None	J	W					
2. Glenmar Farms Inc. com		None	L	W					
3. IRA #1 - E-Trade	A	Int & Div	K	T					
4. --Apple-com									
5. --Microsoft-com									
6. _Cisco com									
7. - Altria com									
8. -Kraft- Cl A									
9. - Citigroup					Buy	11/20	J		
10. --Philip Morris Int'l					Spinoff (from line 7)	3/19	J		
11. _E-Trade Money Market Funds									
12. IRA #2 - E-Trade	A	Int & Div	K	T					
13. _Cisco com									
14. _Intel com									
15. _Marriott com									
16. _Microsoft com									
17. _Applied Materials com									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Petsmart-com									
19. -E-Trade Money Market Funds									
20. Harris Bank – Brokerage Acct GA [REDACTED] Tr @Harris Bk									See Part VIII
21. -Microsoft com	A	Dividend	J	T					
22. -ACM Inc Fd	A	Dividend	J	T					
23. -Am Cent GNMA Fd	A	Interest	J	T					
24. -Cohen & Steers REIT Fd	A	Dividend	J	T					
25. Dodge & Cox Inc Fd	A	Dividend	J	T					
26. -Edison International	A	Dividend	J	T					
27. -Hawaiian Elec Inds	A	Dividend	J	T					
28. -Hyperion Tot Rt Fd	A	Dividend	J	T					
29. -Peyder & Rygel GNMA Fd	A	Dividend	J	T					
30. -Rite Aid Corp	A	Dividend	J	T					
31. -Southern Co	A	Dividend	J	T					
32. -Vanguard GNMA Fd	A	Interest	J	T					
33. -Wachovia Pref Series A	A	Dividend	J	T					
34. -US Treas Bond 11/15/21	A	Interest	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

P4 = More than \$5,000,000

S = Assessment

W = Estimated

T = Cash Market

3. Value Method Codes

(See Column C2)

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. —Harris Bank—Virtus Money Market Funds	C	Interest	N	T					
36. Trust #1 (JEWL Tr) at Harris Bank	D	Int & Div	M	T					See Part VIII, line 2
37. -Alliance Bernstein					Partial sale	9/19/	K	E	
38. _American El Power com									
39. _Cisco com									
40. _Intel com									
41. _Equity One com									
42. _Microsoft com									
43. _Altria									
44. _Kraft CI A									
45. _Goldman Sachs									
46. +Halliburton									
47. - Phillip Morris Int'l					Spinoff (from line 43)	3/19	J		
48. --I SharesEFA					Buy	07/09	J		
49.					Sold	12/30	J		See part VIII, line 3
50. --Pimco PCRIX					Buy	07/09	J		
51.					Sold	10/10	J		same

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. —Pimco High Yield Fund					Buy	07/09	J		
53.					Sold	12/30	J		same
54. ---Templeton TFSCX					Buy	07/09	J		
55.					Sold	12/30	J		same
56. ---Vanguard VVO					Buy	07/09	J		
57.					Sold	12/30	J		same
58. —Wt Mut CRIMX					Buy	07/09	J		
59.					Sold	12/30	J		same
60. —Harris Bank- Virtus Money Market Funds									
61. Real Property -Fawnskin, Ca		None			Sold	11/1	O	G	See Part VIII, line 5
62. Real Property - Las Vegas, NV	B	Rent	L	W					
63. Trust #2 -at Harris Bank	D	Int & Div	O	T					See Part VIII, line 2
64. —Harris Bank - Virtus Money Market Funds									
65. _Queen Annes Co Md Scl Bonds 15Jan13									
66. _Md St Comm Dev Bond 1Apr13									
67. _Baltimore Co Pub Imp Bonds 15 Oct15									
68. _Md St Health Bond 15Aug18									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. _Md St Hlth Easton Bond 1Jul19									
70. _Md St Indl Dev Bond 15Aug20									
71. _Balt Co Md Met Bond 1Jun23									
72. _Montgomery Co Md Bonds 15Feb24									
73. _Baltimore Md Rev Bonds 1Jul28d									
74. _Md St Hlth Hghr Ed Bonds 1Jul27									
75. _Md St Hlth Bonds 15Aug28									
76. _Md St Hlth Hghr Ed Bonds 1Jul29									
77. _Md St Hlth Hef Bonds 1Jan33					Redeemed	1/2	K	A	
78. _Constellation Energy com									
79. _FPL Group com									
80. _ATT-com									
81. _Applied Materials_com									
82. — I Shares DJ US Real Est					Buy	07/09	J		
83.					Sold	12/30	J		See Part VIII, line 3
84. — I Shares EFA					Buy	07/09	K		
85.					Sold	12/30	K		same

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. —I Shares IVV					Buy	07/09	L		
87.					Sold	12/30	L		same
88. --Pimco PCRIX					Buy	07/09	J		
89.					Sold	10/10	J		same
90. --Pimco High Yield					Buy	07/09	J		
91.					Sold	12/30	J		same
92. --Templeton TFSCX					Buy	07/09	J		
93.					Sold	12/30	J		same
94. --Vanguard VWO					Buy	07/09	J		
95.					Sold	12/30	J		same
96. -- Wt Mut Mid Cap Value Fd					Buy	07/09	J		
97.					Sold	12/30	J		same
98. Trust #2 cont'd - (Not in Harris Bank)									
99. —Real Property Norton Co Ks	D	Rent & Rlty	J	W	Sold (part)	3/31	N	G	See Part VIII, line4
100.									
101.									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

For Part I, Line 4 - Living Trust for [REDACTED] me executed in 2008, of which we are Trustees and beneficiaries.

For Part VII, Line 20 - see above. Also, E-Trade accounts (other than IRA accounts) transferred to Harris Bank, effective June 1

For Part VII, various lines - sales did not produce gains

For Part VII, line 99, sold at auction, buyer not known. Mineral rights retained

For Part VII, line 61, sold to Grant & Georgeanne Maltmann, Fawnskin, Ca.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Archer, Jr., Glenn L.

Date of Report

06/01/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544