

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCNAMEE, STEPHEN M.	2. Court or Organization U.S. DISTRICT COURT OF AZ	3. Date of Report 08/12/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.DISTRICT JUDGE SENIOR STATUS-ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address SANDRA DAY O'CONNOR US COURTS 401 W WASHINGTON ST, SPC 60 PHOENIX, AZ 85003-2158	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. BOARD MEMBER	UNIVERSITY OF CINCINNATI ALUMNI ASSOCIATION
2. MEMBER	UNIVERSITY OF ARIZONA COLLEGE OF LAW BOARD OF VISITORS
3. MEMBER	UNIVERSITY OF ARIZONA LAW COLLEGE ASSOCIATION
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

McNamee, Stephen M..

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	STATE OF ARIZONA, 2009 INCOME TAX REFUND	\$4,900.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	ST LUKES HEALTH INITIATIVE - SALARY
2. 2010	HEALTH CARE EXCEL - BOARD MEMBER
3. 2010	HCE QUALITYQUEST - BOARD MEMBER
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA,US BANK, PHOENIX, AZ-CD	A	Interest	J	T					
2. IRA,US BANK, PHOENIX, AZ-CD	A	Interest	J	T					
3. MERRILL LYNCH-IRA #1:									
4. - FIA CARD SVCS	A	Dividend	J	T					
5. -CD MORGAN STANLEY	A	Interest	J	T					
6. -AMERICAN CAPITAL WORLD BOND FUND CL F	A	Dividend	J	T					
7. -AMERICAN HIGH INCOME TRUST CL F	A	Dividend	J	T					
8. -ISHARES IBOXX CORP BOND FUND	A	Dividend	J	T					
9. -ISHARES BARCLAYS AGENCY BOND FUND	A	Dividend	J	T					
10. MERRILL LYNCH-IRA #2:									
11. - FIA CARD SERVICES	A	Dividend	J	T					
12. -CURRNCYSHARES JAPANESE	A	Interest			Sold	01/04/10	J	A	
13. -VANGUARD PACIFIC ETF	A	Dividend			Sold	01/04/10	J	A	
14. -WISDOMTREE INTL COMM	A	Dividend			Sold	01/27/10	J	A	
15. -WISDOMTREE INTL CON N-CY	A	Dividend			Sold	02/23/10	J	A	
16. -WISDOMTREE INTL H/C	A	Dividend			Sold	02/23/10	J	A	
17. -WISDOMTREE INTL ENERGY	A	Dividend			Buy (add'l)	01/27/10	J		

1. Income Gain Codes:
(See Columns B 1 and D4)

A =\$1,000 or less
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000
H =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
H2 =More than \$5,000,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C 1 and D3)

J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q =Appraisal
U =Book Value

R =Cost (Real Estate Only)
V =Other

S =Assessment
W =Estimated

T =Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	02/23/10	J	A	
19. -WISDOMTREE INTL TEC	A	Dividend			Sold	02/23/10	J	A	
20. -CURRENCY SHARES AUSTRALIAN	A	Interest	J	T	Buy (add'l)	02/23/10	J		
21.					Sold (part)	04/05/10	J	A	
22.					Buy (add'l)	05/19/10	J		
23.					Buy (add'l)	09/29/10	J		
24. -WISDOMTREE INTL FI	A	Dividend			Buy (add'l)	01/27/10	J		
25.					Sold	02/23/10	J	A	
26. -VANGUARD EMERGING MARKETS MKTS	A	Dividend	J	T	Buy (add'l)	02/23/10	J		
27.					Sold (part)	04/05/10	J	A	
28. -VANGUARD SMALL CAP	A	Dividend	J	T	Buy (add'l)	02/23/10	J		
29.					Sold (part)	04/05/10	J	A	
30. -VANGUARD SMALL CAP GROWTH	A	Dividend	J	T	Buy (add'l)	02/23/10	J		
31.					Sold (part)	04/05/10	J	A	
32. -POWERSHARES EXCHANGE PTH	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
33. -POWERSHARES EXCHANGE PXI	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
34. -POWERSHARES EXCHANGE PSL	A	Dividend	J	T	Buy (add'l)	08/09/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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35. -POWERSHARES EXCHANGE PYZ	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
36. -POWERSHARES EXCHANGE PEZ	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
37. -POWERSHARES DYN OIL AND GAS	A	Dividend	J	T	Sold (part)	06/07/10	J	A	
38.					Buy (add'l)	11/16/10	J		
39. -POWERSHARES ETF TR DYNAMIC SOFTWARE PORT	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
40. -POWERSHARES ETF TR DYNAMIC SEMICONDUCTOR	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
41. -POWERSHARES ETF DYNAMIC LEISURE & ENT PORTFOLIO	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
42. -POWERSHARES ETF TR DYNAMIC MEDIA PORTFOLIO	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
43. -POWERSHARES ETF TR DYNAMIC NETWORKING PORTFOLIO	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
44. -POWERSHARES ETF DYNAMIC FOOD AND BEVERAGE PORTFOLIO	A	Dividend	J	T	Sold (part)	01/27/10	J	A	
45.					Buy (add'l)	07/21/10	J		
46.					Buy (add'l)	08/09/10	J		
47. -POWERSHARES ETF DYNAMIC BIOTECH AND GENO	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
48. -POWERSHARES ETF TR DYNAMIC RETAIL PORTFOLIO	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
49. -POWERSHARES ETF TR DYNAMIC ENERGY EXPL	A	Dividend	J	T	Buy (add'l)	08/09/10	J		
50.					Buy (add'l)	11/16/10	J		
51. -WISDOMTREE INTL BASIC	A	Dividend			Buy (add'l)	01/27/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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52.					Sold	02/23/10	J	A	
53. -WISDOMTREE INTL CONS	A	Dividend			Sold	02/23/10	J	A	
54. -WISDOMTREE INTL IND SEC	A	Dividend			Buy (add'l)	01/27/10	J		
55.					Sold	02/23/10	J	A	
56. -VANGUARD MID CAP VAL	A	Dividend	J	T	Buy	01/04/10	J		
57.					Buy (add'l)	02/23/10	J		
58.					Sold (part)	04/05/10	J	A	
59. -CURRENCY SHARES CANADA	A	Int./Div.	J	T	Buy	01/04/10	J		
60.					Buy (add'l)	02/23/10	J		
61.					Sold (part)	04/05/10	J	A	
62.					Sold (part)	07/06/10	J	A	
63.					Buy (add'l)	11/16/10	J		
64. -SPDR INDEX SHS IRV	A	Dividend	J	T	Buy	02/23/10	J		
65.					Buy (add'l)	04/05/10	J		
66.					Sold (part)	05/07/10	J	A	
67. -SPDR INDEX SHS IPD	A	Dividend	J	T	Buy	02/23/10	J		
68.					Buy (add'l)	04/05/10	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
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O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

3. Value Method Codes
(See Column C2)

R = Cost (Real Estate Only)
V = Other

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69.					Sold (part)	05/07/10	J	A	
70. -SPDR INDEX SHS IPF	A	Dividend			Buy	02/23/10	J		
71.					Buy (add'l)	04/05/10	J		
72.					Sold (part)	05/07/10	J	A	
73.					Sold	06/16/10	J	A	
74. -POWERSHARES EXCHANGE PTJ	A	Dividend	J	T	Buy	06/07/10	J		
75. -SPDR INDEX SHS IPN	A	Dividend	J	T	Buy	06/16/10	J		
76. -CURRENCY SHARES JAPANESE YEN	A	Int./Div.			Buy	07/06/10	J		
77.					Sold	08/09/10	J	A	
78. -POWERSHARES EXCHANGE PRN	A	Dividend	J	T	Buy	08/09/10	J		
79. -CURRENCY SHARES BR	A	Int./Div.	J	T	Buy	08/09/10	J		
80. -POWERSHARES ETF DYNAMIC PHARM PORTFOL	A	Dividend	J	T	Buy	08/26/10	J		
81. -CURRENCY SHARES SWEEDISH	A	Int./Div.			Buy	09/29/10	J		
82.					Sold	11/16/10	J	A	
83. MERRILL LYNCH-IRRA #1:									
84. - FIA CARD SVCE	A	Dividend	J	T					
85. -CD FIRST NATL BK	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
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2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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86. -CD WACHOVIA BANK FSB	A	Interest			Redeemed	02/16/10	J	A	
87. -CD COWLITZ BK	A	Interest	J	T					
88. -EATON VANCE FLOATING	A	Dividend	J	T					
89. -CD BLOOMFIELD STATE BANK	A	Interest			Redeemed	02/04/10	J	A	
90. -ISHARES IBOXX	A	Dividend	J	T					
91. -ISHARES BARCLAYS AGENCY	A	Dividend	J	T					
92. -PIMCO FOREIGN BOND	A	Interest	J	T					
93. -SPDR GOLD TRUST		None	J	T					
94. -CD AURORA BANK	A	Interest			Redeemed	04/06/10	J	A	
95. MERRILL LYNCH CMA BANKING ADVANTAGE ACCOUNT	A	Interest	J	T					
96. IBM COMMON STOCK	A	Dividend	J	T					
97. INTERNATIONAL RECTIFIER COMMON STOCK		None	J	T					
98. WELLS FARGO BANK, CKG ACCOUNT, PHX, AZ		None	J	T					
99. FAIRFIELD ESTATES FLAGSTAFF		None	J	W					
100. MARRIOT CANYON VILLAS PHOENIX		None	J	W					
101. ST LUKES HEALTH 403(B)7:									
102. - VANGUARD 500 INDEX FD	B	Dividend	M	T	Participant	01/01/10	K		

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(See Columns B1 and D4)

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period			
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. US BANK CHECKING ACCOUNT	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MCNAMEE, STEPHEN M.

Date of Report

08/12/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII LINES 101 & 102 - VESTED PARTICIPANT 01/01 ST. LUKES 403(B) PLAN W/ REGULAR MONTHLY CONTRIBUTIONS

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

MCNAMEE, STEPHEN M.

Date of Report

08/12/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ STEPHEN M. MCNAMEE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544