

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwell, Robert B.	2. Court or Organization U.S. District Court of S.C.	3. Date of Report 05/04/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 401 West Evans Street McMillan Federal Bldg Florence, SC 29501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer/Director	Harwell Farms and Investment, Inc.
2. Trustee	Trust #1
3. Custodian	Custodial Account #1
4. Custodian	Custodian Account #2
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Charles Schwab (sweep acct)	C	Interest	M	T					
2. Wachovia Bank Common Stock		None			Merged (with line 3)	01/02/09	J		See Note #1
3. Wells Fargo & Co Common Stock (and former Wachovia Bank Stock)	A	Dividend	J	T					
4. Autoliv Inc. Common Stock	A	Dividend	J	T					
5. Rhom & Haas Inc. Common Stock		None			Sold	03/01/08	J	A	See Note #1
6. The South Financial Group Common Stock		None			Sold	10/03/10	J	A	
7. The Bank of Clarendon(CD) (X)	A	Interest	M	T					
8. First Reliance Bank (CD)	B	Interest	L	T					
9. First Federal (CD)	B	Interest	M	T					
10. South Carolina Bank & Trust (CD)	B	Interest	M	T					
11. Citizens Bank (CD)	A	Interest	M	T					
12. Anderson Brothers Bank (CD)	B	Interest	M	T					
13. Carolina Bank (CD)	B	Interest			Matured	07/23/10	M		
14. National Bank of South Carolina(CD)	B	Interest	M	T					
15. BB&T Bank (various accts)	C	Interest	N	T					
16. Harwell Farms & Investment 12 1/2% 12/31/04 compiled \$31M	A	Dividend	K	U					
17. PCDG, LLC (10%) 1998 & 2000 \$32,000		None	K	R					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Charles Schwab IRA #1	A	Dividend	M	T					
19. -Charles Schwab Cash Fund									
20. -Dimensional Fund Advisors Intl Small Co Port									
21. -Dimensional Fund Advisors Intl Value III Port									
22. -Dimensional Fund Advisors Large Cap Intl Port									
23. -Dimensional Fund Advisors Large Cap Value III Port									
24. -Dimensional Fund Advisors US Large Co Instl Index Port									
25. -Dimensional Fund Advisors US Small Cap Port									
26. -Dimensional Fund Advisors US Small Cap Value									
27. Charles Schwab IRA#2	A	Dividend	N	T					
28. -Charles Schwab Cash Fund									
29. -Dimensional Fund Advisors Intl Small Co Port									
30. -Dimensional Fund Advisors Intl Value III Port									
31. -Dimensional Fund Advisors Large Cap Intl Port									
32. -Dimensional Fund Advisors Large Cap Value III									
33. -Dimensional Fund Advisors US Lg Co. Inst Index Port									
34. -Dimensional Fund Advisors US Small Cap Port									

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(See Columns B1 and D4)

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P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.	-Dimensional Fund Advisors US Small Cap Value									
36.	Charles Schwab IRA#3	A	Dividend	K	T					
37.	-Charles Schwab cash fund									
38.	-Dimensional Fund Advisors US Small Cap Port									
39.	Trust #I	C	Dividend	M	T					
40.	-Cash Fund-Charles Schwab									
41.	-BB&T Corp Common Stock									
42.	-Vanguard short-term treasury									
43.	Berkeley Co SC Zero 6/1/12	C	Interest	L	T					
44.	Clemson University, SC Zero 5/1/10	B	Interest			Matured	05/01/10	L	A	
45.	Piedmont Mun. Power Zero 1/1/13	A	Interest	K	T	Redeemed (part)	01/01/10	J	B	
46.	Piedmont Mun. Power Zero 1/1/13	A	Interest			Redeemed (part)	01/01/10	J	A	
47.	Piedmont Mun Power Zero 1/1/15	C	Interest	L	T	Redeemed (part)	01/01/10	K		
48.	SC Zero 7/1/12	B	Interest	L	T					
49.	Three Rivers Solid Zero 1/1/11	B	Interest	K	T					
50.	Three Rivers Solid Zero 1/1/12	B	Interest	K	T					
51.	Three Rivers Solid Zero 1/1/13	B	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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52.	Dimensional Fund Advisors Tax Man US Mktwde	A	Dividend	K	T					
53.	Rental Prop North Myrtle Beach, SC (2003, \$238,600)		None	M	R					
54.	Harwell & Sons Prop (Cherry Grove, SC) 49% (\$243,000)		None	M	U					
55.	-on 2004 tax return)									
56.	College Bound Fund #1, Alliance Bernstein Mutual Funds	A	Dividend	J	T					
57.	-CBF Appreciation Port Alt Bx									
58.	College Bound Fund #2, Alliance Bernstein Mutual Funds	A	Dividend	J	T					
59.	-CBF Appreciation Port Alt BX									
60.	SC Future Scholar Plan #1, River Source Fund Dist Inc		None	K	T					
61.	-Bond Port-A									
62.	-Large Cap Core Port-A									
63.	-Mid Cap Growth Port-A									
64.	-Small Cap Growth Port-A									
65.	SC Future Scholar Plan #2, River Source Fund Dist Inc		None	J	T					
66.	-Bond Port-A									
67.	-Large Cap Core Port-A									
68.	-Mid Cap Grow Port-A									

- | | | | | | |
|--|--|--|--|--|-------------------------|
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H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
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| 3. Value Method Codes
(See Column C2) | | | | | |

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69. -Small Cap Growth Port-A									
70. Claussen Farms, Florence, SC (1999 - \$125,000)		None	M	R					
71. Custodial Account#1	A	Interest	J	T					
72. -The South Financial Group Common Stock					Sold	10/05/10	J		
73. -Charles Schwab Sweep account									
74. Custodial Acct#2	A	Interest	J	T					
75. -The South Financial Group Common Stock					Sold	10/05/10	J		
76. -Charles Schwab Sweep account									
77. Cypress Bend, Florence, SC (2006 \$70,000)		None	L	R					
78. SC Tuition Prepayment Program #1(no control)		None	K	T					
79. SC Tuition Prepayment Program #2 (no control)		None	K	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note #1 -Inadvertently omitted from previous reports

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert B. Harwell

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544