

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DRAKE, JR., WALTER H.	2. Court or Organization U. S. BANKRUPTCY COURT, NORTHERN DISTRICT OF GEORGIA	3. Date of Report 04/26/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address UNITED STATES COURTHOUSE POST OFFICE BOX 1408 NEWNAN, GEORGIA 30264-1408		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisor		Southeastern Bankruptcy Law Institute, Atlanta, Georgia
2. Member, Board of Trustees		Mercer University, Macon, Georgia
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

DRAKE, JR., WALTER H.

Date of Report

04/26/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Southeastern Bankruptcy Law Institute	2016	Atlanta, Georgia	Seminar	Food - Attend annual seminar of the SBLI in Atlanta, GA and to participate in program, March 31-April 2, 2016
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

DRAKE, JR., WALTER H.

Date of Report

04/26/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

DRAKE, JR., WALTER H.

Date of Report

04/26/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Coweta Account	A	Interest	M	T					
2. Exxon Mobil Corp.	D	Dividend	N	T	Buy (add'l)	01/20/16	L		
3. Bristol Myers Squibb Co.	C	Dividend	M	T					
4. Altria Group, Inc.	C	Dividend	M	T					
5. Coca Cola Co.	E	Dividend	O	T	Buy (add'l)	10/21/16	K		
6. BB&T Corporation	C	Dividend	M	T					
7. Home Depot, Inc.	E	Dividend	O	T					
8. Duke Energy Corp	C	Dividend	L	T					
9. AT&T, Inc.	E	Dividend	O	T	Buy (add'l)	10/21/16	L		
10. Kraft Foods, Inc.	D	Dividend	N	T	Buy (add'l)	10/21/16	K		
11. Mondelez	A	Dividend			Sold	1/20/16	K		
12. Spectra Energy Corp.	B	Dividend	L	T					
13. Philip Morris International	D	Dividend	M	T					
14. Charitable Remainder Unitrust #1	A	Int./Div.	L	T					
15. IRA #1	C	Int./Div.	N	T					
16. -Blackrock Global	A	Int./Div.	N	T	Donated (part)	3/21/16	K		
17. -Bank of America, NA RASP	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	L –\$50,001 - \$100,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

DRAKE, JR., WALTER H.

Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Series I U.S. Savings Bonds	C	Interest	M						
19. Charitable Remainder Annuity Trust #1		None	K	T					See Part VIII
20. Charitable Remainder Annuity Trust #2		None	J	T					See Part VIII
21. Charitable Remainder Unitrust #2		None	K	T					See Part VIII
22. Qualified Charitable Gift Annuity No. 1	B	Int./Div.	L	T					
23. Johnson and Johnson	C	Dividend	M	T					
24. Procter & Gamble Co.	D	Dividend	N	T	Buy (add'l)	1/20/16	K		
25. Verizon Communications	D	Dividend	M	T					
26. Vodafone Group	A	Dividend	J	T					
27. Spectra Energy Corp.									See Part VIII
28. McDonald's Corp.	C	Dividend	M	T					
29. Walmart Stores, Inc.	B	Dividend	L	T					
30. MFS Global Total Return Fund CL	A	Dividend			Sold	2/23/16	J		
31. Blackrock Global Allocation Fund	A	Dividend			Sold	02/23/16	J		
32. Janus Balanced	A	Dividend			Sold	02/23/16	J		
33. Nuveen Santa Barbara	A	Dividend			Sold	02/23/16	K		
34. CharterBank	A	Interest			Closed	09/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

DRAKE, JR., WALTER H.

Date of Report

04/26/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS -

Lines 19-21 - No dividends received for the entire year.

Line 27 - Spectra Energy Corp. This was inadvertently duplicated on the 2015 Financial Disclosure Report.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

DRAKE, JR., WALTER H.

Date of Report

04/26/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **WALTER H. DRAKE, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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