

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duffey, Jr., William S.	2. Court or Organization Northern District of Georgia	3. Date of Report 5/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2009 to 12/31/2009
7. Chambers or Office Address 1721 United States Courthouse 75 Spring Street, S.W. Atlanta, GA 30303-3309	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Mary Hall Freedom House, Inc. - Salary
2. 2009	Holtzbrink Publishing Holdings, LP - royalties from copyrights
3. 2009	Penguin Group (USA) Inc. - royalties from copyrights
4. 2009	Random House, Inc. - royalties from copyrights
5. 2009	Self-employed writer

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Chemonics International, Inc.	2/8/09-2/19/09	Kyiv, Ukraine	Seminar w/ Gov.	Travel
2. Chemonics International, Inc.	9/12/09-9/22/09	Kyiv, Ukraine	Seminar w/ Gov.	Travel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #2									
2. -MS Bank Deposit Program	A	Interest	J	T					
3. -American LTD Term Tax-Ex Bond	A	Interest			Sold	06/16/09	K		
4. -Franklin Mutual Recovery C (name change)	A	Dividend	K	T					
5.									
6. -Atlanta WTR-A BE	B	Interest	K	T					
7. -Van Kampen Emerging Markets C (name change)		None	K	T	Sold (part)	02/26/09	L		
8.					Buy (add'l)	3/19/09	J		
9. -Van Kampen EQI GRW C (name change)		None			Sold	03/02/09	K		
10. -Unit Van Kampen Equity Allocation Portfolio	A	Dividend			Sold	03/02/09	K		
11.	A	Distribution							
12. -Blackrock Global Allocation C	A	Dividend	L	T	Buy	03/13/09	K		
13.					Sold (part)	06/16/09	J	A	
14. -Van Kampen Capital Growth C		None	K	T	Buy	03/19/09	K		
15. -Van Kampen Muni Income C	A	Dividend	K	T	Buy	03/02/09	L		
16.	B	Interest			Sold (part)	12/10/09	L	A	
17. IRA #1	C	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	C	Interest							
19. -MS Bank Deposit Program									
20. -FHR 2920 WG 5.25%					Sold (part)	12/15/09	J		
21. -Federal National Mtg Assn					Sold (part)	12/25/09	J		
22. -MS International Fund C					Sold	03/02/09	K		
23. -Franklin Income C (name change)					Sold (part)	02/26/09	L		
24. -MS Mortgage Securities Trust C					Buy	03/02/09	K		
25.					Sold	03/19/09	K	A	
26. -AIPABSRT									
27. -Fed Home LN BK 2/2/18					Sold	04/13/09	K	A	
28. -MS Equally Weighted S&P 500 C					Buy	04/21/09	K		
29. -Wells Fargo Company					Buy	04/21/09	J		
30. -Duke Energy Corp					Buy	04/21/09	J		
31. -Wellpoint Inc					Buy	04/21/09	J		
32. -Caterpillar FINL					Buy	04/28/09	J		
33. -Dell Inc					Buy	04/21/09	J		
34. -Rio Tinto Finance USA Limited					Buy	04/21/09	J		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

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35. -General Electric Capital Corp					Buy	04/21/09	J		
36. -Morgan Stanley					Buy	04/21/09	J		
37. -Comcast Corp New					Buy	04/21/09	J		
38. -Goldman Sachs Group Inc					Buy	04/21/09	J		
39. -Marathon Oil Corp					Buy	04/21/09	J		
40. -Verizon Communications					Buy	04/21/09	J		
41. -Glaxosmithkline Cap Inc					Buy	04/21/09	J		
42. -Kraft Foods Inc					Buy	04/21/09	J		
43. -Tyco International Finan					Buy	04/21/09	J		
44. -AT&T Inc					Buy	04/21/09	J		
45. -Metlife Inc					Buy	04/21/09	J		
46. -Boeing Co					Buy	04/21/09	J		
47. -CVS Caremark Corp					Buy	04/21/09	J		
48. -Indiana Michigan Power					Buy	04/21/09	J		
49. -Altria Group Inc					Buy	04/21/09	J		
50. IRA #2	A	Interest	M	T					
51. -MS Bank Deposit Program									

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52. -AIPABSRT									
53. -Keyworth Bank									
54. Roth IRA #1	A	Dividend	J	T					
55. -Van Kampen Growth & Income C					Sold	3/02/09	J		
56. -Van Kampen Corporate Bond C					Buy	3/02/09	J		
57. Roth IRA #2	A	Dividend	J	T					
58. -Van Kampen Growth & Income C									
59. NW Mutual Estate CompLife		None	L	T					
60. NW Mutual 65 Life		None	L	T					
61. K & S Investments		None	J	U					
62. C & B Capital I, L.P. *	A	Interest	K	U					
63.	B	Dividend							
64. C & B Capital II, L.P. **	A	Interest	L	U					
65.	A	Distribution							
66.	A	Dividend							
67. Betsy Byars, Inc.		None	M	W					
68. Gold coins		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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69. Brokerage Account #1									
70. -MS Bank Deposit Program	A	Interest	J	T					
71. -MS Equally Weighted S & P 500		None			Sold	02/26/09	K		
72. -Blackrock Global Allocation C		None			Sold	03/02/09	K		
73. -Blackrock National Muni C	B	Interest	K	T	Buy	03/02/09	K		
74.					Sold (part)	12/07/09	J	A	
75. Brokerage Account #3									
76. -MS Bank Deposit Program	A	Interest	J	T					
77. -Ishares Dow Jns US Financial Sector		None			Sold	02/26/09	K		
78. -Ishares DJ US Cnsmr Non Cyclel		None			Sold	03/02/09	K		
79. -Ishares DJ US Healthcare Scter		None			Sold	02/26/09	K		
80. -Ishares DJ US Indl Scter		None			Sold	03/02/09	K		
81. -Ishares MSCI Emerging Mkts Fd		None			Sold	02/26/09	J	C	
82. -Ishares MSCI EAFE Fund		None			Sold	03/02/09	K		
83. -Standard & Poors Midcap 400		None			Sold	03/02/09	K	B	
84. -Ishares Barclays 1-3 Year Cred	A	Dividend			Buy	04/22/09	K		
85.					Sold	09/15/09	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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86. -Ishares Barclays 1-3 YR TSY BD	A	Dividend			Buy	04/22/09	J		
87.					Sold	09/15/09	J		
88. -Ishares Barclays Tips BD FD	A	Dividend	J	T	Buy	04/22/09	J		
89.	A	Distribution			Sold (part)	06/26/09	J	A	
90. -Ishares S&P 500 GRWTH Index	A	Dividend	K	T	Buy	04/22/09	K		
91.					Sold (part)	06/02/09	J	A	
92. -Ishares S&P 500 Value Index	A	Dividend	K	T	Buy	04/22/09	K		
93.					Sold (part)	06/02/09	J	A	
94. -Ishares S&P Midcap 400 Index	A	Dividend	J	T	Buy	04/22/09	J		
95.					Sold (part)	06/02/09	J	A	
96. -Ishares S&P Smallcap 600 Index	A	Dividend			Buy	04/22/09	J		
97.					Sold	12/11/09	J	A	
98. -Nuveen Municipal Value FD INC	A	Dividend	K	T	Buy	04/22/09	K		
99.	B	Interest			Sold (part)	06/02/09	J	A	
100. -Powershares DB COMM TRK INC		None			Buy	06/26/09	J		
101.					Sold	12/11/09	J	A	
102. -SPDR GOLD TR GOLD SHS		None			Buy	04/22/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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103.					Sold	12/11/09	J	B	
104. -Vanguard EMRG MKTS ETF	A	Dividend	J	T	Buy	04/22/09	J		
105.					Sold (part)	06/02/09	J	A	
106. -Vanguard European ETF	A	Dividend	J	T	Buy	04/22/09	J		
107.					Sold (part)	06/02/09	J	A	
108. -Vanguard Pacific ETF	A	Dividend	J	T	Buy	04/22/09	J		
109.					Sold (part)	06/02/09	J	A	
110. -Vanguard REIT ETF	A	Dividend	J	T	Buy	06/26/09	J		
111.	A	Distribution							
112. IRA #3	E	Dividend	O	T					
113.	C	Interest							
114.	A	Distribution							
115. -Morgan Stanley Bank Deposit Program									
116. -The Technology Sel Sec SPDR FD					Sold	3/02/09	K		
117. -Ishares Dow Jns US Fincl Sctr					Sold	3/2/09	J		
118. -Ishares DJ US Basic Mtls Sctr					Sold	3/2/09	K		
119. -Ishares Dow Jns US Cons Cyclel					Sold	2/26/09	K		

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

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Date of Report
5/12/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Ishares DJ US Healthcare Sctr					Sold	3/2/09	L		
121. -Powershares Dynamic Pharmaceutacal					Sold	2/26/09	K		
122. -Powershares Exchange TR FD					Sold	3/2/09	L		
123. -Eaton Vance Ltd Durations FD					Sold	05/26/09	K		
124. -Ishares S&P Gbl Engy Sctr Idx					Sold	03/02/09	L	E	
125. -FHR 3237 CB					Sold	05/26/09	K	A	
126. -GNMA Pool					Sold (part)	12/20/09	J	A	
127. -US TSY Note 8/15/09					Sold	05/26/09	K	A	
128. -MFS Charter Income TR SBI					Sold	5/26/09	K		
129. -US TSY BD Fed 2/15/09					Matured	02/15/09	K	D	
130. -Ishares MSCI Growth Index FD					Sold	03/02/09	K		
131. -FHLMC 7/15/14					Sold	05/26/09	L	C	
132. -Ishares Gbl Tech Sctr					Sold	2/26/09	K		
133. -Ishares IBOXX Invest GR					Sold (part)	12/16/09	L		
134. -Ishares Barclays AGG (name change)					Sold	5/26/09	M		
135. -FHLMC 11/20/15					Sold	05/20/09	K	A	
136. -Ishares Barclays Tips BD FD					Buy	02/25/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	12/16/09	K	B	
138. -Ishares Barclays 1-3 Year Cred					Buy	05/26/09	M		
139.					Sold	12/16/09	M	C	
140. -Ishares Barclays 1-3 YR TSY BD					Buy	5/26/09	M		
141.					Sold (part)	12/16/09	L		
142. -Ishares IBOXX H/Y Corp BND					Buy	12/16/09	K		
143. -Ishares JP Morgan EM Bond FD					Buy	12/16/09	K		
144. -Ishares MSCI Canada Index FD					Buy	12/16/09	K		
145. -Ishares MSCI Japan Index Fund					Buy	12/16/09	K		
146. -Ishares MSCI Pac EX-JPN IDX					Buy	12/16/09	K		
147. -Ishares S&P 500 Value Index					Buy	05/26/09	K		
148.					Sold (part)	12/16/09	K	C	
149. -Ishares S&P 500 GRWTH Index					Buy	05/26/09	L		
150.					Sold (part)	12/16/09	K	D	
151. -Ishares S&P Midcap 400 Index					Buy	05/26/09	K		
152. -Ishares SP Smallcap 600 Index					Buy	05/26/09	K		
153. -Ishares TR MSCI Small Cap					Buy	12/16/09	K		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$250,000,001 - \$500,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Powershares DB Comm TRK INC					Buy	12/16/09	K		
155. -Vanguard EMRG MKTS ETF					Buy	12/16/09	L		
156. -Vanguard European ETF					Buy	12/16/09	L		
157. -Vanguard Intermediate Term BND					Buy	12/16/09	M		
158. -Vanguard REIT ETF					Buy	6/26/09	K		
159. -SPDR Gold TR Gold SHS					Buy	05/26/09	K		
160.					Sold	12/16/09	K	C	
161. -Standard & Poors DEP RECPTS					Buy	03/27/09	L		
162.					Sold	5/26/09	M	D	
163. -Vanguard Pacific ETF					Buy	5/26/09	J		
164.					Sold	12/16/09	J	B	
165. One Georgia Bank		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

*Ownership interest percentage is 0.208971%. During the reporting period, C&B Capital I, L.P. held interests in the following companies:

- Central Security Group, Inc.
- VCG, LLC
- Global Care, Inc.
- NuBridges, Inc.
- Alimera Sciences, Inc.
- Focus Receivables Management, Inc.
- Healthmark Partners, Inc.
- Healthcare Solutions, Inc.
- S.P.I. Dynamics Incorporated

**Ownership interest percentage is 0.743396%. During the reporting period, C&B Capital II, L.P. held interests in the following companies:

- SnagAJob.com, L.P.
- CBG Holdings, Inc.
- Alimera Sciences, Inc.
- All Web Leads, Inc.
- Brightree, LLC
- Healthcare Solutions, Inc.
- Prommis Solutions, Inc.
- FX Bridge Technologies Incorporated
- PMW Technologies, Inc. d/b/a PeopleMatter
- SG-BGP, LLC/BPG Holdings, LLC
- Vesdia, Inc.
- The VIP Tour Company, Inc. (d/b/a Tickets Now)

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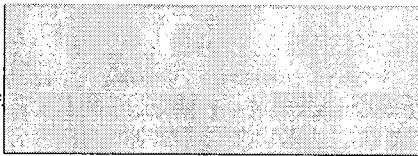
5/12/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544