

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Laporte, Elizabeth D.	2. Court or Organization U.S. District Court, N.D. Cal	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust 1
2.	Member, Board of Governors	Assn. of Business Trial Lawyers, Northern California Chapter
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1998	Participation in retirement plan for the California Public Employees Retirement System
2.	1998	Participation in City & County of San Francisco Employee Retirement Fund
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	Self-employed Attorney
2. 2016	State of California - Pension
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	LegalTech	2/2/2016-2/5/2016	New York, NY	Speaker on eDiscover Panel	Transportation, meals, lodging
2.	Assn. of Business Trial Lawyers of Northern CA	4/1/2016-4/3/2016	Carmel Valley, CA	Attendance at Joint Board Meeting	Transportation, meals, lodging
3.	Assn. of Business Trial Lawyers of Northern CA	10/4/2016-10/8/2016	Kapalua, HI	Attendance at Annual Seminar and Board Member	Transportation, meals, lodging
4.	Georgetown Law School	11/10/2016-11/11/2016	Washington, DC	Speaker on eDiscovery Panels	Transportation, meals, lodging
5.	ChIPs	9/12/2016-9/16/2016	Washington, DC	Judge at ChIPs Women in Intellectual Property Law Conference	Transportation, meals, lodging

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6.	La Touche Training Program	12/2/2016	Dublin, Ireland	Speaker on eDiscovery	Transportation, meals, lodging
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust I									
2. --Los Angeles CA Water Rev. Bonds	A	Interest			Redeemed	07/01/16	K	A	
3. --Los Angeles CA Bonds due 7/1/17	B	Interest			Redeemed	07/01/16	K	A	
4. --San Francisco CA Bonds due 6/15/17	B	Interest	K	T					
5. --DFA CA Short Term Muni Bond Portfolio (DFCMX)	A	Dividend	K	T					
6. --DFA T.A. World Ex U.S.(DFTWX)	E	Dividend	P1	T					
7. --DFT T.A. US Core Equity 2 Portfolio (DFTCX)	E	Dividend	P1	T					
8. --DFT T.A. US Core Equity 2 Portfolio (DFTCX)	A	Distribution							
9. --Vanguard CA Intermediate Term Bond Fund (VCADX)	D	Dividend	M	T					
10. --DFA Emerging Markets Core Equity Portfolio (DFCEX)	B	Dividend	L	T	Sold (part)	01/20/16	L	A	
11. --DFA Emerging Markets Core Equity Portfolio (DFCEX)					Buy (add'l)	03/02/16	J		
12. --DFA Emerging Markets Core Equity Portfolio (DFCEX)					Sold (part)	03/17/16	L	B	
13. --DFA International Core Equity Portfolio (DFIEX)	D	Dividend	M	T	Sold (part)	01/20/16	M	A	
14. --DFA International Core Equity Portfolio (DFIEX)					Buy (add'l)	03/02/16	M		
15. --DFA Short Term Extended Portfolio (DFEQX)	A	Dividend	L	T					
16. --DFA Short Term Extended Portfolio (DFEQX)	A	Distribution							
17. --Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Dodge & Cox Income Fund (DODIX)	A	Distribution							
19. --PIMCO Foreign Bond Fund (PFORX)	A	Dividend	J	T					
20. --PIMCO Foreign Bond Fund (PFORX)	A	Distribution							
21. --DFA Emerging Markets Portfolio (DFEMX)					Buy (add'l)	01/20/16	L		
22. --DFA Emerging Markets Portfolio (DFEMX)					Sold	03/02/16	L	A	
23. --Schwab Money Market Fund (Trust I)	A	Dividend	K	T					
24. --DFA Large Cap Int'l Portfolio (DFLAX)					Buy	01/20/16	M		
25. --DFA Large Cap Intl Portfolio (DFLAX)					Sold	03/02/16	M	D	
26. IRA Rollover Account #1 (formerly PS Account #1)									
27. --Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
28. --Dodge & Cox Income Fund (DODIX)	A	Distribution							
29. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)	D	Dividend	M	T					
30. --DFA International Real Estate Securities Portfolio (DFITX)	D	Dividend	M	T	Buy (add'l)	12/21/16	J		
31. --iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	C	Dividend	M	T					
32. --iShares TR Bond 1-3 Year Credit Bond ETF (CSJ)	B	Dividend	L	T	Buy (add'l)	01/06/16	J		
33. --iShares TR Bond 1-3 Year Credit Bond ETF (CSJ)					Buy (add'l)	04/13/16	J		
34. --iShares TR Bond 1-3 Year Credit Bond ETF (CSJ)					Buy (add'l)	09/28/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. --Vanguagr REIT (VNQ)	D	Dividend	M	T					
36. --Schwab Money Market Fund #5	A	Dividend	J	T					
37. 401k Account #1									
38. --PIMCO Foreign Bond Fund (PFORX)	A	Dividend	J	T					
39. --DFA Short-Term Extended Quality Portfolio (DFEQX)	A	Dividend	K	T					
40. --DFA Short-Term Extended Quality Portfolio (DFEQX)	A	Distribution							
41. --Dodge & Cox Income Fund (DODIX)	D	Dividend	N	T					
42. --Dodge & Cox Income Fund (DODIX)	A	Distribution							
43. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)	A	Dividend	J	T					
44. --DFA Emerging Markets Core Portfolio (DFCEX)	B	Dividend	K	T	Buy (add'l)	03/24/16	K		
45. --DFA International Core Equity Portfolio (DFIEX)	B	Dividend	L	T	Buy (add'l)	07/06/16	J		
46. --DFA International Core Equity Portfolio (DFIEX)					Buy (add'l)	09/28/16	J		
47. --DFA International Real Estate Securities Portfolio (DFITX)	C	Dividend	K	T	Buy (add'l)	12/21/16	J		
48. --iShares TR Bond 1-3 Year Credit Bond ETF (CSJ)	B	Dividend	L	T					
49. --Vanguard REIT (VNQ)	B	Dividend	L	T					
50. --Schwab Money Market Fund #6	A	Dividend	J	T					
51. Roth IRA Account #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. --Vanguard REIT (VNQ)	A	Dividend	J	T					
53. Roth IRA Account #2									
54. --DFA International Real Estate Securities Portfolio (DFITX)	A	Dividend	J	T					
55. Learning Quest 529 Ed. Sav. Plan #1 Short-Term Portfolio		None	J	T					
56. Learning Quest 529 Ed. Sav. Plan #2 Short-Term Portfolio		None	K	T	Sold (part)	09/22/16	J	A	
57. First Republic Bank Checking Account	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Elizabeth D. Laporte**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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