

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rogers, III, Thomas E.	2. Court or Organization U.S. District Court, SC	3. Date of Report 07/02/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Post Office Box 2317 Florence, SC 29501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1.25 a Florence C (1/2011, \$10,000)		None	J	R					
2. Brokerage account # 1 (Marked as # 1)									
3. First Clearing, LLC - Cash balance		None	J	T					
4. # 1 - AT&T Inc.	A	Int./Div.	J	T					
5. # 1 - Verizon Communications Com	A	Dividend	J	T					
6. # 1 - SC Jobs Econ DV Ath Stdnt 4.25% 8/1/24 "Y"	A	Int./Div.	J	T					
7. Brokerage account # 2 (Marked as # 2)									
8. # 2 - Cash balance - First Clearing, LLC	A	Int./Div.	J	T					
9. # 2 - Exxon Mobil Corp	A	Dividend	J	T					
10. Brokerage account # 3 (Marked as # 3)									
11. # 3 - Ameritrade MMDA Account - Cash balance	A	Int./Div.	J	T					
12. # 3 - Pharmacyte Biotech Inc Com (PMCB)		None			Sold	01/01/17	J	A	
13.		None			Buy	01/05/17	J		
14.					Sold	01/17/17	J	A	
15.		None			Buy	03/08/17	J		
16.					Sold	03/16/17	J	A	
17.		None			Buy	03/09/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000.001 - \$500,000.000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	03/17/17	J	A	
19.		None			Buy	03/13/17	J		
20.					Sold	03/17/17	J	A	
21.		None			Sold	01/18/17	J	A	
22.		None			Buy	01/20/17	J		
23.					Sold	01/18/17	J	A	
24.		None			Buy	02/02/17	J		
25.					Sold	01/18/17	J	A	
26.		None			Buy	02/14/17	J		
27.					Sold	01/18/17	J	A	
28.		None			Buy	02/16/17	J		
29.					Sold	01/18/17	J	A	
30.		None			Buy	02/21/17	J		
31.					Sold	01/18/17	J	A	
32.		None			Buy	03/09/17	J		
33.					Sold	01/18/17	J	A	
34.		None			Buy	03/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold	01/23/17	J	A	
36.		None			Buy	03/23/17	J		
37.					Sold	01/25/17	J	A	
38.		None			Buy	04/20/17	J		
39.					Sold	01/23/17	J	A	
40.		None			Buy	05/03/17	J		
41.					Sold	01/25/17	J	A	
42.		None			Buy	05/05/17	J		
43.					Sold	01/25/17	J	A	
44.		None			Buy	05/26/17	J		
45.					Sold	01/25/17	J	A	
46.		None			Buy	06/02/17	J		
47.					Sold	01/25/17	J	A	
48.		None			Buy	06/02/17	J		
49.					Sold	02/15/17	J	A	
50.		None			Buy	07/31/17	J		
51.					Sold	01/31/17	J	A	

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52.		None			Buy	10/10/17	J		
53.					Sold	07/31/17	J	A	
54.		None			Buy	10/30/17	J		
55.					Sold (part)	10/30/17	J	A	
56.					Sold	11/14/17	J	A	
57.		None			Buy	11/10/17	J		
58.		None	J	T	Sold (part)	11/15/17	J	A	
59. #3 Synergy Pharmaceuticals Inc SGYP call 1/19/18		None			Buy	01/27/17	J		
60.					Sold	11/06/17	J	A	
61.		None			Buy	02/07/17	J		
62.					Sold	11/06/17	J	A	
63.		None			Buy	01/25/17	J		
64.					Sold	02/01/17	J	A	
65. South State Bank checking		None	J	T					
66. NBSC (also called National Bank of South Carolina)	A	Interest	J	T					
67. Wells Fargo, NA	A	Interest	J	T					
68. Equitable Variable: EQ Aggressive (whole life policy)		None	J	T					

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69. Jefferson-Pilot Adjustable Life policy		None	J	T					
70. UGMA # A (Marked as # A)									
71. # A - Capital World Growth & Income Fund - Class F-1 (CWGFX)	A	Dividend	J	T					
72. # A - First Clearing, LLC - Cash balance	A	Interest	J	T					
73. # A American Fds Washington Mut (Edu Svgs Acct)(no control)	A	Int./Div.	J	T					
74. UGMA # A, Trust 1 (Marked as # AT1)									
75. # AT1 - First Clearing, LLC - Cash balance	A	Int./Div.	J	T					
76. # AT1 - AT&T Inc. (T)	A	Dividend	J	T					
77. # AT1 - Thornburg Invt Tr Global Opportunities Fd (THOCX)	A	Dividend			Merged (with line 83)	01/01/17	J		
78. # AT1 - Hartford Fortis Funds (HGOXC)	A	Dividend	K	T					
79. # AT1 - Hartford Mut Fds Inc (HIOCX)	A	Dividend	J	T					
80. # AT1 - Hartford Mut Fds (HDGCX)	A	Dividend	K	T					
81. # AT1 - MFS Ser Tr XIII (DIFCX)	A	Dividend	J	T					
82. # AT1 - Principal Fds Inc (PMSCX)	A	Dividend	J	T					
83. # AT1 - Thorburg Invt Tr (THOCX)	A	Dividend	J	T					
84. UGMA # B (Marked ad # B)									
85. # B - Capital World Growth & Income Fund - F-1 (CWGFX)	A	Dividend	J	T					

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86. # B First Clearing , LLC - Cash balance	A	Interest	J	T					
87. # B Columbia Mgmt Futre Schol 529 Collge Svng Pl (no control)	A	Int./Div.	J	T					
88. # B - BP PLC stock		None			Sold	01/02/17	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, page 4, line 1, Column B(1) for 1.25 acres Florence County received no rental income for the year therefore, column B(2) is "None".

Part VII Investments and Trust, line 71 and 85 Capital World Growth & Income Fd Inc C1 C (CWGCX) converts to Class F-1 shares after 10 years. The exchange took place on 12/13/2016. The converted shares are called Capital World Growth & Income Fund-Class F-1 (CWCFX).

Part VII, lines 3, 8, 11, 72, 75, and 86 are the money market account portions of the brokerage accounts which act as sweep accounts for uninvested cash in that specific brokerage account. We believe these to be a widely held accounts because they are a part of the brokerage accounts.

Part VII, lines 2, 7, 10, 70, 74, and 84 are header lines for the investments listed below the specific line.

Part VII, line 77 is combined with line 83. they are the same security purchased on two separate occasions in prior years.

Part VII, line 88 The BP PLC stock was listed in error. This security was not owned.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas E. Rogers, III

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544