

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Laporte, Elizabeth D.	2. Court or Organization U.S. District Court, N.D. Cal	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust 1
2. Member, Board of Governors	Assn. of Business Trial Lawyers, Northern California Chapter
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998	Participation in retirement plan for the California Public Employees Retirement System
2. 1998	Participation in City & County of San Francisco Employee Retirement Fund
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed Attorney
2. 2017	State of California - Pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Electronic Discovery Institute	1/30/2017 to 2/2/2017	New York, NY	LegalTech Conference, Speaker	Transportation, meals, lodging
2. Sand Piper Partners	2/15/2017	San Francisco, CA	e-Discovery in Innovation Conference, Speaker	Transportation, meals, lodging
3. Assn. of Business Trial Lawyers	3/31/2017 to 4/2/2017	Monterey, CA	Attendance at Joint Board Retreat	Transportation, meals, lodging
4. Zapproved LLC	9/12/2017 to 9/15/2017	Portland, OR	Speaker on judicial panel and international privacy andGDPR panel	Transportation, meals, lodging
5. San Francisco Intellectual Property Law Association	9/22/2017 to 9/24/2017	Sonoma, CA	Annual Seminar, Speaker	Transportation, meals, lodging

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6.	Georgetown University	11//2//2017 to 11/4/2017	Washington, DC	Berkeley-Georgetown Patent Law Conf. Role of the Courts in Pat. Law & Policy, Speaker	Transportation, meals, lodging
7.	Georgetown University	11/15/2017 to 11/17/2017	Washington, DC	Advanced eDiscovery Institute, Speaker	Transportation, meals, lodging
8.	Duke University	12/8/2017	San Francisco, CA	Special Focus Meeting on Discovery and Proportionality	Transportation, meals, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Trust I									
2. --NY State Dorm Auth Due 2/15/18 (X)	A	Interest	K	T					
3. --New York, NY GO UTX Due 12/15/19 (X)	A	Interest	K	T					
4. --NY State Thruway Authority Due 4/1/20 (X)	A	Interest	K	T					
5. --Bethlehem NY CSD Due 7/15/21 (X)	A	Interest	K	T					
6. --Metropolitan Transit Authority NY Due 11/15/21 (X)	A	Interest	K	T					
7. --Util Debt Sec Authority NY Due 6/15/24 (X)	A	Interest	K	T					
8. --NY State Environ, Clean Water Comb Util Due 6/15/25 (X)	A	Interest	K	T					
9. --NY State Bridge Authority Due 1/1/26 (X)	A	Interest	K	T					
10. --NYC NY Transit Authority Due 2/1/27 (X)	B	Interest	L	T					
11. --San Francisco CA Bonds due 6/15/17	A	Interest			Matured	06/15/17	K	A	
12. --DFA CA Short Term Muni Bond Portfolio (DFCMX)	A	Dividend	L	T					
13. --DFA T.A. World Ex U.S.(DFTWX)	E	Dividend	P1	T					
14. --DFT T.A. US Core Equity 2 Portfolio (DFTCX)	E	Dividend	P1	T	Sold (part)	03/08/17	L	F	
15. --DFT T.A. US Core Equity 2 Portfolio (DFTCX)	E	Distribution			Buy (add'l)	06/22/17	K		
16. --DFT T.A. US Core Equity 2 Portfolio (DFTCX)					Buy (add'l)	06/27/17	N		
17. --DFR T.A. US Core Equity 2 Portfolio (DFTCX)					Buy (add'l)	08/22/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Vanguard CA Intermediate Term Bond Fund (VCADX)	D	Dividend	O	T	Buy (add'l)	06/01/17	K		
19. --Vanguard CA Intermediate Term Bond Fund (VCADX)					Buy (add'l)	06/12/17	L		
20. --Vanguard CA Intermediate Term Bond Fund (VCADX)					Buy (add'l)	06/22/17	K		
21. --Vanguard CA Intermediate Term Bond Fund (VCADX)					Buy (add'l)	06/26/17	M		
22. --iShares TR Bond 1-3 Yr Credit Bond ETF (CSJ)	B	Dividend	M	T	Buy	06/19/17	K		
23. --iShares TR Bond 1-3 Yr Credit Bond ETF (CSJ)					Buy (add'l)	06/26/17	L		
24. --JP Morgan Core Bond I (WOBSZ)	A	Distribution	M	T	Buy	09/07/17	M		
25. --JP Morgan Core Bond I (WOBSZ)	B	Dividend							
26. --Vanguard REIT ETF (VNQ)	A	Dividend	K	T	Buy	06/26/17	K		
27. --DFA Emerging Markets Core Equity Portfolio (DFCEX)	B	Dividend	M	T	Buy (add'l)	06/22/17	J		
28. --DFA Emerging Markets Core Equity Portfolio (DFCEX)					Buy (add'l)	06/26/17	M		
29. --DFA International Core Equity Portfolio (DFIEX)	D	Dividend	N	T	Buy (add'l)	06/22/17	L		
30. --DFA International Core Equity Portfolio (DFIEX)					Buy (add'l)	06/26/17	M		
31. --DFA Short Term Extended Portfolio (DFEQX)	B	Dividend	M	T	Buy (add'l)	06/22/17	L		
32. --DFA Short Term Extended Portfolio (DFEQX)	A	Distribution							
33. --Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
34. --Dodge & Cox Income Fund (DODIX)	A	Distribution							

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --PIMCO Foreign Bond Fund (PFORX)	A	Dividend			Buy (add'l)	06/26/17	L		
36. --PIMCO Foreign Bond Fund (PRFORX)					Buy (add'l)	03/08/17	L		
37. --PIMCO Foreign Bond Fund (PFORX)					Sold	09/07/17	M	B	
38. --Schwab Money Market Fund (Trust 1)	A	Dividend	L	T					
39. --DFA International Real Estate Securities Port (DFITX)	B	Dividend	K	T	Buy	06/26/17	K		
40. IRA Rollover Account #1 (formerly PS Account #1)									
41. --Dodge & Cox Income Fund (DODIX)	B	Dividend	M	T	Buy (add'l)	04/06/17	M		
42. --Dodge & Cox Income Fund (DODIX)	A	Distribution							
43. --JP Morgan Core Bond 1 (WOBSZ)	B	Dividend	M	T	Buy	09/07/17	M		
44. --JP Morgan Core Bond 1 (WOBSZ)	A	Distribution			Buy (add'l)	11/02/17	J		
45. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)	A	Dividend			Buy (add'l)	04/28/17	J		
46. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)					Sold	09/07/17	M	A	
47. --DFA International Real Estate Securities Portfolio (DFITX)	D	Dividend	M	T	Buy (add'l)	01/11/17	J		
48. --iShares iBoxx Investment Grade Corporate Bond ETF (LQD)	A	Dividend			Sold	04/06/17	M	D	
49. --iShares TR Bond 1-3 Year Credit Bond ETF (CSJ)	B	Dividend	L	T					
50. --Vanguard REIT (VNQ)	C	Dividend	M	T	Buy (add'l)	12/21/17	J		
51. --Schwab Money Market Fund #5	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. 401k Account #1									
53. --PIMCO Foreign Bond Fund (PFORX)	A	Dividend			Sold	09/07/17	J	A	
54. --DFA Short-Term Extended Quality Portfolio (DFEQX)	A	Dividend	K	T					
55. --DFA Short-Term Extended Quality Portfolio (DFEQX)	A	Distribution							
56. --Dodge & Cox Income Fund (DODIX)	D	Dividend	N	T					
57. --Dodge & Cox Income Fund (DODIX)	B	Distribution							
58. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)	A	Dividend			Buy (add'l)	03/08/17	K		
59. --Vanguard Inflation Protected Securities Fund Adm (VAIPX)					Sold	09/07/17	K	A	
60. --DFA Emerging Markets Core Portfolio (DFCEX)	B	Dividend	L	T					
61. --DFA International Core Equity Portfolio (DFIEX)	C	Dividend	M	T	Buy (add'l)	04/28/17	J		
62. --DFA International Core Equity Portfolio (DFIEX)					Buy (add'l)	08/22/17	J		
63. --DFA International Real Estate Securities Portfolio (DFITX)	B	Dividend	K	T					
64. --iShares TR Bond 1-3 Year Credit Bond ETF (CSJ)	B	Dividend	L	T					
65. --Vanguard REIT (VNQ)	C	Dividend	L	T					
66. --JP Morgan Core Bond Fund I (WOBSZ)	A	Dividend	L	T	Buy	09/07/17	L		
67. --JP Morgan Core Bond Fund I (WOBSZ)					Buy (add'l)	11/02/17	J		
68. --JP Morgan Core Bond Fund (WOBSZ)	A	Distribution			Buy (add'l)	12/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

69. --DFA US Core Equity 2 Portfolio (DFQTX)	B	Dividend	L	T	Buy	09/19/17	K		
70. --Schwab Money Market Fund #6	A	Dividend	J	T					
71. Roth IRA Account #1									
72. --Vanguard REIT (VNQ)	A	Dividend	J	T					
73. Roth IRA Account #2									
74. --DFA International Real Estate Securities Portfolio (DFITX)	A	Dividend	J	T					
75. Learning Quest 529 Ed. Sav. Plan #1 Short-Term Portfolio (Y)									
76. Learning Quest 529 Ed. Sav. Plan #2 Short-Term Portfolio		None	J	T	Sold (part)	01/11/17	J	A	
77. Learning Quest 529 Ed. Sav. Plan #2 Short-Term Portfolio					Sold (part)	09/26/17	J	A	
78. Learning Quest 529 Ed. Sav. Plan #2 Short-Term Portfolio					Sold (part)	10/03/17	J	A	
79. First Republic Bank Checking Account	A	Interest	K	T					
80. Bank of America cash accounts (X)	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Elizabeth D. Laporte

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544