AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Barbier, Carl J.	U.S. District Court, E.D. La.	05/01/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge-Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2017 **to** 12/31/2017
7. Chambers or Office Address		
500 Poydras Street New Orleans, LA. 70130		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Directors	Loyola Law Alumni Association
2.	Member, Board of Directors	Federal Bar Assoc.-New Orleans Chapter
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	01/30/2017	Merrill Lynch Individual Retirement Account - Required Minimum Distribution	$110,422.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	01/30/2017	Merrill Lynch IRA - Required Minimum Distribution
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New Orleans Bar Association	4/30-5/02/2017	Point Clear, Alabama	Bench Bar Conference	Food and lodging
2.	Baton Rouge Bar Association	7/26-7/29/2017	Point Clear, AL	Bench Bar Conference	Food and lodging
3.	Loyola College of Law	6/09-7/01/2017	Spetsis, Greece	Summer School Abroad - Teach Course on Comparative Maritime Law	Transporation, lodging, food
4.	Emory Law School	09/14-15/2017	Atlanta, Georgia	Meeting of Emory Institute Judicial Advisory Board	Transporation, lodging, food
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Lexus Financial Services	Vehicle loan	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Barbier, Carl J.	05/01/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Bank of America (Merrill Lynch) FIA NA Rasp (money mkt acct)	B	Interest	N	T	Buy (add'l)	12/30/17	K		
2. Anheuser Busch Notes Apr 01 2018	A	Interest			Redeemed	11/10/17	K	A	
3. Anheuser Busch Cos Inc Notes Mar 01 2019	A	Interest	K	T					
4. Penn. Electric Co. Sr. Notes 4/01/19	A	Interest	J	T					
5. Spectra Energy Capital Global Notes 10/01/2019	C	Interest			Redeemed	09/08/17	K	C	
6. Ford Holdings Inc Mar 01, 2020	B	Interest	K	T					
7. Scana Corp. Ser Mtn Apr 01 2020	A	Interest	K	T					
8. El Paso Nat. Gas (Bond) Jan 15, 2022	A	Interest	J	T					
9. Coca Cola Ent. Debentures Feb 01 2022	B	Interest	K	T					
10. USX Corp May 15, 2022	B	Interest	K	T					
11. Coca Cola Ent. Inc. Debentures Sep 15 2022	C	Interest	L	T					
12. USX Marathon Grp Debentures Mar 01 2023	C	Interest	L	T					
13. Gen Motors Corp Global Bds July 15 2023	A	Interest	J	T					
14. USX Marathon Group July 15, 2023	B	Interest	K	T					
15. Southern Union Co. Feb 01, 2024	A	Interest	J	T					
16. Time Warner Cos Inc Feb 01, 2024	B	Interest	K	T					
17. Entergy La. LLC Nov. 01, 2024	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Barbier, Carl J.	05/01/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Union Carbide Deben 6/1/25	A	Interest	J	T					
19. BellSouth Telecommunications Oct 01, 2025	A	Interest	J	T					
20. Citizens Utilities Co Nov 01, 2025	C	Interest	K	T					
21. Ford Motor Co. Nov 15, 2025	B	Interest	K	T					
22. Burlington Resources Inc Feb 15, 2026	B	Interest	K	T					
23. Petro Canada Jun 15, 2026	A	Interest	J	T					
24. Indiana Bell Tel Debentures Aug 15 2026	D	Interest	M	T					
25. Morgan Stanley Sub Ser GMTN Sept 08, 2026	B	Interest	L	T					
26. Bristol Meyers Squibb Nov 15 2026	B	Interest	K	T					
27. Hydro Quebec Deb Ser MBIA Jan 15, 2027	B	Interest	K	T					
28. Phillip Morris Cos Inc Jan. 15, 2027	B	Interest	K	T					
29. Eli Lilly & Co March 15, 2027	A	Interest	K	T					
30. Wal-Mart Stores, Inc Apr 05 2027	B	Interest	K	T					
31. CSX Corp May 01 2027	A	Interest	J	T					
32. GTE California Inc May 15 2027	C	Interest	L	T					
33. Hershey Foods Corp Aug 15, 2027	A	Interest	J	T					
34. Seagull Energy Corp Sr. Notes 9/15/27	C	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Puget Sound Energy Inc. Dec 01, 2027	B	Interest	K	T					
36. Anheuser-Busch Cos Inc Dec 15 2027	A	Interest	J	T					
37. Pioneer Natural Resource Jan 15, 2028	B	Interest	K	T					
38. Sears Roebuck Acceptance Jan. 15, 2028	A	Interest	J	T					
39. Verizon Florida (formerly GTE Florida) Feb. 01, 2028	D	Interest	M	T					
40. GTE North Inc. Feb. 15, 2028	B	Interest	J	T					
41. KN Energy Inc. Mar. 01, 2028	A	Interest	J	T					
42. Occidental Petroleum Apr 01 2028	B	Interest	K	T					
43. Bell Atlantic Corp Apr 15, 2028	B	Interest	K	T					
44. GTE Corporation Apr 15, 2028	D	Interest	M	T					
45. Bell South Telecom Inc Jun 01 2028	A	Interest	J	T					
46. Georgia-Pacific Group Jun 01 2028	A	Interest	J	T					
47. Heinz (HJ) Co July 15 2028	C	Interest	K	T					
48. United Utilities PLC Aug 15, 2028	A	Interest	J	T					
49. Petro Canada Deben 11/15/28	A	Interest	J	T					
50. Bell Atlantic Penn Dec 01, 2028	B	Interest	K	T					
51. Duke Energy Corp Dec. 01, 2028	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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52. Burlington Resources Mar 01, 2029	B	Interest	K	T					
53. Conoco Inc Apr 15, 2029	B	Interest	K	T					
54. Archer-Daniels-Midland May 01 2029	B	Interest	K	T					
55. Norfolk Southern Corp May 17, 2029	B	Interest	K	T					
56. Amerada Hess Corp Bonds Oct 01 2029	C	Interest	L	T					
57. Dow Chemical Co Nov 01, 2029	B	Interest	K	T					
58. New England Tel & Tel Co. Nov 15 2029	B	Interest	K	T					
59. New Jersey Bell Tel Co Nov 15, 2029	B	Interest	K	T					
60. Apache Fin Canada Corp Dec 15, 2029	B	Interest	K	T					
61. Wal Mart Stores Bonds Feb 15 2030	C	Interest	L	T					
62. Burlington North Santa Fe Aug 15, 2030	A	Interest	K	T					
63. Bell Telephone Co PA Dec 15, 2030	A	Interest	J	T					
64. Dominion Res Cap TR III Jan 15, 2031	B	Interest	K	T					
65. Amerada Hess Corp Aug 15, 2031	C	Interest	K	T					
66. Gen. Electric Cap Corp Mar 15, 2032	B	Interest	K	T					
67. Gen. Electric Cap Corp Jul 15, 2032	B	Interest	K	T					
68. Texaco Capital Inc Aug 01, 2032	A	Interest	J	T					

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69. Florida Power Corp Mar 01, 2033	B	Interest	K	T					
70. Wisconsin Electric Power May 15, 2033	A	Interest	J	T					
71. Florida Power & Light Apr 01, 2034	A	Interest	J	T					
72. Gen. Electric Cap Corp Jun 15, 2034	B	Interest	K	T					
73. Bell South Corp Nov. 15, 2034	B	Interest	K	T					
74. Goldman Sachs Group Jun 15, 2035	B	Interest	K	T					
75. Goldman Sachs Group Oct 1, 2037	C	Interest	L	T					
76. St. Gabriel La Pub Impt May 01 29	A	Interest	K	T					
77. Jefferson PH LA Hosp Svc Jan 1 31	C	Interest	L	T					
78. La. LCL GVT ENV CDA RV Sep 01 32	A	Interest	J	T					
79. Tyler Tex Hlth Fcs Dev Nov 01 37	A	Interest	J	T					
80. San Jose Calif Arpt Rev Mar 01 41	B	Interest	K	T					
81. Colorado Health Facs Sep 01 41	B	Interest	K	T					
82. La LCL GVT ENV CDA RV Mar 01 42	A	Interest	J	T					
83. Tangipahoa Ph La Wtr Rev Apr 01 42	A	Interest			Redeemed	04/03/17	J	A	
84. Louisiana Pub Facs Auth Jul 01 42	A	Interest	K	T					
85. Washington St Motor Aug 01 42	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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86. Louisiana LCL GVT Mar 01 47	B	Interest	K	T					
87. Met Pea Il Dedicated ST Jun 15 50	B	Interest	K	T					
88. American Airls Group Inc.	A	Dividend	K	T					
89. Merrill Lynch BIF (CMA) Tax-Exempt Fund	A	Dividend	L	T	Buy (add'l)	12/27/17	L		
90. Goldman Sachs Group Oct 1, 2037	A	Interest	J	T					
91. La. Pub. Facs Auth Rev Tul Ser B, Dec. 15, 2032	A	Interest			Redeemed	12/15/17	J	A	
92. St Gabriel La Pub Impt May 01 37	B	Interest	L	T					
93. Louisiana Pub Facs Auth Rev Ochsner Ser A May 15, 2038	C	Interest			Redeemed	05/15/17	L	C	
94. LA Pub Facs Auth Rev Loyola Proj Oct 01 41	B	Interest	K	T					
95. Merrill Lynch Bank USA RASP (money market)	A	Interest	K	T					
96. Cooper Tire & Rubber Co Dec 15 2019 (Bond)	A	Interest	J	T					
97. Goldman Sachs Group Aug 15, 2025	B	Interest	K	T					
98. Morgan Stanley Sub Ser GMTN Sept 08, 2026	A	Interest	J	T					
99. Phillip Morris Cos Inc Jan 15, 2027 (Bonds)	B	Interest	K	T					
100. GTE North Inc. Bond Feb. 15, 2028	A	Interest	J	T					
101. General Elec Cap Corp July 15, 2032	A	Interest	J	T					
102. Bell South Corp Nov 15, 2034	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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103. LA LCL GVT ENV CDA RV Nov 01 31	A	Interest	K	T					
104. Central Plains Energy Sep 01 42	A	Interest	K	T					
105. Aegon NV PFD STK	B	Interest	K	T					
106. Florida Pwr & Lt Cap TR Mar 15 2044	A	Interest			Redeemed	11/09/17	J	A	
107. Vanguard Ttl Stk Mkt Index Fund	E	Dividend	P1	T					
108. Vanguard Prime MM Fund	A	Dividend	J	T					
109. Capital One Bank-- checking/savings	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Barbier, Carl J.	05/01/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carl J. Barbier

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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