

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kaplan, Lewis A	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 6/2/2006
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street New York, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Partner	Handy Place Investment Partnership
2. Trustee	Trust u/w/a [REDACTED]
3.	
4.	
5.	

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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Various	Pension and retirement plan pmts -pension plans for benefit of retirees of Random House, Inc.
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Zachary and Erica Richard	Book	\$ 60
2. Earl and Elizabeth Menard	60th birthday gift - whisky	\$ 200
3. George and Janet Felleman	60th birthday gift - book	\$ 60
4. Heather Kilpatrick and Steve Byers	Wedding gift - Carving set	\$ 200
5. Roberta and Michael Cook	Glass bowl	\$ 80
6. Diane Zimmerman and Cavin Leeman	Placemats and napkins	\$ 50
7. Betsy and Max Gitter	Basket and napkins	\$ 25
8. Clare de Zengotita	Placemats, napkins and plant hanger	\$ 35
9. Dan Dwyer and Jim Montanari	Daffodil bulbs and trowel	\$ 40
10. Marcia Chambers and Stanton Wheeler	Cheese board	\$ 20
11. Lydia and Ben Kukoff	Cutting board	\$ 15
12.		
13.		
14.		
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VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

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2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank accounts	A	Interest	J	T					
2. Kinderhook CSD 6 07	B	Interest	K	T	None				
3. NYS Pwr A Ser D 5.875 10	A	Interest	J	T	None				
4. NYS Pwr A GP 5.375 10	B	Interest	K	T	None				
5. NYS Pwr A Ser GP 5.5 10	A	Interest	J	T	None				
6. NYS Pwr A Ser F 5.5 10	A	Interest	K	T	Pt Redempt	1-3	J	A	
7. Mun Inv Trust Ser A NY	A	Interest	J	T	Pt Redempt	2-25	J	A	
8.					Pt Redempt	3-28			
9.					Pt Redempt	5-25	J	A	
10.					Pt Redempt	6-27	J	A	
11.					Pt Redempt	8-25	J	A	
12.					Pt Redempt	12-27	J	A	
13.					Pt Redempt	12-30	J	A	
14. [left blank]									
15. PW 1986 EES lim ptn	C	Distribution	J	W	None				
16. Handy Place Invest Part		None	J	W	None				
17. 20th Cent Ultra F		None			Redemption	3-23	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Westchester Co 5.6 07	B	Interest	K	T	None				
19. Clarkstown 5.6 08	D	Interest	M	T	None				
20. NYC Mun Wtr 5.375 07	A	Interest	J	T	None				
21. NYC Mun Wtr 5.375 07	B	Interest	K	T	None				
22. NYS MCFFA 5.125 12	C	Interest	L	T	None				
23. NYS Twy 5.0 08	B	Interest			Redemption	4-29	L	A	
24. Oneida 6.4 06	A	Interest	K	T	None				
25. Kinderhook CSD 6 07	A	Interest	J	T	None				
26. Ramapo 5.6 08	A	Interest	J	T	None				
27. NYS UDC 5.4 06	B	Interest	K	T	None				
28. Nassau Co 5.125 13	C	Interest			Redemption	11-1	L	B	
29. NYS Dorm 5.7 10	A	Interest	J	T	None				
30. NYS Dorm 5.375 14	C	Interest			Redemption	7-1	L	A	
31. NYS Dorm 5.125 08	D	Interest	M	T	None				
32. NYS Dorm 5.25 13	C	Interest	L	T	None				
33. Fidelity Fund		None			Redemption	2-25	N	A	
34. Fidelity Large Cap Fund		None			Redemption	2-25	N	A	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Triboro BTA 4.5 13	B	Interest	K	T	None				
36. Puerto Rico MFA 5.5 09	B	Interest	K	T	None				
37. NYS Dorm 5.125 11	C	Interest	L	T	None				
38. Triboro BTA 4.3 11	A	Interest	K	T	None				
39. Triboro BTA 4.75 16	A	Interest	K	T	None				
40. NYC Mun Wtr 4.9 13	B	Interest	L	T	None				
41. Commack UFS 4.375 13	D	Interest	M	T	None				
42. NYS Mtg Agy 5.45 12	D	Interest	M	T	None				
43. NYS Twy 5.125 13	C	Interest	M	T	None				
44. So Glens Falls CSD 5.25 14	C	Interest	L	T	None				
45. NY Tran at MTA 5.2 10	D	Interest	M	T	None				
46. NYS Env Fac 5.125 11	C	Interest	L	T	None				
47. NYS Dorm 5.125 11	D	Interest	M	T	None				
48. NYS Dorm 5.1 12	B	Interest	K	T	None				
49. NYS Env Fac 4.55 13	B	Interest	L	T	None				
50. NYS Env Fac 4.95 17	C	Interest	L	T	None				
51. ML Bk/B&T RASP	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Coon (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. NYC Trans 5.0 19	C	Interest	M	T	None				
53. Greece CSD 4.5 17	C	Interest	M	T	None				
54. NYS Env Fac 4.2 12	C	Interest	M	T	None				
55. MTA 4.0 12	C	Interest	M	T	None				
56. MTA 4.0 13	C	Interest	M	T	None				
57. NYC 4.375 11	C	Interest	M	T	None				
58. FNMA 5.0 12	D	Interest	M	T	None				
59. NM Bank Amer 6.5 17	C	Interest			Redemption	L			
60. ML Bank	A	Interest			Withdrawal	12-7	K		
61. Triboro BTA 3.75 13	C	Interest	M	T	None				
62. William Floyd UFSD 4.125 15	C	Interest	M	T	None				
63. MTA 5.0 23	C	Interest	M	T	None				
64. GECC 4.75 13	D	Interest	M	T	None				
65. Pacific Innov Select annuity		None	M	T	None				
66. Nat City Bk fka Provident Bank 5.0 15	C	Interest	L	T	None				
67. FHLMC multi % 18	D	Interest	M	T	None				
68. Hudson United Bk 5.05 13	C	Interest	K	T	None				

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69. NYC Ser J 4.625 18	D	Interest	M	T	None				
70. NYS Dorm 5.0 19	D	Interest	M	T	None				
71. MTA 4.75 26	D	Interest	N	T	None				
72. Long Island Pwr 4.75 24	C	Interest	M	T	None				
73. NYS Dorm 4.75 25	C	Interest	L	T	None				
74. Western Bank Puerto Rico	A	Interest	K	T	None				
75. FNMA 5.55 14	C	Interest			Redemption	1-31	N	A	
76. NM Bank Amer 4.65 12	B	Interest	K	T	None				
77. Capitol One Bk 4.75 10	C	Interest	L	T	None				
78. ML Bank	C	Interest			Withdrawal	12-7	L		
79. Port Auth NY&NJ 4.2 19	C	Interest	L	T	None				
80. NYS Dorm 4.625 18	D	Interest	M	T	None				
81. Prudential Ins Co guar inv acct	C	Interest			Sold	3-8	N		
82. Prudential Fin Inc stock	A	Dividend			Sold	3-8	J		
83. Fidelity Eq Income Fund	A	Distribution			Sold	3-15	L		
84. Fidelity US Govt Reserves Fund	A	Distribution			Sold	3-14	K	A	
85. ML Bank USA RASP	A	Dividend	K	T	Open	4-15	K		

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86. Schwab Money Mkt	A	Dividend	J	T					
87. Schwab Money Mkt (IRA)	A	Dividend			Closed	3-10	K	A	
88. JP Morgan Chase acct	D	Interest	O	T					
89. NY Life whole life policies	B	Dividend	L	T	None				
90. ML CMA NY Mun Money	A	Interest	L	T	Opened	12-7	L		
91. MTA Adj% 26	C	Interest	L	T	Buy	2-15	L		
92. E Meadow 4.9 06	B	Interest	K	T	None				
93. US Treas bill					Buy	1-19	L		
94.					Redemption	4-14	L	D	
95. ML CMA NY Mun Money	A	Interest	K	T	Opened	12-7	K		
96. MTA Adj% 26	B	Interest	K	T	Buy	2-9	K		
97. Ulster Co 4.25 21		None	L	T	Buy	11-5	L		
98. NYS Dorm 4.0 19		None	K	T	Buy	5-26	K		
99. Banco Bilbao 4.46 12	C	Interest	K	T	Buy	2-14	L		
100. First Eagle Global Cl C	D	Distribution	M	T	Buy	Note			
101. Davis NY Venture Cl Y	C	Dividend	M	T	Buy	3-23	M		
102. ML Large Cap Value Cl I	D	Distribution	M	T	Buy	3-28	M		

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Ascertain W = Estimated	T = Cash Market	

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103. Evergreen Sp Values Cl A	D	Distribution	L	T	Buy	3-28	L		
104. Pimco Comm Real Return Cl A	C		L	T	Buy	3-28	L		
105. Pioneer Oak Ridge Small Cap Cl A		None	L	T	Buy	3-28	K		
106. Goldman Sachs Mid Cap Cl A	D	Distribution	M	T	Buy	3-28	M		
107. Amer Inc Fund of Am Cl A	E		O	T	Buy	3-28	O		
108. Muhlenkamp Fund	A	Dividend	K	T	Buy	7-14	K		
109. Fidelity Cash Reserves	A	Interest			Sold			A	
110. Netbank CD	A	Interest			Buy	7-13	L		
111.					Redemption	10-12	L	A	
112. Israel Discount Bk CD	A	Interest			Buy	7-13	L		
113.					Redemption	10-12	L	A	
114. US Treas bill 0% 8-11-05		None			Buy	7-12	K		
115.					Redemption	8-11	K	A	
116. US Treas bill 0% 9-8-05		None			Buy	7-12	K		
117.					Redemption	9-8	K	A	
118. ML CMA NY Mun Money	C	Dividend	M	T	Open	3-29	J		
119. ML Bank RASP	A	Interest	K	T	Open	3-2	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assesment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Kaplan, Lewis A

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6/2/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. New York NY Ser N 4.05 17	B	Interest	L	T	Buy	4-15	L		
121. Minisink CSD 4.0 12	B	Interest	L	T	Buy	6-9	M		
122. Wayne CSD 4.0 20	B	Interest	L	T	Buy	6-21	L		
123. Brookhaven CSD		None	L	T	Buy	4-21	L		
124. Amer Inc Fd of Amer Cl A	B		L	T	Buy	4-15	L		
125. Davis NY Venture Cl Y	A		L	T	Buy	4-13	L		
126. Goldman Sachs Mid Cap Cl A	B	Distribution	K	T	Buy	4-15	K		
127. Pimco Comm Real Return Cl A	B		K	T	Buy	4-13	K		
128. Royce Penn Mut Fd	B		K	T	Buy	4-13	K		
129. Scudder High Return	A		L	T	Buy	4-15	L		
130. Oakmark Select	C		L	T	Buy	4-13	L		
131. Community B&T 4.4 08	B	Interest	K	T	Buy	4-20	K		
132. FNMA multi% 09	A	Interest	K	T	Buy	4-4	K		
133. FNMA multi% 15	B	Interest	K	T	Buy	4-22	K		
134. HSBC Fin Corp 4.65 10	B	Interest	K	T	Buy	4-7	K		
135. Principal Life Inc. 5.0 12	B	Interest	K	T	Buy	4-6	K		
136. GECC 5.0 16	B	Interest	K	T	Buy	4-7	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Kaplan, Lewis A

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6/2/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. NYS Dorm 4.0 19		None	L	T	Buy	5.26	L		
138. Merrill Lynch Bank SLC 3.75 1-25-06		None	L	T	Buy	7-18	L		
139.									
140.									
141.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. [REDACTED] designates assets held as trustee u/w/o [REDACTED]
2. Values listed in Part V are estimates.
3. Part VII, line 100. Purchases made on 2-24 and 3-29.
4. Part VII, lines 104, 107, 124-125 and 127-130 include both dividends, capital gain distributions, or both.
5. Income for 1986 EES and Handy Place partnerships equals distributions

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Name of Person Reporting

Kaplan, Lewis A

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6/2/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

Date _____

6/2/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544