

United States District Court

EASTERN DISTRICT OF CALIFORNIA



501 "I" STREET, SUITE 13-230
SACRAMENTO, CA 95814

(916) 930-4115

CHAMBERS OF
GARLAND E. BURRELL, JR.
JUDGE

June 8, 2009

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

Attention: Hon. Bobby R. Baldock, Chair

Re: Calendar Year 2008 Filing

Dear Judge Baldock:

Thank you for your letter dated May 29, 2009. I have attached an amended report, reflecting the following amendments to my report dated 4/28/09:

1. In Part VII, page 4, lines 1 and 6, the value method code has been changed to "T."
2. In Part VII, page 4, lines 10 - 17 and page 5, line 18, I filled in the blanks in column D(3) for lines 7, 10, 11, 14, 15, 16, 17 and 18; I deleted lines 12 and 13 from the report dated 4/28/09 since I mistakenly said I made purchases on 9/18.

Sincerely,

A large black rectangular redaction box covers the signature and name of the sender.

Enclosure

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FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burrell, Jr., Garland E.	2. Court or Organization U.S. District Court Eastern District of California	3. Date of Report 06/08/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address Robert T. Matsui United States Courthouse 501 I Street - Suite 13-230 Sacramento, CA 95814	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Burrell, Jr., Garland E.

Date of Report

06/08/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 6

Name of Person Reporting

Burrell, Jr., Garland E.

Date of Report

06/08/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Burrell, Jr., Garland E.

Date of Report

06/08/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UBS Financial Services (Treasury Bonds)	A	Interest	J	T					
2. Golden One CU Accounts	C	Interest	L	T					
3. Chicago Go Bond (Charles Schwab account)	C	Interest	M	T	Redeemed	1/2			
4. First US Community Credit Union Accounts	A	Interest	J	T					
5. Wells Fargo Bank	A	Interest	J	T					
6. Wealth Builder Equity (Mutual Fund)	A	Dividend	J	T					
7. Gammon Gold Inc.	B	Dividend	K	T	Buy	1/8	K		
8. Gammon Gold Inc.	B	Dividend	K	T	Sold	5/20	K	C	
9. Gammon Gold Inc.	A	Dividend	J	T	Sold	5/21	J		
10. Gammon Gold Inc.	B	Dividend	K	T	Buy	8/20	K		
11. Schwab CA Tax Free Bond Fund (Mutual Fund)	A	Dividend	L	T	Buy	8/22	L		
12. Gammon Gold Inc.	B	Dividend	K	T	Buy	9/29	K		
13. Gammon Gold Inc.	A	Dividend	K	T	Buy	10/7	K		
14. Gammon Gold Inc.	A	Dividend	J	T	Buy	10/7	J		
15. Gammon Gold Inc.	A	Dividend	J	T	Buy	10/7	J		
16. Gammon Gold Inc.	A	Dividend	J	T	Buy	10/7	J		
17.									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Burrell, Jr., Garland E.

Date of Report

06/08/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Money in the account listed in number 3 was used to purchase the Gammon Gold stock and the mutual fund listed in number 11; thus, the value of the Charles Schwab account referenced in number 3 was J after the purchases. The Gammon Gold stock purchased as stated in number 7 was sold as stated in numbers 8 and 9; the profit listed in number 8 is the total profit for numbers 8 and 9; no separate profit was listed in number 9 because it would take too long to calculate it.

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Burrell, Jr., Garland E.

Date of Report

06/08/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hurrell, Jr., Garland E.	2. Court or Organization U.S. District Court Eastern District of California	3. Date of Report 04/28/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address Robert T. Matsui United States Courthouse 501 I Street - Suite 13-230 Sacramento, CA 95814	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____
2. _____	_____
3. _____	_____

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FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Burrell, Jr., Garland E.

Date of Report

(14/28/2009)

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Burrell, Jr., Garland E.

Date of Report

04/28/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Burrell, Jr., Garland E.

Date of Report

04/28/2009

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-40 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UBS Financial Services (Treasury Bonds)	A	Interest	J	W					
2. Golden One CU Accounts	C	Interest	L	T					
3. Chicago Go Bond (Charles Schwab account)	C	Interest	M	T	Redeemed	1/2			
4. First US Community Credit Union Accounts	A	Interest	J	T					
5. Wells Fargo Bank	A	Interest	J	T					
6. Wealth Builder Equity (Mutual Fund)	A	Dividend	J	W					
7. Gammon Gold Inc.	B	Dividend	K	T	Buy	1/8			
8. Gammon Gold Inc.	B	Dividend	K	T	Sold	5/20	K	C	
9. Gammon Gold Inc.	A	Dividend	J	T	Sold	5/21	J		
10. Gammon Gold Inc.	B	Dividend	K	T	Buy	8/20			
11. Schwab CA Tax Free Bond Fund (Mutual Fund)	A	Dividend	L	T	Buy	8/22			
12. Gammon Gold Inc.	A	Dividend	J	T	Buy	9/18			
13. Gammon Gold Inc.	B	Dividend	K	T	Buy	9/18			
14. Gammon Gold Inc.	B	Dividend	K	T	Buy	9/29			
15. Gammon Gold Inc.	A	Dividend	K	T	Buy	10/7			
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1. Income Gain Codes:
(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

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H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
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L = \$50,001 - \$100,000
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P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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Date of Report

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Burrell, Jr., Garland E.

Date of Report

04/28/2009

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FINANCIAL DISCLOSURE REPORT

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Sig

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Washington, D.C. 20544