

SELF INITIATED AMENDMENT

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

AO 10
Rev. 1/2007

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

1. Person Reporting (last name, first, middle initial) BALDOCK, Bobby R	2. Court or Organization U.S. Court of Appeals-10th Cir	3. Date of Report 04/02/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address P.O. Box 2388 Roswell, New Mexico 88202-2388		5b. ☐ Amended Report
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BALDOCK, Bobby R

Date of Report

04/02/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Alliance Defense Fund-The Blackstone Fellowship, Phoenix, AZ	Transportation & Meals (August 10, 2006)
2.	
3.	
4.	
5.	

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Pioneer Savings	Property, Chaves County, NM	M
2.		
3.		
4.		
5.		

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Name of Person Reporting

BALDOCK, Bobby R

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04/02/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch IRA, Items (a) through (h)									
2. (a) XCEL	C	Dividend			Sell	12/19	K		Market
3. (b) Aim Weingarten (Mutual Fund)	C	Dividend	K	T					
4. (c) Lord Abbett (Mutual LFund) US Government Securities	B	Dividend	K	T					
5. (d) ING Small Cap (Mutual Fund)	A	Dividend	J	T					
6. (e) Franklin Inves. Sec. TR Equity Income (Mutual Fund)	A	Dividend	J	T					
7. (f) Merrill Lynch Retirement Reserves	B	Interest	K	T					
8. (g) (Withdrawal)	D	Distribution							
9. (h) C.D. purchased	A	Interest	K	T	Buy	12/19	K		
10. Hunker Commune (El Paso, Navajo, Western, Rex)	A	Royalty	J	W					
11. Lot in South Spring Acres, Roswell, NM		None	J	W					
12. Stock in South Spring Acres, Roswell, NM		None	J	W					
13. Minerals, Washita County, OK (BBX Oil Corp.)	A	Rent	J	W					
14. Silver and silver coins		None	J	T					
15. Gold coins		None	J	T					
16. Navajo, Hockley County, TX	B	Royalty	J	W					
17. Pioneer Savings Bank	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pioneer Savings Bank	B	Interest	K	T					
19. Working interest in Hodges - Hockley County, TX	B	Royalty	J	T					
20. Working interest in Jeffers-Hockley County, TX	A	Royalty	J	T					
21. Working interest in Pair- Hockley County, TX	A	Royalty	J	T					
22. Working interest in Reed-Hockley County, TX	A	Royalty	J	T					
23. Working interest in White-Hockley County, TX	A	Royalty	J	T					
24. Working interest in Terry-Hockley County, TX	A	Royalty	J	T	Buy	09/07	J		Cardwell Oil Corp.
25. Cash Value Life Insurance, Charlotte, NC (Money Market Acct.	A	Interest	K	T					
26. Pioneer Bank CDs: (1) purchased 4/05	A	Interest			Redemption	07/19	K		
27. 2) CD purchased 7/05	C	Interest			Redemption	11-15	K		
28. CD Purchased 7/13/06	A	Interest	K	T			J		
29. CD Purchased 7/27/06	A	Interest	K	T			J		
30. Oil & Gas Items (a) through (g):									
31. (a) XTO Energy Production	A	Royalty	J	W					
32. (b) BP Am Production Company	D	Royalty	K	W					
33. (c) Conoco Phillips	E	Royalty	K	W					
34. (d) Sunco Oil & Gas Production	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

BALDOCK, Bobby R

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. (e) Great Western Drilling Company	B	Royalty	K	W					
36. (f) El Paso Production Company	B	Royalty	K	W					
37. (g) Burlington Resources	C	Royalty	K	W					
38. Working interest in Mewbourn Oil, Eddy County, NM	A	Royalty	J	W					
39. Property, Chaves County, NM	A	Rent	M	W	Buy	03/31	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

BALDOCK, Bobby R

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Item 1 - Merrill Lynch IRA. The assets held in this IRA are identified as items (a) through (h).

Item 2 - Sold XCEL shares.

Item 8 - Was deposited into Item 18. Required to take more due to IRS rules.

Item 9 - Purchased from sale of item 2(a).

Item 10 - Item 10 was the old Item 9 and "REX" is the company purchasing the oil.

Item 18 - Used part of proceeds as down payment on Item 39.

Item 24 - Purchased working interest.

Item 28 - New CD.

Item 29 - New CD.

Item 39 - Purchased rent property.

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Name of Person Reporting

BALDOCK, Bobby R

Date of Report

04/02/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4-2-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BALDOCK, Bobby R	2. Court or Organization U.S. Court of Appeals-10th Cir	3. Date of Report 03/21/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address P.O. Box 2388 Roswell, New Mexico 88202-2388	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

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DISCLOSURE OFFICE**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BALDOCK, Bobby R

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03/21/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Alliance Defense Fund-The Blackstone Fellowship, Phoenix, AZ	Transportation & Meals (August 10, 2006)
2.	
3.	
4.	
5.	

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Name of Person Reporting

BALDOCK, Bobby R

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Pioneer Savings	Property, Chaves County, NM	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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1. Merrill Lynch IRA, Items (a) through (h)									
2. (a) XCEL (X)	C	Dividend	L	T	Sell	12/19	K		Market
3. (b) Aim Weingarten (Mutual Fund)	C	Dividend	K	T					
4. (c) Lord Abbett (Mutual LFund) US Government Securities	B	Dividend	K	T					
5. (d) ING Small Cap (Mutual Fund)	A	Dividend	J	T					
6. (e) Franklin Inves. Sec. TR Equity Income (Mutual Fund)	A	Dividend	J	T					
7. (f) Merrill Lynch Retirement Reserves	B	Interest	K	T					
8. (g) (Withdrawal) (X)	D	Distribution							
9. (h) C.D. purchased (X)	A	Interest	K	T	Buy	12/19	K		
10. Hunker Commune (El Paso, Navajo, Western, Rex) (X)	A	Royalty	J	W					
11. Lot in South Spring Acres, Roswell, NM		None	J	W					
12. Stock in South Spring Acres, Roswell, NM		None	J	W					
13. Four acres of minerals, Washita County, OK (BBX Oil Corp.)	A	Rent	J	W					
14. Silver and silver coins		None	J	T					
15. Gold coins		None	J	T					
16. Navajo, Hockley County, TX	B	Royalty	J	W					
17. Pioneer Savings Bank	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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22. Working interest in Reed-Hockley County, TX	A	Royalty	J	T					
23. Working interest in White-Hockley County, TX	A	Royalty	J	T					
24. Working interest in Terry-Hockley County, TX (X)	A	Royalty	J	T	Buy	09/07			Cardwell Oil Corp.
25. Cash Value Life Insurance, Charlotte, NC (Money Market Acct.	A	Interest	K	T					
26. Pioneer Bank CDs: (1) purchased 4/05 (X)	A	Interest	K	T	Redemption	07/19	K		
27. 2) CD purchased 7/05 (X)	C	Interest	K	T	Redemption	11-15	K		
28. CD Purchased 7/13/06 (X)	A	Interest	K	T					
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30. Oil & Gas Items (a) through (g):									
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36. (f) El Paso Production Company	B	Royalty	K	W					
37. (g) Burlington Resources	C	Royalty	K	W					
38. Working interest in Mewbourn Oil, Eddy County, NM	A	Royalty	J	W					
39. Property, Chaves County, NM (X)	A	Rent	M	W	Buy	03/31			

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 Ht =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BALDOCK, Bobby R

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Item 1 - Merrill Lynch IRA. The assets held in this IRA are identified as items (a) through (h).

Item 2 - Sold XCEL shares.

Item 8 - Was deposited into Item 18. Required to take more due to IRS rules.

Item 9 - Purchased from sale of item 2(a).

Item 10 - Item 10 was the old Item 9 and "REX" is the company purchasing the oil.

Item 18 - Used part of proceeds as down payment on Item 39.

Item 24 - Purchased working interest.

Item 28 - New CD.

Item 29 - New CD.

Item 39 - Purchased rent property.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

BALDOCK, Bobby R

Date of Report

03/21/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

3-21-07

NOTE: ANY PERSON WHO KNOWINGLY PROVIDES FALSE INFORMATION OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544