



Weinberg, Lieberman & Co.

Certified Public Accountants

Certified in
New Jersey, New York &
Conn.

West Caldwell, New Jersey

May 5, 2004

To the Honorable Chester J. Straub
Bronxville, NY

We have reviewed the accompanying Financial Disclosure Report of the Honorable Chester J. Straub as of December 31, 2003, in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. All information included in these financial statements is the representation of The Honorable Chester J. Straub.

A review consists principally of inquiries of The Honorable Chester J. Straub, as well as inquiries of his brokerage firms, financial institutions, and the pension department of his former law firm. Analytical procedures have been applied to this financial data. It is substantially less in scope than an audit in accordance with generally accepted auditing standards, the objective of which is the expression of an opinion regarding the financial statements taken as a whole. Accordingly, we do not express such an opinion.

The accompanying Financial Disclosure Report was prepared for the purpose of complying with the Ethics Reform Act of 1989.

Based on our review, we are not aware of any material modifications that should be made to the accompanying Financial Disclosure Report in order for them to be in conformity with the applicable laws and regulations of the Ethics Reform Act of 1989.

This report is intended solely for the information and use of the Committee on Financial Disclosure, Administrative Office of the United States Court, and should not be used for any other purpose.

Weinberg, Lieberman & Co.

Weinberg, Lieberman, CPA

FINANCIAL
DISCLOSURE OFFICE

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FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) STRAUB, CHESTER J	2. Court or Organization U.S. COURT OF APPEALS, 2ND CIR	3. Date of Report 5/8/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME JUDGE	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address UNITED STATES COURTHOUSE 500 PEARL STREET NEW YORK, NY 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. TRUSTEE	LENOX HILL HOSPITAL
2. TRUSTEE	MANHATTAN EYE EAR AND THROAT HOSPITAL - (A LENOX HILL HOSPITAL SUBSIDIARY)
3. EXECUTOR	"ESTATE # 1 " (SEE SECTION VIII)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1998	WILLIE FARR & GALLAGHER - LAW FIRM SEPARATION AGREEMENT PAYMENTS FOR INTEREST IN FIRM. THE AGREEMENT EXPIRED IN JULY 2003.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	WILLIE FARR & GALLAGHER SEPARATION AGREEMENT PAYMENTS	\$7500.00
2.	2003	NYS RETIREMENT SYSTEM (RETIREMENT BENEFIT)	5492.00

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (Dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	LENOX HILL HOSPITAL	TAXI FARES IN CONNECTION WITH TRUSTEE MEETINGS.
2.		THE HOSPITAL PAID FOR TAXI HOME FROM LENOX HILL HOSPITAL NEW YORK FOR THE FOLLOWING DAYS :
3.		1/31, 2/11, 3/19, 4/8, 5/28, 6/10, 9/16, 9/24, 10/22, 11/19, 12/9/03.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. WASHINGTON MUTAL

CO-OBLIGOR: MORTGAGE LOAN

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2.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. **SMITH BARNEY**									
2. JACKSON TWP NJ AMBAC INSD DTD 12/1/03 F/C 6/1/04		None	K	T	Buy	12-3	K		
3. NY ST DORM AUTH REVS CITY UNIV CONS- 5TH GEN-C MBIA 4/10/03	A	Interest	L	T	Buy	7-1	L		
4. NYC MUN WTR FIN AUTH WTR & SWR REV FSCL DTD 11/17/93	B	Interest			Redeemed	6-16	K		
5. NEW YORK ST DORM AUTH MENTAL HEALTH SVC.FAC IMP RV-B-AMBAC	B	Interest			Redeemed	8-15	K		
6. BROOKHAVENNY PUB IMP UNLTD TAX FGIC INSD BK ENTRY	C	Interest			Redeemed	10-1	K		
7. HEMPSTEAD TOWN NY INDL DV AGE RES RECOV AMER REF-FULL CO PJ	B	Interest			Redeemed	12-1	K		
8. NY CITY MUN WTR F/A WTR&SWR SYS RV SER B-AMBAC TCRS CSTD	C	Interest	L	T					
9. NEW YORK NY SER B BK ENTRY UNLTD TAX OID DTD 11/2/95	B	Interest	K	T					
10. NEW YORK NY SER B AMBAC TCRS OID UNLTD/TAX CUST RCP	D	Interest	M	T					
11. NEW YORK NY SER L MBIA B/E UNLTD TAX DTD 6/1/97	C	Interest	L	T					
12. NEW YORK NY SER H G/O BK ENTRY FGIC INSD DTD 4/1/98	B	Interest	K	T					
13. NYC G/O SER K U/T AMBAC INSD DTD 4/1/86 DUE 2/1/2005	B	Interest	K	T					
14. NYC SER G MBIA DD 1/9/96 DUE 2/1/2008	B	Interest	K	T					
15. NYS URB DEV CRP REV PJ PINE BARRENS MBIA DTD 2/1/96	D	Interest	M	T					
16. NEW YORK ST DORM AUTH REVS ST UNIV MBIA DTD 9/15/95 PREREF	B	Interest	K	T					
17. NEW YORK CITY G/O SER D REF BOOK MBIA 1/1/95 MAT 2/1/06	B	Interest	K	T					
18. NEW YORK ST TWY AUTH HWY & BRIDGE SER A AMBAC 8/1/96	B	Interest	K	T					

1. Income "Gain" Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000

Other Columns B' and D': F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$1,999,999 H2 = More than \$1,999,999

2. Value Codes: J = \$15,000 or less L = \$15,001-\$50,000 M = \$50,001-\$100,000 N = \$100,001-\$250,000

(See Column C' and D': P = \$250,001-\$500,000 Q = \$500,001-\$1,000,000 R = \$1,000,001-\$2,500,000 T0 = \$2,500,001-\$25,000,000

T1 = \$25,000,001-\$50,000,000 T2 = \$50,000,001-\$100,000,000 T3 = \$100,000,001-\$250,000,000

3. Value Method Codes: T = Transfer U = Other than Transfer V = Other than Transfer W = Other than Transfer

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. NEW YORK ST TWY AUTH HWY & BRIDGE SER A AMBAC 2/15/97	C	Interest	L	T					
20. NEW YORK CITY SER G AMBAC TCRS OIB 1/9/96 DUE 2/1/07	B	Interest	K	T					
21. NEW YORK SER T B ENTRY TAX OIB 4/24/97	B	Interest	K	T					
22. NEW YORK ST TWY AU SVC CONT REV LOC HWY & BRIDGE 8/15/95	B	Interest	K	T					
23. GREENPORT NY PUB MPT U/T FGIC 1/15/01 F/C 4.75 9/15/07	B	Interest	L	T					
24. LONG ISLAND NY PWR AU ELEC AMBAC 5/1/98 5.50 12/01/06	D	Interest	M	T					
25. NEW YORK NY SER F-CR FSA OIB 2/22/01 4.125 08/01/08	B	Interest	K	T					
26. NEW YORK CITY SER G-FSA U/T REG 1/9/96 5.90 2/1/05	D	Interest	M	T					
27. SMITH BARNEY MUNI NY MONEY MKT PORT CL A	A	Dividend	M	T					
28. BERKSHIRE HATHAWAY INC. CLASS B		None	L	T					
29. HEWLETT PACKARD	B	Dividend	L	T					
30. ENZO BIOCHEM INC COMMON		None	J	T					
31. HEALTH CARE PPTY INVS INC COMMON	B	Dividend	L	T					
32. GENERAL ELECTRIC	A	Dividend	J	T					
33. GREAT PLAINS ENERGY - KANSAS CITY POWER & LIGHT COMMON	B	Dividend	K	T					
34. CAPITAL ONE FINANCIAL	A	Dividend	J	T					
35. CITIGROUP	A	Dividend	J	T					
36. HOME DEPOT	A	Dividend	J	T					

1. Income/Gain Codes	A = \$0.000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$75,000	E = \$75,001-\$50,000
(See Columns B. and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = more than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D5)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P = \$1,000,001-\$5,000,000	Q = \$5,000,001-\$25,000,000	
	R = \$25,000,001 or more		S = \$25,000,001 or more		
3. Transaction Codes	T = Cash	U = Dividend	V = Capital Gain		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$10,000	E = \$10,001-\$50,000
(See Columns B* and D*)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = Above \$5,000,000	
2. Value Codes:	1 = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns A* and B*)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P = \$1,000,001-\$5,000,000	Q = \$5,000,001-\$25,000,000	
	R = \$25,000,001-\$75,000,000		S = Above \$75,000,000		
3. Other - All Codes	T = Acquisition	U = Low-Cost Basis Code	V = Generation	W = Other	

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1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$1,500	C = \$1,501-\$2,000	D = \$2,001-\$25,000	E = \$25,001-\$50,000
2. Loss Columns B1 and D4:	F = \$51,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
3. Loss Codes:	I = \$15,000 or less	J = \$15,001-\$50,000	K = \$50,001-\$100,000	L = \$100,001-\$250,000	
4. Columns J1 and J2:	M = \$25,000-\$50,000	N = \$50,001-\$100,000	O = \$100,001-\$250,000	P = \$250,001-\$500,000	
	Q = \$500,001-\$1,000,000	R = \$1,000,001-\$5,000,000	S = \$5,000,001-\$25,000,000	T = \$25,000,001-\$50,000,000	
5. Loss Codes: J1-J2:	U = \$15,000 or less	V = \$15,001-\$50,000	W = \$50,001-\$100,000	X = \$100,001-\$250,000	
	Y = \$25,000-\$50,000	Z = \$50,001-\$100,000	AA = \$100,001-\$250,000	AB = \$250,001-\$500,000	
	AC = \$500,001-\$1,000,000	AD = \$1,000,001-\$5,000,000	AE = \$5,000,001-\$25,000,000	AF = \$25,000,001-\$50,000,000	
6. Loss Codes: J1-J2:	AG = \$15,000 or less	AH = \$15,001-\$50,000	AI = \$50,001-\$100,000	AJ = \$100,001-\$250,000	
	AK = \$25,000-\$50,000	AL = \$50,001-\$100,000	AM = \$100,001-\$250,000	AN = \$250,001-\$500,000	
	AO = \$500,001-\$1,000,000	AP = \$1,000,001-\$5,000,000	AQ = \$5,000,001-\$25,000,000	AR = \$25,000,001-\$50,000,000	
7. Loss Codes: J1-J2:	AS = \$15,000 or less	AT = \$15,001-\$50,000	AU = \$50,001-\$100,000	AV = \$100,001-\$250,000	
	AW = \$25,000-\$50,000	AX = \$50,001-\$100,000	AY = \$100,001-\$250,000	AZ = \$250,001-\$500,000	
	BA = \$500,001-\$1,000,000	BB = \$1,000,001-\$5,000,000	BC = \$5,000,001-\$25,000,000	BD = \$25,000,001-\$50,000,000	
8. Loss Codes: J1-J2:	BE = \$15,000 or less	BF = \$15,001-\$50,000	BG = \$50,001-\$100,000	BH = \$100,001-\$250,000	
	BI = \$25,000-\$50,000	BJ = \$50,001-\$100,000	BI = \$100,001-\$250,000	BJ = \$250,001-\$500,000	
	CK = \$500,001-\$1,000,000	CL = \$1,000,001-\$5,000,000	CM = \$5,000,001-\$25,000,000	CN = \$25,000,001-\$50,000,000	
9. Loss Codes: J1-J2:	CO = \$15,000 or less	CP = \$15,001-\$50,000	CO = \$50,001-\$100,000	CO = \$100,001-\$250,000	
	CO = \$25,000-\$50,000	CO = \$50,001-\$100,000	CO = \$100,001-\$250,000	CO = \$250,001-\$500,000	
	CO = \$500,001-\$1,000,000	CO = \$1,000,001-\$5,000,000	CO = \$5,000,001-\$25,000,000	CO = \$25,000,001-\$	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
73. FEDERAL HOME LN BKS CONS BD 5.79% 4/27/2009	C	Interest	M	T	Buy	5-30	M		
74. FEDERAL HOME LN BKS CONS BD 6.715% 6/29/2009	C	Interest	M	T	Buy	5-30	M		
75. AMERICAN GROWTH FUND OF AMERICA CLASS F (GFAFX)	A	Dividend	M	T	Buy	10-17	M		
76.					Buy	12-15	J		
77. DODGE & COX STOCK FUND (DODGX)	B	Dividend	M	T					
78.					Buy	3-27	J		
79.					Buy	6-26	J		
80.					Buy	9-26	J		
81.					Buy	12-29	J		
82. TWEEDY BROWNE GLOBAL VALUE FUND	B	Dividend	M	T					
83.					Buy	12-29	J		
84. BARON GROWTH		None	M	T					
85. ROYCE TOTAL RETURN FUND	B	Dividend	M	T					
86.					Buy	3-10	J		
87.					Buy	6-26	J		
88.					Buy	9-10	J		
89.					Buy	12-4	J		
90. US TREAS NTS 6.5% 10/15/2006	D	Interest	N	T					

1. Income/Gain Codes: A = \$1,001 or less; B = \$1,001-\$2,500; C = \$2,501-\$5,000; D = \$5,001-\$15,000; E = \$15,001-\$50,000; F = \$50,001-\$100,000; G = \$100,001-\$1,000,000; H = \$1,000,001-\$5,000,000; I = more than \$5,000,000

2. Value Codes: J = \$1,000 or less; K = \$1,001-\$50,000; L = \$50,001-\$100,000; M = \$100,001-\$250,000; N = \$250,001-\$500,000; O = \$500,001-\$1,000,000; P = \$1,000,001-\$5,000,000; Q = \$5,000,001-\$25,000,000; R = more than \$25,000,000

3. Transaction Codes: S = Acquire; T = Dispose (Sale/Exchange/Redemption); U = Other (Gift/Transfer/Conversion)

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A. Description of Assets (including trust assets) Place "PX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
91. US TREAS NTS 6.125% 8/15/2007	D	Interest	N	T					
92. US TREAS NTS 4.75% 11/15/2008	D	Interest	N	T					
93. US TREAS NTS 6.0% 8/15/2009	E	Interest	N	T					
94. **FIDELITY IRA**									
95. DREYFUS/LAUREL FDS INC DISCIPLINED STOCK	A	Dividend	J	T					
96.					Buy	5-2	J		
97.					Buy	8-4	J		
98.					Buy	11-4	J		
99.					Sell	12-1	J		
100. FIDELITY CASH RESERVES	A	Dividend	J	T					
101. AMERICAN GROWTH (GFAFX)	A	Dividend	J	T	Buy	12-1	J		
102.					Buy	12-4	J		
103.					Buy	12-15	J		
104. **FIDELITY IRA**									
105. SPARTAN MARKET INDEX FUND	A	Dividend	J	T					
106.					Buy	6-6	J		
107.					Buy	12-5	J		
108. FIDELITY CASH RESERVES	A	Dividend	J	T					

1. Income/Gain Codes: A = \$1,000 or less; B = \$1,001-\$2,500; C = \$2,501-\$5,000; D = \$5,001-\$10,000; E = \$10,001-\$25,000;
 (See Column B) and (C) F = \$25,001-\$50,000; G = \$50,001-\$100,000; H = \$100,001-\$250,000; I = \$250,001-\$500,000; J = More than \$500,000
 2. Value Codes: J = \$1,000 or less; K = \$1,001-\$250,000; L = \$250,001-\$500,000; M = \$500,001-\$1,000,000; N = \$1,000,001-\$2,500,000;
 (See Column C) and (D) O = \$2,500,001-\$5,000,000; P = \$5,000,001-\$10,000,000; Q = \$10,000,001-\$25,000,000; R = \$25,000,001-\$50,000,000;
 (See Column E) and (F) S = \$50,000,001-\$100,000,000; T = \$100,000,001-\$250,000,000; U = \$250,000,001-\$500,000,000; V = More than \$500,000,000
 3. Value Method Codes: A = Acquisition; B = Transfer from spouse; C = Transfer from other; D = Redemption; E = Other

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	Date: Month- Day	Value Code 2 (J-P)	If not exempt from disclosure (4) Code 1 (A-H) Identity of buyer/seller (if private transaction)	
109. US TREAS NTS 4.75% 11/15/2008	A	Interest		T					
110. DODGE & COX STOCK FUND	A	Dividend	J	T					
111.					Buy	3-27	J		
112.					Buy	6-26	J		
113.					Buy	9-26	J		
114.					Buy	12-29	J		
115. TWEEDY BROWNE GLOBAL VALUE		Dividend		T					
116.						12-29			
117. BARON GROWTH		None	J	T					
118.									
119. CHESTER J. STRAUB INSURANCE TRUSTS #1 & #2									
120. NEW YORK LIFE	D	Dividend		T					
121.					PREMIUM	2003			PREMIUM PAYMENTS
122. (WITHDRAWAL RIGHT LAPSES UPON WAIVER THERE OF FOLLOWING									
123. ANNUAL PREMIUM CONTRIBUTION TO TRUST)									
124.									
125. ESTATE #1									
126. EMIGRANT SAVINGS BANK		Interest			Transferred				Transferred to estate checking

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
127. **SMITH BARNEY**									
128. FEDERAL HOME LOAN BANK DTD 8/15/01 5.5%	A	Interest			Sold	12-30	K		
129. FEDERAL NATL MTG ASSN DTD 10/18/01 5.5%	A	Interest			Sold	12-30	K		
130. US TREASURY NOTES SER D 2005 DTD 11/15/1995 5.875%	A	Interest			Sold	12-30	K		
131. US TREASURY NOTES SER F-2007	A	Interest			Sold	12-30	K		
132. SMITH BARNEY		None	L	T		12-30	L		Received from sale of bonds
133. CITIBANK ESTATE CHECKING		None	K	T					

Income-Gain Codes	A = \$1,000 or less (See Columns B1 and B4)	B = \$1,001-\$5,000	C = \$5,001-\$25,000	D = \$25,001-\$100,000	E = \$100,001-\$500,000
Value Codes	F = \$100,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	I = None
Transaction Codes	J = \$100,001-\$100,000	K = \$100,001-\$1,000,000	L = \$1,000,001-\$5,000,000	M = \$5,000,001-\$25,000,000	N = More than \$25,000,000
Transaction Codes	O = \$100,001-\$100,000	P = \$100,001-\$1,000,000	Q = \$1,000,001-\$5,000,000	R = \$5,000,001-\$25,000,000	S = More than \$25,000,000
Transaction Codes	T = \$100,001-\$100,000	U = \$100,001-\$1,000,000	V = \$1,000,001-\$5,000,000	W = \$5,000,001-\$25,000,000	X = More than \$25,000,000

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

STRAUB, CHESTER J

Date of Report

5/8/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

ESTATE # 1

THE ESTATE ASSETS HAVE BEEN DISTRIBUTED TO THE BENEFICIARIES IN JANUARY 2004. JUDGE STRAUB WAS NOT A BENEFICIARY AND DID NOT RECEIVE ANY ASSETS OR COMPENSATION FROM THE ESTATE.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

STRAUB, CHESTER J

Date of Report

5/8/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/6/04

NOTE: A
BE SUB

IF FULLY FALSE OR FAILS TO FILE THIS REPORT MAY
5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544