

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hicks, Jr., S. Maurice	2. Court or Organization U.S. District Co., Western La.	3. Date of Report 8/25/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. Courthouse 300 Fannin St., Suite 5101 Shreveport, LA 71101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Lecturer	Centenary College of Louisiana
2. Board of Directors Member	Children and Arthritis, Inc. (501(c) (3) organization)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Centenary College of Louisiana - Teaching	\$2,500
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Paralegal services - self-employed
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Hibernia National Bank	Mortgage - Rental Property #1, Ruston, LA	L
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Capital One Bank Accounts	D	Interest	M	T					
2. State Farm Life Insurance Co. Account		None			Transfers	throughout the year			
3. Northwestern Mutual Life Insurance Co. Account		None			Transfers	throughout the year			
4. Timber Land, Lincoln Parish, Louisiana - 1/1/96, \$30,000		None	K	R					
5. Capital One Bank Stock Common (formerly Hibernia Nat'l)		None			Sold	06/30	J	A	
6. Rental Property #1, Ruston, LA - 07/03/01, \$75,427	D	Rent	L	R					
7. IRA # 1 DGAGX Mutual Fund	A	Dividend			Add'l Buy Sell	04/01 06/24	J K	A	
8. IRA # 1 FMAGX Mutual Fund	B	Dividend			Add'l Buy Sell	05/05 06/24	J K	A	
9. IRA # 1 Capital One Money Fund	A	Interest	M	T					
10. Left intentionally blank		None							
11. VAC Federal Credit Union		None			Transfers	throughout the year			
12. Barksdale Federal Credit Union	A	Interest	K	T					
13. Red River Syndicate LP	A	Int./Div.	K	U					
14. IRA #1 Martin Midstream Partners LTD	A	Dividend			Add'l Buy Sell	02/14 10/24	J J	A	
15. Janney, Montgomery Scott Brokerage Account - Cash	A	Interest	J	T					
16. Left Intentionally blank		None							
17. IRA #1 Encana Corp	A	Dividend			Sold	10/29	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. IRA #1 Canadian Oil Sands	B	Dividend			Add'l Buy Sell	03/04 10/24	J J	A	
19. IRA #1 XTO Energy	A	Dividend	J	T	Add'l Buy Part Sell	01/15 10/08	J K	E	
20. IRA #1 Valero Energy	A	Dividend			Add'l Buy Sell	03/12 10/24	J K	A	
21. IRA #2 Conoco Phillips	A	Dividend			Add'l Buy Sell	06/02 10/24	J J	A	
22. IRA #1 EnergySouth	A	Dividend			Add'l Buy Sell	01/02 10/03	J K	D	
23. Left intentionally blank		None							
24. IRA #1 Entrada Networks		None	J	T					
25. IRA #1 Globalnet		None	J	T					
26. Left intentionally blank		None							
27. Vkipox-30 index	B	Dividend	J	T	Sold (part)	06/04	J	A	
28. Left intentionally blank		None							
29. Cabelas	A	Dividend	J	T	Sold (part)	06/04	J	A	
30. Future Link Corp		None	J	T	Was a partial sell in 2007.				
31. Left intentionally blank		None							
32. WSR Energy Resources		None			Sold	06/30	J	A	
33. Giant Studios		None	J	T	Was a partial sell in 2007.				
34. IRA #2 Cpaital One Money Fund	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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☐ NONE (No reportable income, assets, or transactions.)

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35. Left intentionally blank		None							
36. IRA #2 Canadian Oil Sands 140	A	Dividend			Sold	10/29	J	C	
37. Left intentionally blank		None							
38. Left intentionally blank		None							
39. Left intentionally blank		None							
40. IRA #2 Valero Energy 200		None			Sold	01/18	J	D	
41. Left intentionally blank		None							
42. Left intentionally blank		None							
43. Left intentionally blank		None							
44. IRA #2 XTO Energy	A	Dividend			Add'l Buy Sell	01/18 01/22	J K	E	
45. Left intentionally blank		None							
46. Left intentionally blank		None							
47. Left intentionally blank		None							
48. IRA #2 XTO Energy 100		None			Sold	01/22	J	A	
49. Left intentionally blank		None							
50. Left intentionally blank		None							
51. IRA #1 XTO Energy 200		None			Sold	01/22	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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[illegible]

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000
L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000
S=Assessment
W=Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
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E = \$15,001 - \$50,000

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69. Biosante Pharmaceutical		None	J	T					
70. FT Global Infran	A	Dividend	J	T	Add'l Buy Part Sell	02/29 07/02	J J	A	
71. FT Greater Asia	A	Dividend	J	T	Add'l Buy Part Sell	04/30 07/02	J J	A	
72. Kinetics Paradigm	A	Distribution	J	T					
73. NYSE Group Inc	A	Dividend	J	T					
74. VK Argus Trmarnd	A	Dividend	J	T	Add'l Buy Part Sell	01/10 06/04	J K	A	
75. The Sequoia Fund		None			Sold	06/30	J	A	
76. Canadian Solar		None			Sold	07/01	J	A	
77. Cheseapeake Energy	A	Dividend			Add'l Buy Sell	02/11 10/06	J J	A	
78. Conanhia Vale Do Rio Doce		None			Sold	01/02	J	A	
79. Denbury Resources		None			Add'l Buy Sell	01/07 06/11	J J	A	
80. JA Solar Holdings		None			Add'l Buy Sell	01/22 06/10	J J	A	
81. Left intentionally blank		None							
82. Peerless Manufacturing		None			Sold	01/16	J	A	
83. Petrohawk Energy		None			Add'l Buy Sell	06/11 10/06	J J	A	
84. Left intentionally blank		None							
85. Left intentionally blank		None							

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86. SunPower		None			Add'l Buy Sell	02/11 06/10	J J	 B	
87. IRA #1 BHP Limited	A	Dividend			Sold	10/29	J	A	
88. Left intentionally blank		None							
89. IRA #2 Cypress Semiconductor		None			Add'l Buy Sell	09/29 10/24	J J	 A	
90. IRA #2 International Power PLC	A	Dividend			Sold	10/24	J	A	
91. Left intentionally blank		None							
92. IRA #1 Dodge and Cox Int'l Stock Fd	A	Dividend	J	T	Add'l Buy	01/02	J		
93. IRA #1 Denbury Resources		None			Sold	08/13	J	A	
94. IRA #1 NYSE Euronext	A	Dividend			Sold	10/29	J	A	
95. IRA #1 Reliant Energy		None			Sold	01/03	J		
96. IRA #1 Street Tracks Gold Trust		None			Sold	10/24	J	A	
97. IRA #1 Genesis Energy LP	A	Dividend			Add'l Buy Sell	02/14 10/24	J J	 A	
98. IRA #2 Cia Vale Do Rio Doce	A	Dividend			Sold	10/29	J	A	
99. IRA #2 Cree		None			Sold	07/28	J	A	
100. IRA #2 Denbury Resources		None			Sold	08/13	J	A	
101. IRA #2 Sunpower		None			Add'l Buy Sell	02/19 07/01	J J	 A	
102. IRA #2 Encana	A	Dividend			Add'l Buy Sell	05/13 07/17	J K	 E	

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2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other			
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103. IRA #2 Petrohawk Energy		None			Sold	07/28	J	C	
104. McDermott Int'l		None			Sold	01/07	J	A	
105. canadian solar		None			Sold	01/16	J	C	
106. ALJ Regional Holdings		None			Sold	06/30	J	A	
107. Ditech Networks		None			Sold	06/30	J	A	
108. Morgan Keegan cash	A	Int./Div.	J	T					
109. Encana Corp	A	Dividend	J	T	Buy	07/30	J		
110. XTO Energy	A	Dividend	J	T	Buy	07/25	J		
111. A Power Energy Gen Sys		None			Buy Sell	06/17 06/27	J J	A	
112. BPZ Resources Inc.		None			Buy Sell	06/16 06/27	J J	A	
113. Cubic Energy Inc		None	J	T	Buy	08/11	J		
114. McMoran Exploration		None			Buy Sell	06/04 06/16	J J	A	
115. Microsoft Corp		None			Buy Sell	06/04 06/17	J J	A	
116. Superior Energy Svcs Inc		None			Buy Sell	06/04 06/16	J J	A	
117. IRA #3- Terra Nitrogen Co LP	A	Dividend	J	T	Buy	02/29	J		
118. GMX Resources Inc		None			Buy Sell	07/07 10/29	J J	A	
119. IRA #1 Deltic Timber Corp	A	Dividend			Buy Sell	07/01 10/24	J J	A	

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120. IRA #1 Penn West Energy	A	Dividend			Buy Sell	07/01 10/24	J J	A	
121. IRA #2 PMFG Inc		None			Buy Sell	01/08 10/24	J J	A	
122. IRA #2 JA Solar Hldg Co Ltd		None			Buy Sell	02/19 07/01	J J	A	
123. IRA #2 Terra Nitrogen Co LP	A	Dividend			Buy Sell	02/29 10/24	J J	A	
124. IRA #2 Atlas Energy Resources, LLC	A	Dividend			Buy Sell	04/14 10/24	J J	A	
125. IRA #2 GMX Resources Inc		None			Buy Sell	07/02 10/24	K K	A	
126. IRA #2 UltraShort Russell-1000 Growth		None			Buy Sell	10/07 10/08	J J	A	
127. IRA #2 Short QQQ Proshares		None			Buy Sell	10/09 10/14	K K	A	
128. IRA #2 UltraShort Midcap		None			Buy Sell	10/10 10/14	K J	A	
129. Atlas Energy		None			Buy Sell	04/14 04/18	J J	A	
130. Brigham Expl Co		None			Buy Sell	06/11 07/01	J J	A	
131. Encore Acquisition Co		None			Buy Sell	04/18 06/27	J J	C	
132. Enterra Energy Corp		None			Buy Sell	05/08 06/27	J J	A	
133. Goodrich Pete Corp		None			Buy Sell	06/27 10/06	K J	A	
134. Gran Tierra Energy Inc		None			Buy Sell	06/10 07/29	J J	A	
135. Mannatech Inc	A	Dividend			Buy Sell	02/26 04/10	J J	A	
136. Particle Drill		None			Buy Sell	08/21 10/06	J J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Penn Virginia Corp		None			Buy Sell	06/27 10/06	J J	A	
138. XTO Energy Inc	A	Dividend			Buy Sell	2/26 06/27	J J	A	
139. Scottrade Cash Acct.	A	Interest	K	T	Transfers made throughout the year.				
140. Chesapeake Energy-Janney Acct.	A	Dividend	J	T	Buy	07/25	J		
141. Petrohawk Energy- Janney Acct.		None	J	T	Buy Part Sell	06/16 07/16	K J	B	
142. Biosante Pharmaceutical-Scottrade		None			Buy Sell	06/11 06/27	J J	A	
143. Denbury Resources- MK		None			Buy Sell	01/07 10/29	J J	A	
144. IRA #2- RRI Energy		None			Sold Purchased prior to 2008.	01/03	J	A	
145. IRA #2- Encore Acquisition Co		None			Buy Sell	05/08 10/24	J J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544