

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) JACOBS, DENNIS	2. Court or Organization Court of Appeals, 2d Circuit	3. Date of Report 6/25/05
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5. Report Type (check appropriate type) ☐ Nonrecurrent, ☐ Data 3/20/92 ☐ Initial ☒ Annual ☒ Final	6. Reporting Period 1/1/04 to 12/31/04
7. Chambers or Office Address U. S. Courthouse 40 Foley Square New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only, see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only, see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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DISCLOSURE OFFICE

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "DQ" after each asset exempt from price disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-J)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Muni Bond: NY GO Ser B Unltd Tax 8/15/13	C	Interest			Call	08/16	K		
2. Muni Bond: NYC Ser F U/T Book Entry Dtd 3/1/95	B	Interest	K	T					
3. Muni Bond: New York NY Ser E FGIC 11/21/96	C	Interest			Exchanged	08/16	L		
4. Muni Bond: New York NY Ser B Book Entry Unltd 8/15/96	C	Interest	L	T					
5. Muni Bond: New York, NY Rfdg - Ser A FSA-CR 7/23/98	C	Interest	L	T					
6. Muni Bond: New York City Ser H G/O Book Entry 1/28/97	D	Interest	M	T					
7. Muni Bond: New York State Twy Auth Svc. Hwy & Brdg 8/1/00	B	Interest	L	T					
8. Muni Bond: New York City Ser L G/O MBIA Insd 6/10/97	C	Interest	L	T					
9. Muni Bond: New York NY Ser D FGIC 11/18/97	C	Interest	L	T					
10. Muni Bond: New York NY Ser L-MBIA-IBC 6/10/97	B	Interest	K	T					
11. Muni Bond: Long Island Pwr Au Elec Sys Gen Rv-A 5/1/98	B	Interest	K	T					
12. Muni Bond: Long Island Pwr Au Elec sys Rev GenMBIA 10/15/98	C	Interest	L	T					
13. Muni Bond: New York NY Ser H-FGIC-TCRS UNLTD/TAX 3/29/01	B	Interest	K	T					
14. Muni Bond: New York NY Ser F-MBIA IBC U/T OID 11/21/96	D	Interest			Exchanged	10/05	L		
15. Muni Bond: New York ST DORM AUTH REVS SER-B-E.T.M. 7/1/90	B	Interest	K	T					
16. Muni Bond: New York ST DORM AUTH REVS RFDG-ST UNIV 8/1/93	C	Interest	L	T					
17. Muni Bond: New York ST TWY AUTH SVC CRCT REV RFDG 8/15/98	C	Interest	L	T					

1. Unusual Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$60,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes: (See Columns C1 and D3)	I = \$15,000 or less N = \$250,001-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$100,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = More than \$5,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = None	S = Assessment W = Estimated	T = Cash/Markets	

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Muni Bond: New York ST TWY AUTH SVC CRTC REV RFDG F9/1/97	B	Interest	K	T					
19. Muni Bond: New York ST URB DEV CRP RV-B- CRCTL FACS 11/1/98	C	Interest	L	T					
20. Muni Bond: LI NY Pwr Au Elec Sys Gen Rv DTD 5/1/98	D	Interest	M	T					
21. Muni Bond: Greenwich NY Cent Sch Dist Rfdg DTD 6/24/02	C	Interest	L	T					
22. Muni Bond: NY St Twy Auth Hwy & Brdg Rfdg Ser C 7/1/02	C	Interest	L	T					
23. Muni Bond: NY St TWY Auth Hwy & Brdg Rfdg Ser C 7/1/02	C	Interest	L	T					
24. Muni Bond: NY St Twy Auth Hwy & Brdg Rfdg Ser B DTD 7/1/00	D	Interest	M	T					
25. Muni Bond: NYS Twy Auth Svc Cont Rev Rfdg DTD 8/7/02	C	Interest	L	T					
26. Muni Bond: NYS TWY Auth Hwy & Brdg TR Fd Ser A FSA dated 3/1	B	Interest	K	T					
27. Muni Bond: NY St UDC Corr & Youth Facs Svc Contract 11/26/02	B	Interest	L	T					
28. Muni Bond: NY Ser F MBIA IBC OID 11/21/96		None	K	T	Exchange	10/05			
29. Muni Bond: New York NY Ser F MBIA IBC OID 11/21/9		None	L	T	Exchange	10/05			
30. Muni Bond: New York NY Ser E FGIC UT 11/21/96		None	K	T	Exchange	08/16			
31. Muni Bond: New York NY Ser E FGIC U/T 11/21/96		None	K	T	Exchange	08/16			
32. Money Mkt.: Smith Barney Muni NY Money Mkt. Portfolio	A	Dividend	J	T					
33. Checking: Chase Manhattan Bank, NYC	A	Interest	J	T					
34. Checking: Amalgamated Bank of New York	A	Interest	J	T					
35. Chase Manhattan Mortgage Corp: Escrow Account	A	Interest	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,999	C = \$3,000-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Adjusted	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (excluding trust assets) Place "(X)" after each asset exchange from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
36. Fidelity Rollover IRA	F	Dividend	P1	T					
37. - Fidelity Spartan 500 Index					Addl Purch	12/28	M		
38. - Vanguard Index Trust S&P 500 Portfolio									
39. - Fidelity Cash Reserves									
40. - TCW Galileo Select Equities Class I					Sold	12/29	M		
41. - Baron Growth Fund					Addl purch	12/28	K		
42. - Dodge & Cox Stock Fund									
43. - Royce Total Return Fund					Addl Purch	12/28	K		
44. American Growth Fund of America									
45. Dreyfus Mid-Cap Fund									
46. - Bond: Fedl Home Loan Bank Bonds									
47. - Bond: Fedl Farm Cr Bks Cons Bd									
48. - Bond: Fedl Farm Credit Banks Funding Corp									
49. - Bond: Fedl Home Ln Bk 5/13/11									
50. - Bond: Fedl Farm CR Bks FDG 12/20/10					Addl purch	02/27	K		
51. - Bond: Fedl Frn Cr BKS 4/11/12									
52. - Bond: Fedl Home Ln Bks Cons Bd 6/29/09									
53. - Bond: Fedl Home Ln Bk 11/15/11					Addl purch	02/27	K		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$26,000,000	
	P3 = \$26,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, transfer, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
54. - Bond: Fedl Home Ln Bk 11/15/11									
55. - Bond: Fedl Home Ln Bks Glibl BD 9/16/13					Buy	05/06	L		
56. TIAA-CREF		None	L	T					
57. - CREF Stock Account									
58.									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$6,000,000 H2 = More than \$6,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$30,000 L = \$30,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

With respect to Part VII. Investments and Trusts:

Line 3: A single bond valued at L was exchanged for two bonds of the same issue, each valued at K. The original bond had no value at the end of the reporting period. See lines 30 & 31 for the listing of the two new bonds.

Line 14: A single bond valued at L was exchanged for two bonds of the same issue valued at K & L. The original bond had no value at the end of the reporting period. See lines 28 & 29 for the listing of the two new bonds.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4/25/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FLING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544