

UNITED STATES COURT OF APPEALS
TENTH CIRCUIT
4-562 UNITED STATES COURTHOUSE
TULSA, OKLAHOMA 74103-3877

CHAMBERS OF
STEPHANIE K. SEYMOUR
CIRCUIT JUDGE

TELEPHONE
(918) 699-4745
FAX
(918) 699-4746

May 17, 2004

Honorable Mary M. Lisi, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

**SELF INITIATED
AMENDMENT**

Re: Financial Disclosure Report – Calendar Year 2002

Dear Judge Lisi:

In completing my Financial Disclosure Report for 2003, I discovered I inadvertently omitted an investment disposition. AIM Value FD CLS held by Trust #2 and reported on line 67 of the report dated April 15, 2003, was disposed as follows:

<i>1) Type</i>	<i>2) Date</i>	<i>3) Value</i>
Sell	12/31/2002	J

There was no gain to report.

Please let me know if you have any questions.

Sincerely,


Stephanie K. Seymour

SKS:js

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) SEYMOUR, STEPHANIE K	2. Court or Organization U.S. COURT OF APPEALS	3. Date of Report 5/17/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE--ACTIVE	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 4-562 U.S. COURTHOUSE 333 WEST FOURTH TULSA, OK 74103-3877	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2. Trustee	Trust #2
3. Executive Board Member	Oklahoma City University School of Law, Oklahoma City, OK

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORTName of Person Reporting
SEYMOUR, STEPHANIE KDate of Report
5/17/2004**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME
(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2003

self-employed - lawyer

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1.

2.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SEYMOUR, STEPHANIE K

Date of Report

5/17/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

i.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

i.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
SEYMOUR, STEPHANIE K

Date of Report
5/17/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE. (No reportable income, assets, or transactions)									
1. Bank of Okla. Money Market	B	Interest	M	T					
2. Bank of Okla. Money Market	D	Interest	L	T					
3. So. Hills Ctry. Clb. stock		None	K	U					
4. F&M Bank Money Market	A	Interest	L	T					
5. Condo. Tulsa, OK (purchased 11/27/1995)		None	M	R					
6. IRA, Merrill Lynch, Tulsa	B	Dividend	M	T					
7. -Merrill Lynch Cash Fund									
8. -Abbott Labs									
9. -Marsh & McLennan					Sell	11/5	K	D	
10. -Phoenix Engemann Sm&Mid Cap									
11. -Putnam Int'l Gr.					Sell	11/5	K		
12. Brokerage #1									
13. -M-L Cash Funds	B	Interest	M	T					
14. -Del Monte Foods Co.	A	Dividend	J	T					
15. -DuPont El De Nemous	A	Dividend	K	T					
16. -General Electric	A	Dividend	K	T					
17. -Heinz HJ Co.	A	Dividend	K	T					
18. -SBC Communications (X)	A	Dividend			Sell	12/20	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Res. Estimate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
SEYMOUR, STEPHANIE K

Date of Report
5/17/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Brokerage #2									
20. -Merrill Lynch Cash Fund	A	Int. & Div.	J	T					
21. -BOK Fincl. Corp. New		None	K	T	Buy	1/15	J		
22.					Buy	1/16	K		
23. -Hewlett Packard Co. Del.	A	Dividend	K	T	Buy	6/5	K		
24. -J P Morgan Chase & Co.	A	Dividend	K	T	Buy	1/7	K		
25. -Kellogg Co PV 25CT	A	Dividend	K	T	Buy	6/5	K		
26. -Merck & Co.		None	K	T	Buy	12/17	K		
27. -Microsoft Corp.	A	Dividend	K	T	Buy	6/5	K		
28. -Pfizer Inc. Del	A	Dividend	K	T	Buy	6/5	K		
29. -Proctor Gamble	A	Dividend	K	T	Buy	7/23	K		
30. -Royal Dutch Petr. Eur. 56	A	Dividend	L	T					
31. -Sara Lee Corp.	A	Dividend	K	T	Buy	6/5	K		
32. -Starbucks Corp.		None	K	T	Buy	6/5	K		
33. -Washington Mutual Inc.		None	K	T	Buy	12/17	K		
34. -Whole Foods Mkt. Inc.	A	Dividend	K	T	Buy	1/7	K		
35. Brokerage #3									
36. -Merrill Lynch Cash Fund	A	Interest	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D5)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraised	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Real Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
SEYMOUR, STEPHANIE K

Date of Report
5/17/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. -BOK Financial Corp.		None	M	T	Buy	1/16	L		
38.					Donated				
39.					Donated				
40. Brokerage #4									
41. -Merrill Lynch Cash Fund	B	Interest	M	T					
42. IRA, Merrill Lynch Capital Fund	A	Dividend	J	T					
43. Keogh Plan, Merrill Lynch, Tulsa	D	Dividend	P1	T					
44. -Merrill Lynch Cash Fund									
45. -BOK Financial Corp.									
46. -Bristol-Myers Squibb					Buy	6/3	K		
47. -Caterpillar Inc. Del.					Sell	7/1	L	D	
48. -Exxon Mobil Corp.									
49. -General Electric									
50. -General Mills					Sell	7/1	K	D	
51. -General Motors					Buy	12/12	K		
52. -Home Depot					Sell	5/14	K	A	
53. -Kellogg Co. PV 25ct					Buy	6/3	K		
54. -Leucadia					Buy	11/12	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Pool Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
SEYMOUR, STEPHANIE K

Date of Report
5/17/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
55. -Merck & Co.					Buy	12/12	K		
56. -Oneck Inc.					Buy	6/3	K		
57. -Oshkosh B Gosh					Sell	6/3	K		
58. -Pepsico					Sell	7/1	K	D	
59. -Pfizer Inc.									
60. -SBC Communications PVS1					Buy	6/3	K		
61. -SW Airlines					Sell	7/1	K	D	
62. -Union Planters Corp.					Buy	6/3	K		
63. -Wms. Cos. Del					Sell	7/1	J		
64. -Wms Comm. Grp. Sr Rdmble Ntes									
65. -Wittel Commntns. Inc.									
66. Trust #1 (trustee & part beneficiary)	A	Int. & Div.			Distributed	5/30	K		Trust Beneficiaries
67. -F&M Bank, Tulsa, OK-Account					Closed	2/4			
68. -Merrill Lynch Cash Fund					Distributed	5/30			
69. -SBC Communications					Distributed	5/30			
70. -Spartan US Gov't MM					Sell	5/19	J		
71. Trust #2	D	Int. & Div.	M	T					
72. -Cash Fund									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q = Appraisal	R = Com (Real Estate Comp)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
SEYMOUR, STEPHANIE K

Date of Report
5/17/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
73. -BOK Fincl. Corp. New									
74. -Gen. Elect. Cap Corp. Bonds									
75. -Gen. Elec. Cap. Corp. Notes									
76. -Gold Corp. Inc.									
77. -I AM Gold Corp.									
78. -Wal-Mart Normal Bonds									
79. -Phoenix Hollister Small									
80. -Procter & Gamble Notes									
81. -SBC Communications (X)					Sell	12/17			
82. -Viacom Inc. Sr. Retail Deb.									

1. Income/Gain Codes.	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SEYMOUR, STEPHANIE K

Date of Report

5/17/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Report for period 1/1/2002 through 12/31/2002 dated 4/15/2003 -- Line 67, AIM Value FD CLC was sold 12/31/2002 and the disclosure of the sale was inadvertently omitted from the report. The report for year 2002 has been amended by letter dated May 17, 2004.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SEYMOUR, STEPHANIE K

Date of Report

5/17/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 17, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544