

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haik, Richard T.	2. Court or Organization Wester District of Louisiana	3. Date of Report 06/18/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 800 Lafayette Street Suite 4200 Lafayette, LA 70501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. President	Colonel J. George & S. Saloom Hannie Community Home, Inc.
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Haik, Richard T.

FINANCIAL DISCLOSURE REPORT
Page 2 of 11

Name of Person Reporting

Haik, Richard T.

Date of Report

06/18/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Louisiana State Bar Association	6/10/09	Sandestin, FL	Seminar Speaker	Travel, lodging, and meals
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Haik, Richard T.

Date of Report

06/18/2010

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)☐ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. St. Landry Bank and Trust Company	Bank loan to purchase investment in BPLVisions, LLC formerly The McGeeHee Group, LLC	M
2. USAA Federal Savings Bank	Bank loan to purchase residential rental property for [REDACTED]	L
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Haik, Richard T.

Date of Report

06/18/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Iberia Bank Accounts	A	Interest	K	T					
2. Farmers Merchants Bank & Trust Account	A	Interest	J	T					
3. Gulf Coast Bank CDs Account	A	Interest	L	T					
4. Capital One Bank, NA CDs Account	C	Interest	M	T					
5. Regions Bank CDs Account	A	Interest	M	T					
6. Home Bank CDs Account	B	Interest	K	T					
7. Farmers Merchants Bank & Trust CDs Account	A	Interest	J	T					
8. Capital One Bank, NA Accounts	C	Interest	K	T					
9. Midsouth Bank, NA Accounts	C	Interest	L	T					
10. Regions Bank Accounts	B	Interest	K	T					
11. Brokerage Account #1									
12. --Diamond Offshore Drilling, Inc. Common Stock	A	Dividend	J	T					
13. --Iberiabank Corp Common Stock	A	Dividend	J	T					
14. --Rowan Cos Inc. Common Stock		None	J	T					
15. --Edward Jones Money Market Account	A	Dividend	K	T					
16. Brokerage Account #2									
17. --San Juan Basin Royalty Trust	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D1)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting	Date of Report
Haik, Richard T.	06/18/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Xerox Capital Trust Capital Securities	A	Interest	J	T					
19. --Camden County, NJ Pollution Control Bonds	A	Interest	J	T					
20. --Capital Projects Fin Auth FL Bonds	A	Interest	J	T					
21. --Charlton County, GA Solid Waste Revenue Bonds	A	Interest	J	T					
22. --DWS Managed Municipal Bond Fund	A	Int./Div.	J	T					
23. --Highlands County, FL Ind Dev Auth Bonds	A	Interest			Redeemed	09/21/09	J	A	
24. --Hudson County, NJ Solid Waste Revenue Bonds	A	Interest	J	T					
25. --Ohio State Solid Waste Disposal Revenue Bonds	A	Interest	J	T					
26. --Port of New Orleans, LA Board of Commissioners	A	Interest	J	T					
27. --Putnam Tax Exempt Income	A	Int./Div.	J	T					
28. --St. Paul, MN Port Authority Industrial Revenue Bonds	A	Interest	J	T					
29. --Seligman Louisiana Municipal Fund	A	Int./Div.			Merged (with line 77)	7/10/09	J	A	
30. --Allegheny County, PA Hospital Bonds	A	Interest	J	T					
31. --Angola, IND Healthcare Facs Revenue Bonds	A	Interest	J	T					
32. --Ben Hill County, GA Revenue Bonds	B	Interest	K	T					
33. --Bibb County, GA Development Authority Bonds	C	Interest	K	T					
34. --Cambria County, PA Revenue Bonds	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Haik, Richard T.

Date of Report

06/18/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-40 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --Central Arizona Irrigation & Drainage District Bonds	A	Interest	J	T	Partial Redm	06/01/09	J	A	
36. --Connecticut Development Authority Bonds	B	Interest	K	T					
37. --Crawford County, GA Development Authority Bonds	B	Interest	J	T					
38. --Denham Springs-Livingston Housing Bonds	A	Interest	J	T					
39. --East Carrol Parish, LA Law Enforcement Bonds	A	Interest	J	T					
40. --Escambia County, FL Health Facs Bonds	A	Interest	J	T					
41. --Hammond-Tangipahoa Home Mortgage Bonds	A	Interest	J	T					
42. --Hancock County, TN Health Ed Bonds	A	Interest	J	T					
43. --Illinois Health Facs Auth Revenue Bonds	A	Interest			Redeemed	07/01/09	J	A	
44. --Lafayette, LA Public Trust Fin Auth Bonds	A	Interest	J	T					
45. --Langhorne Manor Boro, PA Higher Education Bonds	A	Interest	J	T					
46. --Louisiana State University Bonds	A	Interest	J	T					
47. --Montgomery-Southside, AL Revenue Bonds	B	Interest	K	T					
48. --New Jersey Health Care Facilities Bonds	B	Interest	K	T					
49. --Peach County, GA Development Authority Revenue Bonds	B	Interest			Redeemed	09/15/09	K	A	
50. --St. Paul, MN Port Authority Bonds	A	Interest	J	T					
51. --St. Paul, MN Port Auth Hsg & Red	A	Interest	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessor's W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Haik, Richard T.

Date of Report

06/18/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --St. Tammany Parish, LA Hospital Bonds	A	Interest	J	T					
53. --Savannah, GA Economic Development Authority Bonds	A	Interest	J	T					
54. --Shreveport, LA Water & Sewer Revenue Bonds	A	Interest	J	T					
55. --Sumner, IL Healthcare Facilities Revenue Bonds	A	Interest	J	T					
56. --Gila Cnty, AZ IDA Revenue Bonds	C	Interest			Redeemed	12/14/09	J	C	
57. --Tillman County, OK Revenue Bonds	B	Interest	J	T					
58. --West Feliciana Parish, LA Bonds	A	Interest			Redeemed	03/17/09	J	A	
59. --West Frankfort, IL Healthcare Bonds	A	Interest			Redeemed	04/01/09	J	A	
60. --Summers County, WV Solid Waste Disposal Bonds		None			Redeemed	02/26/09	J	A	
61. --Verizon Communications Common Stock	A	Dividend	J	T					
62. --Tjiwi Kimia Intl Finance Co Senior Notes		None	J	T					
63. --Tricom SA Guaranteed Note		None	J	T					
64. --Cablevision SA Notes	A	Interest	J	T	Redeemed (part)	10/01/09	J	A	
65. --Williams Communications Group		None	J	T					
66. --Money Market Fund Investment Shares	A	Dividend	M	T					
67. --Hartford Income Shares Fund, Inc. Mutual Fund	A	Dividend	J	T					
68. --MFS Multimarket Income Trust Mutual Fund	A	Dividend	J	T					

1. Income Gain Codes (See Columns B1 and D1)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Haik, Richard T.

Date of Report

06/18/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
69. --San Juan Basin Royalty Trust Units	A	Royalty	J	T					
70. --At Home Corp Subordinated Notes		None	J	T					
71. --Capital Income Builder Fund A	A	Dividend	K	T					
72. --Rapides Fin Auth LA Revenue Cleco	B	Interest	K	T					
73. --Regions Financial Corp	A	Dividend	J	T					
74. --Global Inds Ltd Stock		None			Sold	09/11/09	K	D	
75. --Apple Bank For Savings CD	A	Interest			Redeemed	01/08/09	K	A	
76. --LA State Gas & Fuels Tax Ser A Revenue (X)	A	Interest	J	T					
77. Seligman National Municipal Fund	A	Int./Div.	J	T					See Note in Part VIII.
78. Individual Retirement Account #1	D	Dividend	M	T					
79. --American Balanced Fund									
80. --American Mutual Fund									
81. --Bond Fund of America									
82. --Capital World Growth & Income Fund									
83. --Europacific Growth Fund									
84. --Growth Fund of America									
85. --Income Fund of America									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P5 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT
Page 9 of 11

Name of Person Reporting

Haik, Richard T.

Date of Report

06/18/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
86. --New Perspective Fund									
87. --Washington Mutual Investors Fund									
88. Royalty Interest - Lafayette Parish, LA (Y)		None							See Note in Part VIII.
89. Rental Property #2, Lafayette, LA (Y)		None							See Note in Part VIII.
90. Colonel J. George & S. Saloom Hannie	C	Distribution	M	W					
91. BPL Visions, LLC formerly The McGehee Group, LLC		None	M	W					
92. Home Bancorp, Inc. Stock		None	J	T					
93. Ultra Pure Technologies, Inc.		None	J	T					

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
PJ = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 10 of 11

Name of Person Reporting

Haik, Richard T.

Date of Report

06/18/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Item#77 - The item resulted from the merger detailed by item #29.

Item#88 - The item giving rise to this royalty interest was sold on 04/04/08.

Item#89 - This property was purchased in my Richard T. Haik name in May of 2005. I no longer collect rental income on this property.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Haik, Richard T.

Date of Report

06/18/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544