

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                           |                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br>Rufe, Cynthia M.                                                                                                                      | <b>2. Court or Organization</b><br>USDC, Eastern District of PA                                                                                                                                                                                                                           | <b>3. Date of Report</b><br>08/12/2010                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>U.S. District Judge- Active                                                    | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination, <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br>01/01/2009<br>to<br>12/31/2009 |
| <b>7. Chambers or Office Address</b><br>US Courthouse<br>601 Market Street<br>Phila., PA 19106                                                                                                         | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                                          |                                                              |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                                           |                                                              |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| POSITION                   | NAME OF ORGANIZATION/ENTITY                             |
|----------------------------|---------------------------------------------------------|
| 1. General Partner (12.5%) | Ten Centre Associates - please see Sect. VIII, attached |
| 2.                         |                                                         |
| 3.                         |                                                         |
| 4.                         |                                                         |
| 5.                         |                                                         |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

| DATE       | PARTIES AND TERMS                                             |
|------------|---------------------------------------------------------------|
| 1. 1994-02 | PA State Employees Retirement System- Pension upon Retirement |
| 2.         |                                                               |
| 3.         |                                                               |

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Rufe, Cynthia M.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                          | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|-------------------------------------------------|----------------------------------------|
| 1. 2009     | PSERS Pension Benefits (see Part II Agreements) | \$192,406.29                           |
| 2.          |                                                 |                                        |
| 3.          |                                                 |                                        |
| 4.          |                                                 |                                        |

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                                                                          | <u>DATES</u>      | <u>LOCATION</u>     | <u>PURPOSE</u>                                  | <u>ITEMS PAID OR PROVIDED</u>                                 |
|----------------------------------------------------------------------------------------|-------------------|---------------------|-------------------------------------------------|---------------------------------------------------------------|
| 1. Bucks County Bar Association, Doylestown, PA 18901                                  | Sept. 24-26, 2009 | Cambridge, Md.      | Bench-Bar Conference (speaker)                  | Room/ meals for two nights for Judge [redacted] both speakers |
| 2. American Bar Association--TIPS Litigation Section--Annual Spring Conference and CLE | April 1-3, 2009   | Phoenix, Arizona    | Conference panelist (for two sessions)          | Room/transportation for two nights for Judge only             |
| 3. Philadelphia Bar Association, Philadelphia, PA                                      | Oct. 23-24, 2009  | Atlantic City, N.J. | Bench-Bar Conference (speaker)                  | Room/meals for one night for Judge [redacted]                 |
| 4. NYIPLA                                                                              | March 27-28, 2009 | NYC, NY             | Annual Dinner in Honor of the Federal Judiciary | Room/meals for one night for Judge [redacted]                 |
| 5.                                                                                     |                   |                     |                                                 |                                                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Commercial Real Estate, Newtown, Bucks County, PA                                                                        | E                                       | Rent                                          | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. First National Bank (Accounts)                                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Fidelity Independence Fund (Mutual Funds, IRA)                                                                           | A                                       | Dividend                                      |                                                 |                                           | Closed                                          | 10/21/09                | K                               | E                              |                                                                   |
| 4. Pa. State Employees Retirement System (pension)*                                                                         | G                                       | Distribution                                  | N                                               | W                                         | Distributed (part)                              | 2/1/09                  | M                               |                                |                                                                   |
| 5. UVSP                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 9/23/09                 | J                               | B                              |                                                                   |
| 6. AT&T                                                                                                                     | B                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/12/09                | K                               | E                              |                                                                   |
| 7. PNC                                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 4/9/09                  | K                               | D                              |                                                                   |
| 8. PPL                                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/11/09                | J                               | A                              |                                                                   |
| 9. Wells Fargo(WB)                                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 6/12/09                 | J                               | A                              |                                                                   |
| 10. UVSP                                                                                                                    | D                                       | Dividend                                      | L                                               | T                                         | Sold (part)                                     | 11/12/09                | M                               | G                              |                                                                   |
| 11. First National Bank-IRA                                                                                                 | A                                       | Interest                                      |                                                 |                                           | Closed                                          | 11/21/09                | M                               | A                              |                                                                   |
| 12.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. BAC                                                                                                                     |                                         | None                                          |                                                 |                                           | Buy                                             | 4/09/09                 | J                               |                                |                                                                   |
| 14. BAC                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 6/2/09                  | J                               | D                              |                                                                   |
| 15. Pa. State Employees Retirement System (pension)*                                                                        |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. CMCSA                                                                                                                   |                                         | None                                          |                                                 |                                           | Sold                                            | 4/20/09                 | J                               |                                |                                                                   |
| 17. Marriott Vacation Club timeshares.                                                                                      |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Ascertainment<br>W = Estimated                                                        | T = Cash Market                                              |                         |

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|----------------------------------------------|------------------------------|
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|----------------------------------------------|------------------------------|

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code J<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. BITI                                                                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 11/11/09                | J                               | B                              |                                                                   |
| 19.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 20.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 21. Unisys                                                                                                                  |                                         | None                                          |                                                 |                                           | Sold                                            | 11/10/09                | K                               | D                              |                                                                   |
| 22.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 23.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 24.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 25.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 26.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 27.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 28. Lycoming Co. College Authority                                                                                          | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 9/29/09                 | J                               |                                |                                                                   |
| 29. Carbon Co. Teen School Authority                                                                                        | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 9/29/09                 | J                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

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|                                                            |                                            |
|------------------------------------------------------------|--------------------------------------------|
| <b>Name of Person Reporting</b><br><b>Rufe, Cynthia M.</b> | <b>Date of Report</b><br><b>08/12/2010</b> |
|------------------------------------------------------------|--------------------------------------------|

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

**I. Positions (continued from Page 1)**

3. Ten Centre Associates is a partnership in which I hold 12.5% ownership of commercial real estate, Ten Centre Plaza, Newtown, Pennsylvania. The real estate holds a long-term lease with the Pennsylvania Liquor Control Board and another separate tenant. I am a general partner and have only a passive investment interest. I am not involved in the management or the daily operations of the partnership or the property.

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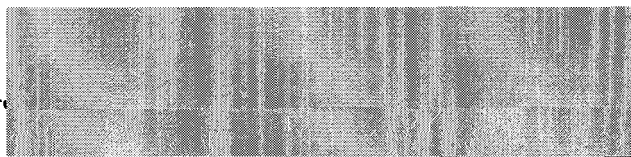
|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Rufe, Cynthia M.         | 08/12/2010     |

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544