

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conway, John E	2. Court or Organization U.S. District Court, NM	3. Date of Report 5/1/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States Courthouse 333 Lomas Blvd., NW, #740 Albuquerque, NM 87102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. Monthly	Legislative Retirement, State of New Mexico
2.	\$7900 Annually
3.	

RECEIVED
 2007 MAY - 8 A 9:19
 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Conway, John E

Date of Report

5/1/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Miller Stratvert Law Firm
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Conway, John E

Date of Report

5/1/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	VISA	Credit Card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Conway, John E

Date of Report

5/1/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of New Mexico, Albuquerque, NM	A	Interest	J	T					
2. First State Bank, Socorro, NM		Interest	J	T					
3. RJ Bank Money Market Fund	A	Interest			Sold	9/1	J	A	
4. Thornburg International Value Fund Class I	B	Dividend	K	T	Partial Sale	11/19	J	A	
5.									
6. Arkansas Best Corp. Delaware	A	Dividend	K	T	Part. Donati	8/1	J		
7. Bed Bath & Beyond, Inc.		None	K	T					
8. Costco Wholesale Corporation New (Formerly Price Costco)	A	Dividend	K	T					
9. Credit Interest Program Money Market Fund	A	Interest	J	T					
10. Jetblue Awys Corporation (formerly JBLJ)		None	J	T					
11. Southwest Airs Company (formerly Southwest Airlines, D, TX	A	Dividend	J	T					
12. Starbucks Corporation (formerly Starbucks Common)		None	K	T					
13. Walgreen Company (formerly Walgreen (WAG)	A	Dividend	K	T					
14. American Century Ultra Inv. Fund, formerly 20th Century	A	Dividend	J	T					
15. Vanguard US Growth Fund	A	Dividend	K	T					
16. Columbia Marsico 21st Century Fd	A	Dividend	K	T	Bought	1/24	K		
17. Artisan Mid Cap Fund Investor Class N/L		None			Sold	1/23	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Conway, John E

Date of Report

5/1/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Brazos Mid Cap Portfolio Fund Class N M		None			Sold	1/23	K	C	
19. Growth Fund Of America CIF, American (Formerly GFAFX -		None			Sold	1/24	K	D	
20. Janus Mid-Cap Value Fund Investor Shares N	B	Dividend	K	T	Bought	1/24	K		
21. Heartland Value Fund N/L	C	Dividend	K	T	Part Sale	1/24	K	D	
22. Heritage Diversified Growth Fund Class C (formerly HAGCX)	B	Dividend	J	T					
23. John Hancock Classic Value Fund Class A	B	Dividend	K	T	Bought	1/24	K		
24. Lord Abbett Mid-cap Value Fund Class A M/F (formerly LA VLX-		None			Sold	1/24	K	D	
25. Munder Mid-Cap Core Growth Fund Class A	A	Dividend	K	T	Bought	6/20	K		
26. Neuberger Berman Fasciano Fund Investor CI	B	Dividend	K	T	Bought	1/23	K		
27. Bond Fund of America CI F-American Fund	B	Dividend	K	T	Bought	1/25	K		
28. RJ Bank Deposit Program Money Market Fund	A	Interest	J	T	Bought	9/1	J		
29. MFS Mid-Cap Growth Fund Class A		None			Bought	2/16	K		
30. MFS Mid-Cap Growth Fund Class A		None			Sold	6/20	K	A	
31. MFS New Endeavor Fund Class A		None			Bought	1/23	K		
32. MFS New Endeavor Fund Class A		None			Sold	2/16	K	A	
33. MFS Strategic Fund Class A M/F		None			Sold	1/24	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
--	---	--	---	---	-------------------------

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Conway, John E

Date of Report

5/1/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Conway, John E

Date of Report

5/1/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 1, 2007

NOTE: ANY PERSON WHO KNOWINGLY AND WILLFULLY MISREPRESENTS OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL PENALTIES (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544