

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
UNITED STATES COURTHOUSE
300 QUARROPAS STREET
WHITE PLAINS, NY 10601
914-390-4146

CHAMBERS OF
COLLEEN McMAHON
DISTRICT JUDGE

28 September 2006

Ortrie D. Smith
Chair
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2005 Filing

Dear Mr. Smith:

In reference to the letter of inquiry dated September 20, 2006, concerning my 2005 financial disclosure report, my accountants have outlined on the attached schedules the information requested in your letter, cross-referencing the items listed in paragraph two and three to my 2004 report.

Please note that the items indicated on the 2005 report outlined in the second paragraph all appeared on the 2004 disclosure document. We have listed the page number and line number for all items listed. (See pages 1 and 2 attached).

Please note the items listed for the 2004 report outlined in the third paragraph are also cross-referenced to the 2005 report. The only items omitted from the 2005 report were either sold, redeemed or worthless in 2004. These items are noted on page 3.

Very truly yours,

[REDACTED]
Colleen McMahon
United States District Judge

**HONORABLE COLLEEN MCMAHON
LETTER OF INQUIRY**

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<u>2005 Report</u>			<u>2004 Report</u>	
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	15	187	Nassau County NY GO 5.125 1/1/07	13 228
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	16	190	Long Island Power 5.5 12/1/06	14 235
	16	191	NYS Dormitory 5.0 8/15/2007	14 236
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	16	193	Puerto Rico 4.0 7/1/2026	14 238
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	16	195	NYS Dormitory 5.0 2/15/2007	14 240
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	16	197	Jordan L Bridge GO 6/15/2005	14 242
	16	198	NYS Urban Dev 5.6 4/1/2006	14 243
	16	199	Suffolk County WTR 4.625 6/1/2007	14 244
	16	200	NYS Dormitory 4.0 2/15/2006	14 245
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	16	203	Nassau County NY DD 6.5 5/1/2007	14 248
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	17	206	NYS Dormitory 5.5 2/15/2005	14 251
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<u>2005 Report</u>			<u>2004 Report</u>	
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	17	210 Lucent Technologies Warrants	15	255
	17	211 Quadramed Corp	15	256
	17	212 Bank of NY-Repo MM	15	257
	17	213 Tidewater Acquisition Inc. (Com)	15	258
	17	214 Tidewater Acquisition Inc(PFD)	15	260
	17	215 ARX Global High Yield Sec Fund	15	262
	17	216 Ecelectic Investment Partners LP	15	263
	18	222 Synaxis Corp Com N/C Sabre Holding	15	267
	18	223 Morris Global Strategies LP	15	269
	18	229 North Fork Operating Account Checking (Trust # 3)	16	280
	18	230 North Fork Money Market Trust # 3	16	281

HONORABLE COLLEEN MCMAHON
LETTER OF INQUIRY- 2004 Report

Part VII	<u>2004 Report</u>			<u>2005 Report</u>	
	<u>Page #</u>	<u>Line #</u>	<u>Description</u>	<u>Page #</u>	<u>Line #</u>
	2	35	Mellon Bank NE	6	32
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	10	179	OK NY LLC (Note Receivable)		(A)
	11	185	Snowden Pence Holdings 12.5 % Sub Note		(B)
	12	199	NYS Dormitory 5.25 % 8/15/04		(C)
	12	200	NYS Dormitory 5.25 Due 8/15/05		(D)
	12	205	MAC 5.0 7/1/05	15	171
	12	207	NYS Dormitory 5.0 7/1/04		(E)
	12	208	White Plains GO 3.75 7/1/04		(F)
	12	209	NYS Dormitory 4.0 12/15/05	15	173
	12	210	MAC 6.0 7/1/05	15	174
	12	214	NY HSG 6.375 9/18/15	15	178
	12	215	NYS Dormitory 5.0 7/1/05	15	179
	12	216	NYC Health & Hosp 4.2 2/15/07	15	180
	13	226	Epicore Software Corp	15	185
	13	228	Nassau County NY GO 5.125 1/1/07	15	187
	14	244	Suffolk County Wtr 4.625 6/1/07	16	199
	15	260	Tidewater Acquisition Inc Pfd	17	214

- (A) OK NY LLC-Note Receivable-Worthless 12/31/04
 (B) Snowden Pence Holdings 12.5% sub Note Sold 3/17/04
 (C) NYS Dormitory 5.25% Redeemed 8/15/04
 (D) NYS Dormitory 5.25 % Due 8/15/05- Sold 8/15/04
 (E) NYS Dormitory 5.0 7/1/04-Redemed 7/1/04
 (F) White Plains GO 3.75 7/1/04-Redemed 7/1/04

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McMahon, Colleen	2. Court or Organization US District Court-Southern Dis	3. Date of Report 08/04/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address 300 Quarropas Street White Plains, New York 10601	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust # 1
2. Trustee	Trust #2
3. Trustee/Warden	Trust # 3(See note # 5)
4. Trustee	Foundation #1
5. Director	Charis Chamber Choir
6.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of instructions.)**A. Filer's Non-Investment Income**☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2005	NYS & Local Employees Retirement System-Pension Distribution(See Note # 11)	\$ 15293
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	Morgan Stanley & Co.-CAP Award
2. 2005	[REDACTED] Salary
3. 2005	Emmis Communications Corp -Director Fees
4. 2005	CSG Systems Inc-Director Fee
5. 2005	[REDACTED] Directors Fee
6. 2005	Kohl's Depattment Stores Inc-Director Fee
7. 2005	[REDACTED] Consulting Fee
8. 2005	Northstar Realty Finance Corp -Director Fee

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Federal Bar Council-Judge McMahon	Jan 29-Feb 6 Winter Bench and Bar Conference, Kona, HI (Local transportation, meals and Room)
2.	
3.	
4.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Ohio State University-Phi Beta Kappa Alumni Award Recipient	2 Football Tickets, Hand Made Vase	\$ 420.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Morgan Stanley Venture Investors III (IRA)	Capital Contribution	K
2. Morgan Stanley Venture Investors III LP	Capital Contribution	K
3. Skyline Venture Partners LP	Capital Contribution	K
4. United States Treasury	Federal Income Taxes(2005 Bal Due)	PI
5. New York State Deaprtment of Taxation	New York State Income Taxes(2005 Bal due)	PI

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Thornburg Ltd. Term Muni. Fd Nat'l	B	Dividend	L	T					
2.									
3. Computer Assoc.Int'l Com (IRA)	A	Dividend	K	T					
4. Intel Corp. Com (IRA)	C	Dividend	M	T					
5. Kohl's Corp. Com (IRA)		None	O	T					
6. Thornberg Value Fund Class A Mut Fd. (IRA)	C	Dividend	N	T					
7. PW First Jewel Associate,L.P.		None	J	U					
8. Morgan Stanley Venture Investors III LP (IRA)	C	Distribution	L	U	Partial Sale	11/29	J		See Note # 4
9. Hewlett Packard Com (IRA)	A	Dividend	J	T					
10. Summit Associates/ Mellon Trustof NE	A	Interest			Liquidated	12/31	J		See Note # 1
11. Summit Assoc./Mellon Trust of NE		None			Liquidated	12/31	J		See Note # 1
12. Intel Corp Com (Trust #2)	A	Dividend	K	T					
13. Kohl's Corp Com (Trust #2)		None	N	T					
14. KPB Investments Inc. Com		None			Liquidated	12/31			See Note # 1 & # 2
15. Morgan Stanley Venture Investors III LP	C	Distribution	L	U	Partial Sale	11/29	J		See Note # 4
16. Psychemedics Corp. Com	A	Dividend	J	T					
17. Thornburg Ltd Term Muni Fund Nat'l(Trust #2)	A	Dividend			Sale	1/3	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Thornburg Ltd Term Muni Fund Nat'l	G	Dividend	PI	T	Partial Sale	4/14	PI		
19. EES 1985 LP	D	Distribution	J	U					See Note # 4
20. EES 1986 LP	C	Distribution	J	U					See Note # 4
21. Citibank(Checking)	A	Interest	K	T					
22. Citibank(Savings)	A	Interest	J	T					
23. Citibank(Savings)	A	Interest	J	T					
24. Citibank(Savings)	A	Interest	J	T					
25. Skyline Venture Partners,L.P.		None	M	U	Buy	4/8	J		
26.					Buy	10/12	J		
27. Information Associates-II,L.P.	C	Distribution	K	U	Partial Sale	11/23	K		See Note # 4
28. MSCP III L.P.	G	Distribution	O	U					See Note # 4
29. McKinley Capital Realty Partners,LLC		None	J	U					
30. ██████████ (1998 300,000)		None	N	R					
31. ██████████ (1998 300,000)		None	N	R					
32. Mellon Bank of NE	D	Interest	L	T					
33. Bond Finance International Con Due 7/(IRA)		None	J	T					
34. MS Investments Inc. Com		None			Liquidated	12/31			See Note # 1 & Note # 3

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. KPB Investments/Mellon Trust of NE	A	Interest			Liquidated	12/31	J		See Note # 1 & Note # 2
36. MS InvestmentsInc/Mellon Trust of NE	A	Interest			Liquidated	12/31	J		See Note # 1 & Note # 3
37. Northwestern Mututal Life	A	Interest	J	T					
38. Citibank Checking (Trust # 2)	A	Interest	J	T					
39. EVILCO Life Insurnace (Trust # 1)	A	Interest	J	T					
40. Northwestern Mututal Life (Trust#1)	A	Interest	L	T					
41. Northwestern Mututal Life(Trust #1)		None	K	T					
42. PHL Insurnace (Trust #1)		None	L	T					
43. Passport Money Market Fund (IRA)	A	Dividend	J	T	Rollover	7/21	K		See Note # 11
44. SFM Domestic Investments LLC	G	Distribution	P2	U	Buy	6/14	N		See Note # 4
45.					Buy	6/18	K		
46.					Buy	8/12	K		
47.					Buy	8/19	J		
48.					Partial Sale	11/2	M		
49.					Partial Sale	4/18	P1		
50. Sunrise Capital Special Partners, LLC	D	Distribution	O	U	Buy	10/4	J		See Note # 4
51. CSG Systems International Inc.Com		None	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Quantum Fund(IRA)		None	P1	T					
53. Summit Mariner, LLC		None	P1	U	Buy	8/24	O		
54. Skyline Venture Partners Qualified Purchase Fund II		None	O	U	Buy	4/18	J		
55.					Buy	9/27	K		
56. Trident Capital Fund-IV LP	A	Distribution	L	U					See Note # 4
57. Sentinel Capital Partners II LP	G	Distribution	O	U	Partial Sale	2/9	O		See Note # 4
58.					Partial Sale	9/19	M		
59. Soros Private Equity Partners LLC	G	Distribution	O	U					See Note # 4
60. Summit Mariner LLC/JP Morgan Checking	A	Interest	K	T					
61. Rental # 1 /JPMorgan Checking	A	Interest	J	T					
62. Rental # 1 (1999 \$ 825,000)	D	Rent	O	R					
63. Rental # 2 New York, NY(1999 \$ 900,000)	E	Rent	O	R					
64. Rental # 2/JP Morgan -Checking	A	Interest	J	T					
65. JP Morgan(Checking)	A	None	K	T					
66. Andex Resources LLC		None	N	U					
67. Sorco Interfund LP		None	O	U					
68. Long Island Power Authority Bond 6% 12/07	E	Interest	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. NYC General Obligation Bonds 5.25 due 08/06	D	Interest	M	T					
70. NYS Dorn. Authority Bond 5.75% due 07/08	E	Interest	O	T					
71. NYS Dorn. Authority Bond 7.5% due 07/10	C	Interest	L	T					
72. Triad Biotechnology Inc. Preferred Stock		None			Worthless	6/28			
73. JP Morgan NY Tax-Free M/M Fund	B	Interest	M	T					
74. Vacant Land [REDACTED] (1999 \$ 425,000)		None	N	R					
75. Five Point Fund LLC		None	L	U					
76. Trident Capital Fund V LP	E	Distribution	N	U	Buy	1/8	K		See Note # 4
77.					Buy	7/22	K		
78.					Buy	10/21	K		
79.					Partial Sale	9/28	K		
80.					Partial Sale	11/4	K		
81. Nassau Point Partners I LP		None	O	U					
82. Nimblegen Systems LLC Preferred		None	P1	T	Buy	11/21	N		
83. Karsch Capital II LP	F	Distribution	O	U					See Note # 4
84. Origin Investors LP		None	J	U					
85. Linx Partners LP II n/c Linx Partners (Q) LP	G	Distribution	P1	U	Buy	2/8	O		Se Note # 4 & Note # 8

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy	8/1	N		
87.					Partial Sale	7/15	L		
88.					Partial Sale	4/20	M		
89.					Partial Sale	8/10	K		
90.					Partial Sale	12/16	J		
91. Grove Capital Commitment Partners LP	G	Distribution	PI	U	Buy	3/28	N		See Note # 4
92.					Buy	6/21	M		
93.					Partial Sale	8/7	P1		
94.					Partial Sale	8/17	L		
95.					Partial Sale	9/22	M		
96.					Partial Sale	7/19	O		
97.					Partial Sale	12/31	N		
98. Martha's Vineyard Golf Partners LLC		None	K	U					
99. Silvaris Corp Preferred B		None	N	T					
100. Kevin Dann and Partners, LLC		None			Sale	4/12	L		
101. NY College Savings Program Series 151	A	Interest			Redemption	6/15	J		
102. NY College Savings Program Series 151	A	Interest			Redemption	6/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. NY College Savers Program Series 151	A	Interest	J	T					
104. JP Morgan (Checking)	G	Interest	P2	T					
105. NY State Dorm.Auth 6.1% due 07/19	E	Interest	O	T					
106. NY NY Transitional 5.3% due 11/09	D	Interest	N	T	Buy	2/14	M		
107. NYS Med Care FACS 6.9% due 08/34	E	Interest			Redemption	2/1	O		
108. NYS Mtge Agency 5.65% due 10/11	D	Interest	M	T					
109. Rome NY HSG DEV 6.25% due 01/18	D	Interest	M	T					
110. Oneida Cnty NY 6.25% due 06/15	E	Interest	O	T					
111. NY City HSG Dev 5.5% due 11/09	A	Interest	J	T					
112. Merrill Lynch-Redi Asset Account(Trust # 3)		None			Removed	1/25			See Note # 5
113. Merrill Lynch Income Fund (Trust #3)		None			Removed	1/25			See Note # 5
114. Diocesan Investment Trust Equity Fund(Trust #3)		None			Removed	1/25			See Note # 5
115. DIT Outreach Endowment Fund(Trust #3)		None			Removed	1/25			See Note # 5
116. Bank of New York (Capital Campaign) (Trust #3)		None			Removed	1/25			See Note # 5
117. Brookhaven, NY IDAR FLT Rate due 11/01/31	D	Interest	N	T	Partial Sale	10/19	J	A	
118. Dunquesne Fund,LP	G	Distribution	P1	U	Partial Sale	12/31	N		See Note # 4
119. JK & B Capital IV QIP LP		None	O	U	Buy	3/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Partial Sale	11/18	J		
121.					Buy	6/6	L		
122. Perseus Biopharmaceutical Investor LP	G	Distribution	P1	U	Buy	1/3	J		See Note # 4
123.					Buy	1/18	K		
124.					Buy	5/2	K		
125.					Partial Sale	6/13	L		
126.					Buy	6/14	L		
127.					Partial Sale	7/12	N		
128.					Buy	8/12	K		
129.					Partial Sale	11/1	O		
130. Skyline Venture Partners Qualified Purchaser Fund III LP		None	N	U	Buy	3/1	K		
131.					Buy	5/2	K		
132.					Buy	11/2	K		
133. Soros Private Equity Partners II LLC(n/c)Towerbrook Private	H2	Distribution	P2	U	Buy	7/29	M		See Note # 4 & Note # 8
134.					Partial Sale	1/12	P1		
135.					Partial Sale	7/29	P2		
136.					Partial Sale	11/19	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
137. SPEP Limited Partner LLC(n/c)TCP Limited Partners LLC	H2	Distribution	P3	U	Buy	8/22	N		See Note # 4 & Note # 8
138.					Partial Sale	7/11	P1		
139.					Partial Sale	7/27	P2		
140.					Partial Sale	11/19	P2		
141. Grove II LP	G	Distribution	O	U	Partial Sale	7/19	M		See Note # 4
142.					Partial Sale	8/7	N		
143.					Buy	6/21	K		
144.					Buy	3/8	M		
145.					Partial Sale	8/17	K		
146.					Partial Sale	9/22	K		
147.					Partial Sale	12/30	L		
148. Tactics II LP		None	M	U	Buy	2/28	L		
149. Bk of NY-Govt 3rd Party Repo (Found #1)	B	Interest	L	T					
150. JP Morgan Checking(Foundation #1)	A	Interest	J	T					
151. Nassau Point Partners II LP		None	O	U					
152. Harris Insight Tax Exempt MM	A	Interest			Closed	4/28	K		
153. Harris Insight Gov't MM (IRA)	A	Interest			Transferred	7/5	J		See Note # 9

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" aft each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Harris Insight MM(Trust #2)	A	Interest	K	T					
155. MM Community Funding III Sub Note	F	Dividend	N	T					
156. OR Music LLC		None	J	U					
157. Colonial Printing LLC	D	Distribution	M	U	Buy	8/22	J		See Note # 4
158.					Partial Sale	1/14	J		
159.					Partial Sale	3/16	J		
160.					Partial Sale	4/18	J		
161.					Partial Sale	7/11	J		
162. Windstar Capital LLC Notes Receivable		None	N	T					
163. Jetblue Airways Rstd Com		None	K	T					
164. Jetblue Airways Rstd Com		None	K	T					
165. Jetblue Airways Rstd Com		None	K	T					
166. Merrill Lynch Donor Restricted Fund (Trust # 3)		None			Removed	1/26			See Note # 5
167. SFM Participation LP		None	J	U					
168. Capital Income Builders Fd CI A(IRA)	A	Dividend	K	T					
169. Kinderhook NY GO 6.0 6/15/07	D	Interest	M	T					
170. NYC GO 5.625 4/15/05	D	Interest			Redemption	4/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. MAC 5.0 7/1/05	D	Interest			Redemption	7/1	M		
172. NY NY UNLTD 6.25 4/15/06	E	Interest	O	T					
173. NYS Dorm 4.00 12/15/05	E	Interest			Redemption	12/15	N		
174. MAC 6.00 7/1/05	E	Interest			Redemption	7/1	N		
175. NY NY DD 6.00 10/15/05	D	Interest			Redemption	10/15	M		
176. NYS Env 6.7 6/15/10	D	Interest	M	T					
177. MTA 5.00 11/15/07	D	Interest	M	T					
178. NY HSG 6.375 9/15/15	D	Interest	L	T					
179. NYS Dorm 5.00 7/1/05	C	Interest			Redemption	7/1	L		
180. NYC Health & Hosp 4.2 2/15/07	D	Interest	M	T					
181. Onondaga Cnty NY 4.125 5/1/07	C	Interest	M	T					
182. MSIF Money Market	A	Dividend	J	T					
183. MSIF Money Market	A	Dividend	J	T					
184. MSIF Money Market	A	Dividend	J	T					
185. Epicore Software Corp(Com)		None			Sale	1/18	K	E	
186. Bioenvision Inc (Com)		None	N	T					
187. Nassau Cnty NY GO 5.125 1/1/07	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Hempstead Town NY 4.875 12/1/06	D	Interest	M	T					
189. NY ST TWY Auth 6.0 4/1/06	D	Interest	M	T					
190. Long Island Power 5.5 12/1/06	E	Interest	O	T					
191. NYS Dormitory 5.0 8/15/07	C	Interest	M	T					
192. NYS TWY Auth 5.0 4/1/07	C	Interest	M	T					
193. Puerto Rico Pub Bldg 4.0 7/1/26	E	Interest	N	T					
194. Hyde Park Sch 6.45 3/15/06	D	Interest	M	T					
195. NYS Dormitory 5.0 2/15/07	D	Interest	M	T					
196. NY NY Ser H 5.75 3/15/08	E	Interest	N	T					
197. Jordan El Bridge GO 3.0 6/15/05	C	Interest			Redemption	6/15	M		
198. NYS Urban Dev 5.6 4/1/06	D	Interest	M	T					
199. Suffolk County WTR 4.625 6/1/07	C	Interest	L	T	Partial Sale	6/1	K		
200. NYS Dormitory 4.0 2/15/06	E	Interest	O	T					
201. NYS Dormitory 4.25 1/15/06	D	Interest	M	T					
202. NY NY Mun Water 5.875 6/15/26	E	Interest	N	T					
203. Nassau Cnty NY DD 6.5 5/1/07	D	Interest	M	T					
204. NYS Urban Dev 5.7 1/1/27	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Glen Cove NY Ser A 5.375 1/15/10	D	Interest	M	T					
206. NYS Dorn 5.5 2/15/05	D	Interest			Redemption	2/15	O		
207. NYS Dormitory 4.4 7/1/05	C	Interest			Redemption	7/1	M		
208. NYS Dormitory 9.0 10/10/7	E	Interest	N	T					
209. Northstar Realty Finance Corp Com	E	Dividend	P1	T	Buy	12/13	N		
210. Lucent Technologies Warrants		None	J	T					
211. Quadramed Corp		None	J	T					
212. Bank of NY Gov't Repo MM	D	Interest	J	T					
213. Tidewater Acquisition Inc Com		None	J	T					
214. Tidewater Acquisition Inc Pfd	D	Dividend	L	T	Partial Sale	12/16	J		
215. ARX Global HighYield Sec Fund I LP	F	Distribution	P1	U					See Note # 4
216. Eclectic Investment Partners LP	B	Distribution	O	U					See Note # 4
217. Kinderhook Capital I LP	E	Distribution	P1	U	Buy	2/8	K		See Note # 4
218.					Buy	5/2	K		
219.					Buy	8/23	L		
220.					Buy	12/2	L		
221.					Partial Sale	12/31	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Synaxis Corp com(N/C Sabre Holdings)		None			Sale	1/19	M	D	See Note # 8
223. Morris Global Strategies LP	F	Distribution	O	U					See Note # 4
224. Capital World Grth & Incm Fd CI A(IRA)	C	Dividend	L	T	Buy	7/29	K		
225.					Buy	12/27	J		
226. Thornburg CRE GWT I	B	Dividend	L	T	Buy	2/11	J		
227. Thornburg CRE GWT I	B	Dividend	L	T	Buy	2/11	J		
228. Thornburg CRE GWT I	B	Dividend	L	T	Buy	2/11	J		
229. North Fork Operating Account Checking (Trust # 3)		None			Removed	1/25			See Note # 5
230. North Fork Money Market(Trust # 3)		None			Removed	1/25			See Note # 5
231. Liquid Asset Portfolio Money Market(IRA)	A	Interest	J	T	Transferred	7/5	J		See Note # 9
232. Thornburg-Core Growth A(Trust #2)	B	Distribution	L	T	Buy	1/3	L		
233. New York State Env FACS 5.6 6/15/12	C	Interest			Buy	1/4	M		
234.					Called	6/15	M		
235. MAC 6.0 7/1/05	B	Interest			Buy	2/11	M		
236.					Redemption	7/1	L		
237. NYS Dorm 5.25 8/15/06	D	Interest	N	T	Buy	2/11	N		
238. Long Island Power 5.5 12/1/09	E	Interest	P1	T	Buy	2/14	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Buy	2/15	O		
240. MTA 5.0 11/15/06	C	Interest	M	T	Buy	2/14	M		
241. NYC GO 5.25 8/1/09	B	Interest	M	T	Buy	2/14	M		
242. NYC GO 6.0 8/1/05	C	Interest			Buy	2/14	M		
243.					Redemption	8/1	M		
244. NY NY DD 6.25 5/15/06	D	Interest	M	T	Buy	2/14	M		
245. NY NY DD 5.0 8/15/16	C	Interest	M	T	Buy	2/14	M		
246. NYS Dormitory 5.0 8/1/07	C	Interest	M	T	Buy	2/14	M		
247. NYS Dormitory 5.0 7/1/09	B	Interest	M	T	Buy	2/14	M		
248. NYS Dormitory 6.0 7/1/07	D	Interest	N	T	Buy	2/14	N		
249. NYS Energy 5.9 12/1/06	E	Interest	O	T	Buy	2/14	O		
250. NYS Power Auth 6.5 1/1/08	D	Interest	N	T	Buy	2/14	N		
251. NYS GO 5.25 8/1/08	E	Interest	P1	T	Buy	2/16	P1		
252. Erie Cnty NY 5.0 5/1/09	D	Interest	M	T	Buy	2/17	M		
253. NYS GO 6.0 11/15/08	D	Interest	N	T	Buy	2/17	N		
254. Niagra Falls NY 5.625 6/15/10	D	Interest	M	T	Buy	2/17	M		
255. NYC GO 4.125 3/15/10	B	Interest	M	T	Buy	2/23	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. NYC Transitional 5.25 11/15/11	D	Interest	N	T	Buy	2/23	N		
257. Windsor NY 3.75 6/15/05	D	Interest			Buy	2/23	N		
258.					Redemption	6/15	N		
259. NYS Dormitory 5.0 2/15/11	E	Interest	P1	T	Buy	3/2	P1		
260. NYS RFDG 6.25 8/15/05	B	Interest			Buy	5/12	M		
261.					Redemption	8/15	M		
262. Puerto Rico Elec Power 5.25 7/1/06	A	Interest	M	T	Buy	5/13	M		
263. Puerto Rico Aqueduct SWR Auth 5.9 7/1/06	A	Interest	M	T	Buy	6/16	M		
264. Suffolk County NY 5.0 2/1/09	C	Interest	P1	T	Buy	7/12	P1		
265. NYC Mun Water 5.8 6/15/29	E	Interest	P1	T	Buy	7/15	O		
266. Tobacco Settlement Finc Corp 5.0 6/1/07	C	Interest	N	T	Buy	8/1	N		
267. NYS Dormitory 5.75 2/15/08	B	Interest	P1	T	Buy	8/4	P1		
268. NYS Dormitory 4.0 8/1/07		None	N	T	Buy	8/4	N		
269. NYS Dormitory 5.25 7/1/09		None	P1	T	Buy	8/4	P1		
270. NYS TWY Auth 5.5 4/1/07	C	Interest	O	T	Buy	8/4	O		
271. Port Authority NY NJ 4.375 12/1/07	C	Interest	M	T	Buy	8/4	M		
272. Yonkers NY 6.25 2/1/16		None	N	T	Buy	8/19	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. NYS TWY Auth 5.25 4/1/08	A	Interest	M	T	Buy	8/27	M		
274. NYC GO 5.125 3/15/19		None	M	T	Buy	10/3	M		
275. Nassau Cnty NY 4.0 11/15/08	A	Interest	M	T	Buy	10/17	M		
276. US Treasury Bill 11/17/05	B	Interest			Buy	10/20	N		
277.					Redemption	11/17	N		
278. US Treasury Bill 12/22/05	B	Interest			Buy	11/25	O		
279.					Redemption	12/22	O		
280. NYS TWY Auth 5.75 4/1/16		None	M	T	Buy	12/6	M		
281. US Treasury Bill 2/16/06		None	O	T	Buy	12/27	O		
282. JP Morgan Chase(Com)		None			Buy	10/17	O		
283.					Sale	11/23	O	F	
284. JP Morgan Tax Free MM Premier Sweep	A	Interest	J	T	Opened	11/29	J		
285. Ensco Intl Inc(Com)		None			Buy	9/20	N		
286.					Sale	11/23	N	F	
287. Noble Corp(Com)		None			Buy	9/20	O		
288.					Sale	10/20	O		
289. UTS Energy Corp (Com)		None	L	T	Buy	9/20	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Safeway Inc (Com)		None	M	T	Buy	11/17	M		
291. Vornado Realty Trust(Com)	D	Dividend	O	T	Buy	11/17	O		
292. ABB LTD(Com)		None	L	T	Buy	11/17	L		
293. JP Morgan Chase (Com)(x)		None			Exempt Gift	12/15			See Note # 7
294.					Donated				See Note # 7
295. Emmis Broadcasting Corp Cl A (Com)		None	K	T	Buy	1/4	K		
296. Epicore Software Corp(Com)		None			Distribution	9/19	J		See Note # 6
297.					Donated	9/23			Transfer to Foundation #1
298. Epicore Software Corp (Com)((Foundation # 1)		None			Received	9/23	K		
299.					Sale	9/28	K	A	
300. KDP Holdings LLC(Promissory Note)		None	L	T	Received	4/12	L		See Note # 10
301. Merrill Lynch Redi Assets (MM)		None	J	T	Buy	12/15	J		
302. R Thompson Trucking(Subordinated Note)	C	Interest	K	T	Buy	2/14	K		
303. R Thompson Trucking(Preferred Stock)		None	K	T	Buy	2/14	K		
304. R Thompson Trucking(Com)		None	K	T	Buy	2/14	K		
305. Colonial Printing LLC(Subordinated Note)	A	Interest	K	T	Buy	8/11	K		
306. Menemsha Capital Partners Ltd(Com)	E	Distribution	K	U	Buy	5/31	K		Se Note # 4

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. Snowden Pence Holdings (Com)	A	Interest			Buy	1/1	J		
308.					Sale	4/5	K	E	
309. Alba Advisors LLC		None	L	U	Buy	8/19	L		
310. Camulos Partners LP	G	Distribution	P2	U	Buy	9/21	PI		See Note # 4
311.					Buy	10/25	PI		
312. Capital SLI Group LLC		None	PI	U	Buy	8/18	PI		
313.					Buy	10/25	J		
314. CGI Partners,LP		None	PI	U	Buy	12/22	PI		
315. Duma Global Opportunity Fund LP	D	Distribution	PI	U	Buy	5/2	PI		See Note # 4
316. Micell Technologies Inc.(Com)		None	K	T	Buy	4/18	K		
317. The Eroica Fund LP		None	O	U	Buy	4/25	M		
318.					Buy	9/23	N		
319. 441 East 57th Street LLC		None	N	U	Buy	11/1	N		
320. Half Shell Entertainment Films LLC	A	Distribution	L	U	Buy	10/3	K		See Note # 4
321. ICP D100 LLC	A	Distribution	N	U	Buy	11/21	M		See Note # 4
322. ICP D400 LLC		None	M	U	Buy	12/19	M		
323. ICP D200 LLC		None	M	U	Buy	12/19	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. ICP 2800A LLC		None	M	U	Buy	9/27	M		
325.					Partial Sale	11/25	K		
326. Linx Partners II LP		None	K	U	Buy	11/20	K		
327. Lone Cypress Company Ltd	C	Distribution	PI	U	Buy	12/20	PI		See Note # 4
328. 133 West 4th Street LLC		None	PI	U	Buy	3/2	O		
329.					Buy	7/11	O		
330. SEEF Manager LLC	G	Distribution	N	U	Buy	2/1	K		See Note # 4
331.					Partial Sale	4/6	M		
332.					Partial Sale	7/5	M		
333.					Partial Sale	8/3	L		
334. Semper Vic Partners (QP)LP	D	Distribution	PI	U	Buy	4/11	O		See note # 4
335. Sentinel Capital Investors III LP		None	M	U	Buy	5/9	K		
336.					Buy	5/31	L		
337.					Buy	12/1	L		
338. SFM Participation II LP		None	N	U	Buy	11/8	L		
339.					Buy	12/30	M		
340.					Buy	12/31	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Triad Liquidating Company LLC		None	J	U	Buy	6/28	J		
342. HorseTV Media Group Inc Ser A (Com)		None	M	T	Buy	3/15	M		
343. Venn Global Opportunity Fund LP		None	O	U	Buy	12/22	O		
344. North Salem Acquisition & Development Fund 2005 LP		None	P1	U	Buy	3/28	O		
345.					Buy	12/25	O		
346.					Partial Sale	5/3	K		
347.					Partial Sale	5/22	K		
348.					Partial Sale	7/25	K		
349.					Partial Sale	8/7	K		
350.					Partial Sale	9/9	K		
351.					Partial Sale	10/7	K		
352.					Partial Sale	11/11	K		
353.					Partial Sale	12/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

McMahon, Colleen

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***Note # 1**

Explanation Re:Section VII Item #'s 10,11,14,34,35,36

Summit Associates LP was a General Partnership, of which both shareholders were closely held "S" Corporations (KPB Investments Inc., and MS Investments, Inc.).

The partnership was liquidated on December 31, 2005.. The cash was distributed to the General Partners.

Note #2

Explanation Re: Section VII Item # 14 & 35

KPB Investments Inc., was a general partner of Summit Associates. The only asset of the corporation was cash. The corporation was liquidated and the cash distributed on December 31, 2005.

Note # 3

Explanation Re:Section VII Item # 34 & 36

MS Investments Inc., was a general partner of Summit Associates. The only assets of the corporation was cash . The corporation was liquidated and the cash distributed on December 31, 2005.

Note #4

Explanation Re:Section VII Item #

8,15,19,20,27,28,44,50,56,57,59,76,83,85,91,118,122,133,137,141,157,215,216,217,223,306,310,315,320,321,327,330,334

The income from investments in Limited partnerships usually contain more than one type of income. For income valuation purposes, the various amounts were combined to determine the amount code in column B(1) The type of income in column B(2) is labeled "distribution", to denote more than one category of income was contained in schedule K-1 received from the partnership.

Note # 5

Explanation Re:Section I Item # I (3) VII Item # 112,113,114,115,116,229,230

On January 25, 2005 , Judge McMahon resigned as a Churchwarden of her parish church. The assets of the parish, which were corporate assets, were previously listed on this report. These assets are labeled "Removed" in column D(1) utilizing the resignation date of January 25 in column D(2). We did not include a value in column D(3) since it was not available.

Note # 6

Explanation Re:Section VII Item #'s 296

These securities were not purchased but were received from time to time as in-kind distributions from the various Limited Partnerships. These securities are listed as a "Distribution" in column D(1). The date in column D(2) is the effective date of the distribution. The Value in column D(3) is the distributee's pro rate share of the total acquisition of the assets by the Limited Partnership, as reported by the General Partner.

Note # 7

Explanation Re:Section VII Item # 293,294

The securities received were an exempt gift received by the Judge in 2005. They were exempted from reporting under Section V, and donated after receipt.

Note # 8

Explanation Re:Section VII Item # 85,133,137,222

The name of these partnerships were changed in 2005. Linx Partners LP II is now Linx Partners (Q), LP. Soros Private Equity Partners II LLC is now called Towerbrook Private Equity Partners II LLC. SPEP Limited Partners LLC is now called TCP Limited Partners, LLC. Synaxis Corp is now called Sabre Holdings Corporation.

Note # 9

Explanation Re:Section VII Item # 153, 231

The IRA Account held at Harris Direct was moved to Morgan Stanley. The money market account that was previously held in the Harris Insight Gov't MM (IRA) was transferred to the Morgan Stanley Liquid Asset Portfolio Money Market (IRA) account.

Note # 10

Explanation Re: Section VII Item # 100, 300

The Kevin Dann and Partners LLC partnership terminated in 2005. The partnership distributed both cash and a Promissory note issued by KDP Holding Inc. The Promissory note is indicated as Received in Column D(1)

Note # 11

Explanation Re:Section III Item #1, Section VII Item # 43

Judge McMahon received a distribution from a retirement account held by NYS & Local Employees Retirement System. These funds were rolled over to her IRA account (Passport Money Market fund).

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Name of Person Reporting

McMahon, Colleen

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

8/10/06

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544